

Oral Hearing

Day 36 – Tuesday, 18th April 2023

Being heard before: Ms Christine Smith KC (Chair) Dr Sonia Swart (Panel Member) Mr Damian Hanbury (Assessor)

Held at:Bradford Court, Belfast

Gwen Malone Stenography Services certify the following to be a verbatim transcript of their stenographic notes in the abovenamed action.

Gwen Malone Stenography Services

<u>I NDEX</u>

<u>PAGE</u>

Mr. Ronan Carroll	
Examined by Mr. Wolfe KC (cont'd)	3
Lunch adjournment	67
Questions by the Inquiry Panel	127

1 THE INQUIRY RESUMED AT 10: 20 A.M. ON TUESDAY, 18TH 2 APRIL 2023, AS FOLLOWS: 3 Good morning, everyone. Welcome back. 4 CHALR: I hope 5 everyone managed to get some downtime over Easter. 10:20 A special big welcome back to my colleagues here; it's 6 7 good to see them in person again. 8 9 Mr. Carroll, good morning. Mr. Wolfe. 10 10.20 11 THE WITNESS CONTINUED TO BE EXAMINED BY MR. WOLFE KC AS 12 FOLLOWS: 13 14 1 Q. MR. WOLFE KC: Good morning Mr. Carroll. Thank you for coming back to us. We're starting from page 5 of my 15 10:20 16 speaking note. 17 18 Mr. Carroll, we last heard from you on about the 2nd 19 March. It was Day 29 of the Inquiry's hearings. The 20 transcript, for the record, for those hearings is to be 10:20 21 found from TRA-03506 - we don't need that up - through to 03553. 22 23 24 When you were last with us, Mr. Carroll, we spent some time that afternoon looking at your input into the 25 10.21 events of September 2016. You'll recall that there was 26 27 the first Oversight Committee or Oversight Group meeting that month that led to a certain decision; 28 29 Mrs. Gishkori's unhappiness, if I can put it in those

1 terms, with that decision. We rounded off, I think, 2 when you told me that Mrs. Gishkori didn't want to 3 pursue the action plan that had been agreed by the Oversight Committee, and you said that it would be 4 5 a lengthy process, or it was anticipated that it would 10:21 be a lengthy process, and would not necessarily have 6 7 a favourable outcome. The Chair picked you up on that 8 and asked what you meant by a favourable outcome, 9 a favourable outcome to whom? Thinking back on that 10 vou said: 10.22 11 12 "I suppose Mrs. Gishkori wanted an outcome that allowed 13 Mr. O'Brien to work with us. And rather than being viewed as being some sort of sanction or some sort of 14 15 punitive, that he would be happy to work alongside us". 10:22 16 That's where we left on the last occasion. 17 DO 18 you agree with that? 19 Yes. Α. 20 I want to ask you some questions about 2 Thank you. 0. 10:22 21 whether you were aware of Mrs. Brownlee, the Chair of 22 the Trust Board, seeking to have any input or seeking 23 to make any intervention around what was going on 24 either at that time or later. Before I ask you some questions about that, can I put up on the screen some 25 10.23 pieces of information or evidence that the Inquiry has 26 27 received. If we can put up on the screen WIT-87673. This is the witness statement of Dr. Tracy Boyce who 28

4

was pharmacy within the Trust?

1 She was the Director of Pharmacy, yes. Α. 2 And she had a governance role supporting Mrs. Gishkori 3 Q. 3 within Acute? 4 Correct. Α. 5 4 She has volunteered to the Inquiry the following Q. 10:23 6 account: 7 8 "I would like to add information about a telephone call 9 that I inadvertently witnessed, as it, I think, it may be evidence of some level of pressure on one of the 10 10.24 11 Acute Services directors who did not fully investigate 12 Mr. O'Brien's practice. I cannot remember the date of 13 the meeting and I did not make a note of the incident 14 at the time. However, I know that it must have been 15 after the concern in relation to Mr. O'Brien's triage 10:24 16 practice was identified as I understood the context of 17 the call without it having to be explained". 18 19 Paragraph 44.3: 20 10:24 21 "I was in a one-to-one meeting with Esther Gishkori, 22 Director of Acute Services, in her office on the 23 Craigavon Hospital administration floor, updating her 24 on my pharmacy responsibilities. The telephone rang 25 and Mrs. Gishkori answered it whilst I was in the room. 10.2526 I realised she was speaking to the Chair of the Trust, 27 Mrs. Roberta Brownlee, and while I indicated to 28 Mrs. Gishkori that I would leave the room to give her 29 privacy, she told me to stay. I could not hear what

1 Mrs. Brownlee was saying, however I recall that 2 Mrs. Gishkori did not say very much in response to 3 Mrs. Brownlee during the call and that she became very When the call ended, Mrs. Gishkori told me 4 flustered. 5 that the Chair had asked her to "leave Mr. O'Brien 10:25 alone" as he was an excellent doctor and a good friend 6 of hers who had saved her life of one of her 7 8 friends" -- that might be corrected to "or" one of her 9 friends. We'll speak to Mrs. Boyce about that. 10 10.26 11 "I remember saying to Mrs. Gishkori that the Chair's 12 behaviour was unacceptable and she should document the 13 call and speak to the Chief Executive about it as her 14 line manager. I do not know if Mrs. Gishkori escalated 15 the telephone call and it was never mentioned to me 10:26 16 agai n". 17 18 That quote ends at WIT-8674. 19 20 If I could put one other piece into the mix before 10:26 21 I ask you some questions, Mr. Carroll. This comes from 22 the statement of Martina Corrigan. If we can have up 23 on the screen WIT-26225. She says: 24 25 "I have an awareness of at least two occasions where 10.27 26 managers had been asked to step back from managing 27 Mr. O'Brien. In approximately 2011 /2012 Mr. Mackle 28 had been advised that he was being accused of bullying 29 and harassment towards Mr. O'Brien and that he needed

1 to step back from managing him. I was not present when 2 Mr. Mackle was told this but he came straight to me 3 after this happened, told me about it, and was visibly 4 annoyed and shaken and said to me that he would no 5 longer be able to manage Mr. O'Brien". 10:27 6 7 More pertinently from your perspective, Mr. Carroll, 8 she says: 9 "I also understand that in mid-2016, Mrs. Gishkori 10 10.27 11 received a phone call from the then Chair of the Trust, 12 Mrs. Brownlee, and was requested to stop an 13 investigation into Mr. O'Brien's practice. Once again, 14 I did not witness this but I was told later by 15 Mr. Carroll that it happened as my understanding is 10:28 16 that Mrs. Gishkori had told some of her team". 17 18 Just to finalise, Mrs. Brownlee, in her statement at 19 WIT-95894, has said: 20 10:28 21 "I would never interfere". This is at 48.1. This is 22 the quote from what I've just read out. Then, just 23 scrolling down: 24 25 "This account from Martina Corrigan is third-hand. 10.29Martina states that she heard from some unnamed member 26 27 of Esther Gishkori's team that I had asked Esther to 28 halt an investigation into Mr. O'Brien. I would never 29 interfere in due process in this way. Patient Safety

1 was always my top priority, and I have absolutely no 2 doubt that Esther will confirm that this never 3 happened. I never made any phone call to Esther Gishkori about Mr. O'Brien". 4 5 10:29 Now, Mrs. Corrigan's account, Mr. Carroll, suggests 6 7 that you were an informant to her or other members of 8 staff in respect of what has been described here. DO 9 you recall anything resembling what I've described from these statements? 10 10.30 11 Α. So, I would have an awareness that Esther had Yes. 12 received a phone call from Mrs. Gishkori. I do think 13 it was Esther - Mrs. Gishkori - who told me. When she told me exactly, I don't recall, or where she told me 14 I don't recall. But certainly Mrs. Gishkori did tell 15 10:30 16 me, and I think others possibly, but I wouldn't be too sure of that, that she had received a phone call from, 17 18 allegedly, Mrs. Brownlee in regard to how Dr. Boyce describes it in terms of Mrs. Brownlee's -- again, I'm 19 20 hearing - I'm getting this second, third-hand, I wasn't 10:30 21 there - but it was something along the lines of Mrs. Brownlee speak to Mrs. Gishkori in regard to 22 Mr. O'Brien and the management of Mr. O'Brien. 23 24 5 Just to be clear because your evidence was perhaps a Q. little uncertain in respect of elements of that. 25 Can 10.31I just maybe drill down with you. 26 27 You had the conversation with Mrs. Gishkori? 28 29 Yes. Α.

1	6	Q.	Mrs. Gishkori told you that she had received a	
2			telephone call from Mrs. Brownlee?	
3		Α.	Correct.	
4	7	Q.	Were you told that Dr. Boyce was present during that	
5			telephone call?	10:31
6		Α.	No, I don't believe so. No.	
7	8	Q.	Okay. And you're unable to date when Mrs. Gishkori	
8			told you about it?	
9		Α.	Yes.	
10	9	Q.	And you're unable to date when the conversation between	10:32
11			Gishkori and Brownlee took place?	
12		Α.	Yes.	
13	10	Q.	Obviously, you took over the Assistant Directorship	
14			role in the spring of '16, and there are a number of	
15			important events which we know took place within	10:32
16			12 months of that, including the September Oversight	
17			Committee meeting, the December Oversight Committee	
18			meeting, leading then on to the MHPS investigation.	
19				
20			Are you able to help us at all, even approximately, as	10:32
21			to when in the context of those events - before those	
22			events or much after those events - that Mrs. Gishkori	
23			spoke to you?	
24		Α.	If I were I'm not certain by any means but I think	
25			it might have been in and around the September time.	10:33
26			But again, I'm not certain. It would have been, yes,	
27			in and around September '16, I think.	
28	11	Q.	It would appear from what we saw on the last occasion	
29			that you had some dealings, perhaps in quantitative	

1			terms quite a lot of dealings, with Mrs. Gishkori over	
2			that period of time. Is that fair?	
3		Α.	Sorry, I interacted with Mrs. Gishkori?	
4	12	Q.	In terms of your engagement with Mrs. Gishkori in	
5			Contombor 2010	10:34
6		Α.	Yes.	
7	13	Q.	In terms then of what you were told about	
8			Mrs. Brownlee's conversation with Mrs. Gishkori, could	
9			you help us as precisely as you can in terms of what	
10			you were told?	10:34
11		Α.	I mean, I can't remember exactly but it was something	
12			along the lines of Mrs. Gishkori said that she had	
13			received a phone call from Mrs. Brownlee, and the	
14			content of that conversation was Mrs. Brownlee asking	
15			Mrs. Gishkori to - and then these are my words go	10:34
16			easy on Mr. O'Brien as he was a good doctor.	
17	14	Q.	Okay.	
18		Α.	But again, I didn't make a note of that meeting.	
19			I didn't register it.	
20	15	Q.	But is it fair to say is that, if you like, the broad	10:35
21			memory	
22		Α.	Yes.	
23	16	Q.	with all its frailties that you describe that you	
24			take from that conversation?	
25		Α.	Yes. My memory is I was spoken with, Mrs. Gishkori did	10:35
26			tell me, and I think there may have been others. And	
27			it was broadly along those line that Mrs. Brownlee had	
28			phoned her and asked her to go easy on Mr. O'Brien.	
29			But again, those are my words.	

1	17	Q.	In terms of Mrs. Gishkori's response to that, as she	
2			was describing it to you, did it have an impact on her,	
3			are you able to say? How did she react to what she had	
4			been told in terms of how she was describing it to you?	
5		Α.	Again, I think she was annoyed. Yes, I think she was 🛛	10:36
6			annoyed. Maybe upset. I genuinely can't remember her	
7			emotions, her reactions. So, I'm guessing she would	
8			well, she would have been upset to have received that	
9			phone call.	
10	18	Q.	Did you form the impression from your memory that you ${}_{1}$	10:36
11			were being told about this shortly after the phone call	
12			happened?	
13		Α.	Yes, I believe so. Yes.	
14	19	Q.	Was anybody else present when Mrs. Gishkori told you	
15			about it?	10:36
16		Α.	I have no memory of anybody else being present.	
17	20	Q.	How did you react to it in terms of your thought	
18			processes around it?	
19		Α.	Again, I thought it was unusual in that the Chair would	
20			ring the Director and instruct her - again, those are \neg_1	10:37
21			my words - instruct her to go easy with Mr. O'Brien.	
22			I've never known it to happen. In my working career,	
23			I've never known it to happen.	
24	21	Q.	Now, Mrs. Corrigan, as I've said, indicates it was you	
25			that told her about it. Do you recall that?	10:37
26		Α.	I genuinely don't, no. I'm sure I did. I've no doubt	
27			I did, but when I did it, I have no memory of.	
28	22	Q.	Moving on. I want to look for the next short while at	
29			what happens after you emerge from September.	

10:40

2 If we can put up on the screen TRU-257640. We saw on the last occasion, Mr. Carroll, how, on 22nd September, 3 4 you had brought your assistance to bear on a letter 5 drafted by Mr. Weir which was to form part of this 10:39 alternative approach, alternative to the Oversight 6 7 Committee, and led, it seems, by Mrs. Gishkori. You 8 clarified that your approach was to try and add 9 robustness in terms of the monitoring ability and the quantification of these issues. 10 10.39

1

11

19

12Now, there was an Oversight Committee meeting three13weeks later then on the 12th October. If you just14bring that up on the screen, please. AOB-01079. At15the bottom of that page, this is the 12th October -16you're obviously not present at this meeting - the17decision made, if we go to the bottom of the page, is18that:

20 "Mrs. Gishkori has informed the Committee that 10:40 21 Mr. O'Brien is going for planned surgery in November; 22 likely to be off for a considerable period. It was 23 noted that Mr. O'Brien had not been told of the 24 concerns following the previous oversight. It was also 25 noted that a plan was in place to deal with the range 10.40of backlogs within Mr. O'Brien's practice during his 26 27 absence. Then Mrs. Gishkori gave an assurance that when Mr. O'Brien returned from his period of sick 28 29 leave, that the administrative practices identified by

1 the Oversight Committee would be formally discussed 2 with him to ensure there was an appropriate change in behaviour. It was agreed that this would be kept under 3 4 review by the Oversight Committee". 5 10:41 I wonder if you can help us with this. Mr. O'Brien 6 7 doesn't go on sick leave until the middle of November. 8 You had contributed to finalising the letter that was 9 to go to him on 22nd September; roughly a period of three months between those dates. What we see here in 10 10.41 11 this decision, seemingly led by Mrs. Gishkori, that 12 Mr. O'Brien would not be spoken to until after he came 13 back from his leave of absence, his sick leave. Were you privy to her thinking in that respect or any 14 discussions in relation to that? 15 10:42 16 I don't recall Esther and I having any discussion NO. Α. in regard to or leading up to this October Oversight 17 18 meeting. 19 23 So after contributing to the letters --Q. 20 Α. Yes. 10:42 21 -- as we've just seen, was that, in essence, your final 24 0. 22 act or your final input around this? 23 well, as I said the last time I sat here, I viewed this Α. 24 plan to be being controlled by Dr. McAllister and I had said if you needed assistance with any 10:42 25 Mr. Weir. 26 part or all, I was happy to do that. So, I was waiting 27 on either of those senior doctors to come back, or Mrs. Gishkori to come back, but they never came back to 28 29 me so I never progressed with anything. I don't recall

having conversations with Mrs. Gishkori leading up to
 this meeting.

3 In terms of whether there was any awareness of 25 Q. continuing risk to patients, any discussion around 4 5 that, you simply aren't in a position to assist us? 10:43 well, I don't recall any discussions being had with me 6 Α. 7 in regard to progressing Mr. Weir's action plan as of 8 the 22nd. Now, I know Mr. Weir in his evidence said he 9 was looking for the initial meeting with Mr. O'Brien to be with Dr. McAllister and they just found it difficult 10:44 10 11 to get dates between all three lined up. I suppose 12 that's the only I can offer. But in terms of my input, 13 I didn't do anything other than what I did to 14 Mr. Weir's plan.

In terms of your input, you are the Assistant Director 15 26 Q. 10:44 16 with responsibility, obviously with people below you and people above you, for this service. Did you not 17 18 feel any inclination to push this towards commencing the process with Mr. O'Brien, it having been, if you 19 20 like, on the agenda in one shape or form, one form or 10:44 another for most of 2016? 21

I know it's very difficult and hard to explain that for 22 Α. that length of period, no action was taken, but 23 24 I suppose that's the reality. Again, my take on the meeting that we had with Dr. McAllister and 25 10.45Mrs. Gishkori on the 14th September was Dr. McAllister 26 27 and Mrs. Gishkori and Mr. Weir, they had a plan and they would take the plan forward to bring an outcome, a 28 29 positive outcome, a suitable outcome in terms of the

management of Mr. O'Brien. Whilst I contributed to 1 2 editing in some way the Mr. Weir plan, maybe naïvely 3 I was leaving it to the senior doctors to progress. Could I put a perspective to you which goes something 4 27 Q. 5 like this: Mr. O'Brien is, it appears, plainly in the 10:46 dark that all of these events are taking place behind 6 7 the scenes in respect of him. These matters directly 8 concern him. On the one hand, we have NCAS advising 9 that Mr. O'Brien needs significant support to be able to address these matters, and these matters are allowed 10:46 10 11 to drift with no action being taken, no discussion with 12 Then we get to the Oversight Group meeting on him. 13 22nd December, taking a decision to have a formal MHPS investigation and exclusion of him - and views may 14 differ about his response to the letter of 23rd March - 10:47 15 16 but he has not been engaged to do anything about his shortcomings and he has not been provided with any 17 18 support to address his shortcomings. 19 20 Is he entitled to feel aggrieved about that, in your 10:47 21 view? with hindsight, absolutely. I mean, the whole 22 Α. Yes. 23 process of any dispute or any member of staff who is

underperforming, or viewed or deemed to be
underperforming, is about two-way communication. So
yes, with hindsight Mr. O'Brien should have been spoken
with, should have been advised of what was happening;
what had happened and what was happening.

29

2up to and I think I only read it in preparation for3the Inquiry. But I take your point, Mr. O'Brien should4have been communicated with.528 Q. It may well be, as perhaps is implied by this minute,6and we'll hear from Mrs. Gishkori this afternoon, that7a decision was taken, perhaps from a welfare8perspective or a soft-landing perspective, if I can put9it in those terms, that Mr. O'Brien shouldn't be10approached on the edge of going in for a procedure11himself. That's not something you can comment upon, is12it?13A. No. I just think as a principle of fairness,14Mr. O'Brien should have been consulted with.1529 Q. Yes. Thank you.1610:4917Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what 10:4921happens in October and November in relation to that22issue.23If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and 10:5026down maybe into the top of the next page and I can pick27it up from there.28	1			I don't recall me being exposed to NCAS advice leading	
 have been communicated with. 28 Q. It may well be, as perhaps is implied by this minute, 10:48 and we'll hear from Mrs. Gishkori this afternoon, that a decision was taken, perhaps from a welfare perspective or a soft-landing perspective, if I can put it in those terms, that Mr. O'Brien shouldn't be approached on the edge of going in for a procedure 10:49 himself. That's not something you can comment upon, is it? A. No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with. 29 Q. Yes. Thank you. 10:49 Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay. Now, I just want to ask you some questions around what 10:49 happens in October and November in relation to that issue. If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	2			up to and I think I only read it in preparation for	
528Q.It may well be, as perhaps is implied by this minute, and we'll hear from Mrs. Gishkori this afternoon, that a decision was taken, perhaps from a welfare10.467a decision was taken, perhaps from a welfare epropective or a soft-landing perspective, if I can put it in those terms, that Mr. O'Brien shouldn't be approached on the edge of going in for a procedure himself. That's not something you can comment upon, is it?10.4611himself. That's not something you can comment upon, is it?10.4612it?1113A.No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with.10.461529Q.Yes. Thank you.10.4616101010.4617Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay.10.4618letter, i just want to ask you some questions around what issue.10.4614happens in October and November in relation to that issue.10.4615Perhaps just scroll down to the bottom of the page, and down maybe into the top of the next page and I can pick it up from there.10.48	3			the Inquiry. But I take your point, Mr. O'Brien should	
6and we'll hear from Mrs. Gishkori this afternoon, that7a decision was taken, perhaps from a welfare8perspective or a soft-landing perspective, if I can put9it in those terms, that Mr. O'Brien shouldn't be10approached on the edge of going in for a procedure11himself. That's not something you can comment upon, is12it?13A.14No. I just think as a principle of fairness,14Mr. O'Brien should have been consulted with.1529 Q.161017Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what21happens in October and November in relation to that22issue.23If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and26down maybe into the top of the next page and I can pick27it up from there.28	4			have been communicated with.	
7a decision was taken, perhaps from a welfare8perspective or a soft-landing perspective, if I can put9it in those terms, that Mr. O'Brien shouldn't be10approached on the edge of going in for a procedure11himself. That's not something you can comment upon, is12it?13A.14No. I just think as a principle of fairness,14Mr. O'Brien should have been consulted with.1529 Q.1610.4017Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what10.4021happens in October and November in relation to that22issue.2324If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and 10.5026down maybe into the top of the next page and I can pick27it up from there.28	5	28	Q.	It may well be, as perhaps is implied by this minute,	10:48
 perspective or a soft-landing perspective, if I can put it in those terms, that Mr. O'Brien shouldn't be approached on the edge of going in for a procedure 10:40 himself. That's not something you can comment upon, is it? A. No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with. 29 Q. Yes. Thank you. 10:40 Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay. Now, I just want to ask you some questions around what 10:40 happens in October and November in relation to that issue. If we could have up on the screen, please, TRU-251438. perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	6			and we'll hear from Mrs. Gishkori this afternoon, that	
 9 it in those terms, that Mr. O'Brien shouldn't be approached on the edge of going in for a procedure 10:49 himself. That's not something you can comment upon, is it? 13 A. No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with. 15 29 Q. Yes. Thank you. 10:49 16 17 Now, we know that when Mr. O'Brien was given the March 18 letter, it provided that he should return patient charts to the hospital premises without further delay. Now, I just want to ask you some questions around what 10:49 10 happens in October and November in relation to that issue. 23 24 If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	7			a decision was taken, perhaps from a welfare	
10approached on the edge of going in for a procedure himself. That's not something you can comment upon, is it?12it?13A.14No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with.15291610:491610:4917Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what issue.21happens in October and November in relation to that issue.23If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and down maybe into the top of the next page and I can pick it up from there.2828	8			perspective or a soft-landing perspective, if I can put	
11himself. That's not something you can comment upon, is12it?13A.No. I just think as a principle of fairness,14Mr. O'Brien should have been consulted with.1529 Q.1610:401617Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what21happens in October and November in relation to that23If we could have up on the screen, please, TRU-251438.24If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and 10:5026down maybe into the top of the next page and I can pick27it up from there.28	9			it in those terms, that Mr. O'Brien shouldn't be	
12it?13A.No. I just think as a principle of fairness,14Mr. O'Brien should have been consulted with.1529 Q.1610:401617Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what 10:4021happens in October and November in relation to that22issue.231124If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and 10:5026down maybe into the top of the next page and I can pick27it up from there.28	10			approached on the edge of going in for a procedure	10:49
13A.No. I just think as a principle of fairness, Mr. O'Brien should have been consulted with.1529Q.Yes. Thank you.10:491610101017Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay. Now, I just want to ask you some questions around what happens in October and November in relation to that issue.10:492311If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and down maybe into the top of the next page and I can pick it up from there.10:50	11			himself. That's not something you can comment upon, is	
14Mr. O'Brien should have been consulted with.1529 Q.Yes. Thank you.1610:49161717Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what21happens in October and November in relation to that22issue.231124If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and26down maybe into the top of the next page and I can pick27it up from there.	12			it?	
1529 Q.Yes. Thank you.10:4916	13		Α.	No. I just think as a principle of fairness,	
1617Now, we know that when Mr. O'Brien was given the March18letter, it provided that he should return patient19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what21happens in October and November in relation to that22issue.23If we could have up on the screen, please, TRU-251438.24If we could have up on the bottom of the page, and 10:3026down maybe into the top of the next page and I can pick27it up from there.28	14			Mr. O'Brien should have been consulted with.	
 Now, we know that when Mr. O'Brien was given the March letter, it provided that he should return patient charts to the hospital premises without further delay. Now, I just want to ask you some questions around what 10:49 happens in October and November in relation to that issue. If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	15	29	Q.	Yes. Thank you.	10:49
18 letter, it provided that he should return patient 19 charts to the hospital premises without further delay. 20 Now, I just want to ask you some questions around what 10:49 21 happens in October and November in relation to that 22 issue. 23 24 If we could have up on the screen, please, TRU-251438. 25 Perhaps just scroll down to the bottom of the page, and 10:50 26 down maybe into the top of the next page and I can pick 27 it up from there. 28	16				
19charts to the hospital premises without further delay.20Now, I just want to ask you some questions around what 10:4921happens in October and November in relation to that issue.22issue.23If we could have up on the screen, please, TRU-251438.24If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and down maybe into the top of the next page and I can pick26it up from there.28	17			Now, we know that when Mr. O'Brien was given the March	
 Now, I just want to ask you some questions around what 10:49 happens in October and November in relation to that issue. If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	18			letter, it provided that he should return patient	
21 happens in October and November in relation to that 22 issue. 23 24 If we could have up on the screen, please, TRU-251438. 25 Perhaps just scroll down to the bottom of the page, and 10:50 26 down maybe into the top of the next page and I can pick 27 it up from there. 28	19			charts to the hospital premises without further delay.	
 issue. If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	20			Now, I just want to ask you some questions around what	10:49
 If we could have up on the screen, please, TRU-251438. Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	21			happens in October and November in relation to that	
24If we could have up on the screen, please, TRU-251438.25Perhaps just scroll down to the bottom of the page, and 10:5026down maybe into the top of the next page and I can pick27it up from there.28	22			issue.	
 Perhaps just scroll down to the bottom of the page, and 10:50 down maybe into the top of the next page and I can pick it up from there. 	23				
 26 down maybe into the top of the next page and I can pick 27 it up from there. 28 	24			If we could have up on the screen, please, TRU-251438.	
<pre>27 it up from there. 28</pre>	25			Perhaps just scroll down to the bottom of the page, and	10:50
28	26			down maybe into the top of the next page and I can pick	
	27			it up from there.	
29	28				
	29				

1			Pamela Lawson, is she in medical records?	
2		Α.	Yes. She's the head of.	
3	30	Q.	So 17th October, five days after the last Oversight	
4			meeting, she has just learned that Mr. O'Brien is going	
5			off on sick leave and she would like to get any charts	10:51
6			back into Records from his home. She notes that	
7			Martina Corrigan is off on leave until the end of the	
8			month, and she's asking is there anything we could do	
9			in the meantime. So, that issue is on her agenda.	
10				10:51
11			If we scroll up to the top of the next page.	
12			Simon Gibson is asking Martina, upon her return from	
13			leave:	
14				
15			"In the context of discussions held last month, do you	10:51
16			know volume of charts Dr. O'Brien has at home?"	
17				
18			So, that issue hasn't gone away, some people are	
19			actually thinking about it. But perhaps the easiest	
20			knot to untangle arising out of the March letter should	10:52
21			have been the "notes at home" issue. We'll see by	
22			January of 2017 the number of notes that return from	
23			his home.	
24				
25			Can you help us with this, and maybe you don't agree	10:52
26			that maybe it was the easiest knot to untangle, there	
27			doesn't seem to have been any follow-up on even that	
28			issue, "please get your notes back into the hospital.	
29			You were told in March that we require them back	

1			forthwith". Was that an issue that ever crossed your	
2			desk?	
3		Α.	I suppose the short answer is no. I didn't progress	
4			any aspect of the March '16 letter, as I said on the	
5			2nd March. I mean, I'm not being copied into these	10:53
6			emails so that was going on without me knowing. Would	
7			it be possible to pull up the March letter?	
8	31	Q.	Indeed. It's to be found at I don't have the	
9			reference right to hand.	
10			MR. BOYLE: AOB-00979.	10:53
11			MR. WOLFE KC: Thank you, Mr. Boyle.	
12	32	Q.	That's the letter of 23rd March. If we scroll down to	
13			the next page, please. "Patient notes at home".	
14			You were going the right way. Thank you. Just pause	
15			there.	10:54
16				
17			He has been told:	
18				
19			"This has been an ongoing issue for years and needs	
20			addressed urgently. We request that all Trust charts	10:54
21			that are in your Home or in your car be brought to the	
22			hospital without further delay".	
23				
24			I used the word "forthwith" earlier. Does that assist	
25			you with what you wanted to say?	10:54
26		Α.	Again, I'm just restating what I said earlier. I mean,	
27			I didn't progress any of the actions 1 to 4 on the	
28			March '16. I admit, as I said previously, with	
29			hindsight it's something I regret, and I should have.	

33 Q. There's another development around this that I wish to 1 2 If we just bring up on the screen, please, put to vou. AOB-01226. We have here - just that so I can see the 3 text - Mr. O'Brien is writing to Martina Corrigan on 4 5 14th November. He says that he's going to be having 10:55 his surgery on Thursday morning, expects to be home 6 7 again over the weekend. He says: 8 9 "I expect that I will be well enough to dictate correspondence concerning patients and have the charts 10 10.55 delivered to Noleen's office", that's his medical 11 12 secretary, "for typing". 13 14 "I would greatly appreciate if I could be afforded this 15 opportunity to have all charts returned in this 10:56 16 manner". 17 18 So, he is off sick. It's guite clear from the email 19 that he intends to work while on sick leave or while 20 convalescing, and it is quite clear that he has notes 10:56 21 at home. 22 23 If we could just go on up. That's right, that 24 direction. So Martina responds, saying: 25 10:56 26 "I'm more than happy with this plan. Please let me 27 know if there is anything I can do to assist." 28 29 Then she asks about a particular chart which Governance

1 are seeking.

_		a c ccange	
2			
3		Again, you're not copied into this but it would appear	
4		on any analysis that Mrs. Corrigan is not only aware of	
5		the continuation of the charts at home saga, but she is	10:57
6		giving her blessing to a plan which allows him to work	
7		at home while on sick leave on those charts and return	
8		them in the manner that he sees fit when he's done with	
9		the dictation, it seems. Again, is that issue	
10		something that you were consulted upon?	10:57
11	Α.	Again, the short answer is no. I only became aware of	
12		this email in the bundle. Martina and I I have no	
13		recollection of Martina and I discussing Mr. O'Brien	
14		working whilst on sick leave to catch up on his	
15		dictation.	10:58
16	34 Q.	In light of what we know was the Trust's purported	
17		concern to get these charts back, and you have a	
18		situation where one of your managerial colleagues is	
19		seemingly, and for reasons we can explore with her,	
20		endorsing a continuation of the status quo ante, how	10:58
21		does that sit with you?	
22	Α.	well again, it undermines the principle or the purpose	
23		of the March 2016 letter, in that the Trust felt it	
24		appropriate to formally write to Mr. O'Brien asking	
25		them to action the four elements of what was documented	10:58
26		in the letter and for him to come back with a plan. In	
27		fact, Martina was a part author of that letter to	
28		Mr. O'Brien. I suppose what I would say to the Inquiry	
29		is that it undermined that letter and the purpose of	

1			that letter.	
2	35	Q.	The fact that the letter had been issued Just to	
3			focus on this issue of the notes - nobody had rapped	
4			his door again to say, 'March letter. You were told in	
5			clear terms, get these notes back'. The fact that that $_{10:59}$	
6			doesn't happen and then we have this, Mrs. Corrigan	
7			endorsing retention of the notes for the purposes of	
8			allowing him to dictate, it reflects an inconsistent	
9			practice at best?	
10		Α.	I wouldn't disagree with you on that. It doesn't read 11:00	
11			very well.	
12	36	Q.	So October, we're into November. Is it the case, in	
13			terms of your role, because of the decision taken at	
14			the Oversight meeting in October to await Mr. O'Brien's	
15			return before this issue is going to be grappled with, 11:00	
16			that you're getting on with your many other tasks, and	
17			Mr. O'Brien's world and the concerns that the Trust had	
18			about him are not on your agenda any more until	
19			December?	
20		٨		
	77	A.	That would be fair to say, yes.	
21	37	Q.	An email is written on the 6th December. Just pull	
22			this up on the screen. TRU-251827. We can see	
23			Esther Gishkori is writing to Dr. Wright, then Medical	
24			Director, copying Vivienne Toal. She is updating him	
25			that Mr. O'Brien has had surgery, and sick lines are $11:01$	
26			being submitted. If we scroll down, she's referring	
27			here to the "SAI review continuing and will no doubt	
28			produce its own recommendations", and I want to turn to	
29			that SAI from your perspective in a moment. That's the	

1 SAI concerning Patient 10. You know who I'm talking 2 about. 3 She said in the concluding paragraph: 4 5 11:02 6 "I have been having conversations in relation to Mr. O'Brien's 'return to work' interview. 7 We thought 8 this would be a good time to set out the ground rules 9 from the start. Since Colin and Charlie are both off sick, Mark wondered if you and I could do this. 10 Si nce 11.02 11 there are both professional and operational issues 12 here, I feel that this is entirely reasonable." 13 14 So, this is the 6th December. Up the page, please. Richard Wright signals that this sounds very reasonable 11:02 15 16 and asks "any ideas when that is likely to be?" 17 18 In terms of your engagement, this is the 6th December, 19 do you have any understanding that this is how it is to 20 be done, Mr. O'Brien would be spoken to after he 11:03 21 returned? I suppose it is only reinforcing to some extent what had been decided in October. 22 Yes. That's what I was going to say, it sounds like a 23 Α. 24 follow-on from what Mrs. Gishkori fed back to the Oversight Committee in October. Again, I'm not in 25 11.03 those emails and I don't recall having discussions with 26 27 Mrs. Gishkori about what she wrote to Dr. Wright. There's no sense at this stage, while the SAI is 28 38 Q. 29 mentioned and there's an awareness that there is an SAI

1			working its way through the system, there's no	
2			suggestion at this stage that the SAI is going to	
3			affect the plan or the strategy going forward with	
4			regard to Mr. O'Brien?	
5		Α.	The strategy in terms of Mr. Weir's	11:04
6	39	Q.	In terms of how Mr. O'Brien's shortcomings or perceived	
7		-	shortcomings would be managed?	
8		Α.	No. I don't recall being party to any conversation in	
9			regard to I suppose from my mind, Mr. Weir's plan	
10			was still the plan that was on the table to be	11:04
11			progressed.	
12	40	Q.	Yes.	
13		Α.	Now, I do appreciate Dr. McAllister in October, and	
14			then Mr. Weir, both went off on sick leave, and maybe	
15			with hindsight that was a missed opportunity to sit	11:04
16			down and have a discussion about Mr. Weir's plan and	
17			the possibility or the reality would it ever be	
18			would life be given to it, and should we have a rethink	
19			and revisit the decision of the September Oversight	
20			Committee meeting. But that never and that's just	11:05
21			me with hindsight. But those discussions never took	
22			place.	
23	41	Q.	Now, the sense that this could be left until after	
24			Mr. O'Brien returned to work and the rules would be set	
25			out to him at a return-to-work meeting, the strategy,	11:05
26			if you like, or that plan going forward was to change	
27			upon developments around the SAI. Do you recall that?	
28		Α.	Yes. I think, from reading the evidence, Mrs. Gishkori	
29			received communication from Dr. Boyce in November,	

I think the middle of November, where she gave 1 2 I suppose a heads-up as to what the SAI Panel chaired 3 by Mr. Glackin was saying. Then I think Mrs. Gishkori writes a separate email to Mr. Wright in regard to her 4 5 concerns about the SAI. 11:06 6 I can help you with that by putting up some of 42 Q. Yes. 7 the documents. Maybe if we just help the Inquiry 8 through this if we look just briefly at what you said 9 in your statement on this, WIT-21122. At 14.1, you 10 say: 11:06 11 12 "On 16th December I received an email from Dr. Tracey 13 Boyce of Pharmacy with responsibility to Acute The email had 14 Governance, addressed to Mrs. Gishkori. attached to it a letter of 15th December 2016 from 15 11:07 16 Mr. Glackin expressing three concerns vis the default 17 triage system, the patients' notes leaving the Trust 18 and patients' letters not being dictated in a timely 19 manner". 20 11:07 21 Then you go on to talk about the Oversight Committee 22 meeting which was to meet within the week. 23 24 Let's just go then to what Dr. Boyce sent to you. It's 25 a letter or a note marked "Dear Tracey". If we could 11.07 26 have that up on the screen, please. It's AOB-01245. 27 28 While this isn't signed, it's your understanding that 29 it's authored by Mr. Glackin, who was leading on the

1			SAI review for Patient 10; is that right?	
2		Α.	That would be my assumption, yes.	
3	43	Q.	He's setting out in this document, is it fair to say,	
4			some findings and analysis and concerns arising out of	
5			the SAI review?	11:08
6		Α.	Correct.	
7	44	Q.	If we just scroll down the page, please. Further on	
8			down, thank you. Just back down, please, to the bottom	
9			of the page.	
10				11:09
11			He's saying that upon conclusion, the Review Team have	
12			a number of concerns in relation to Mr. O'Brien's	
13			practice, it seems, which go beyond the instant case,	
14			that go beyond simply Patient 10's case. Is that your	
15			understanding?	11:09
16		Α.	Yes. Yes.	
17	45	Q.	If we scroll down further, please. He says that "these	
18			issues and themes concern the following", and he sets	
19			out three issues.	
20				11:10
21			The first issue is the use of an informal process to	
22			monitor and manage urology letters which had not been	
23			returned with management advice, in other words they	
24			hadn't been triaged. That's the first issue.	
25				11:10
26			The second issue is that a look-back exercise had been	
27			conducted and it revealed that a patient chart could	
28			not be found on the premises. Just scroll down,	
29			please. He says:	

1			
2		"On balance the Review Team agree there is sufficient	
3		cause for concern that Trust documentation may be	
4		leaving Trust facilities and the process of record	
5		transportation for this speciality does need urgently	11:11
6		addressed".	
7			
8		Then, thirdly, the review Panel say that they have	
9		grave concerns, the third sentence:	
10			11:11
11		"That other urology patient letters are not being	
12		dictated in a timely manner". They say that:	
13			
14		"The Panel have found that the Trust does monitor the	
15		number of charts needing audio-typing of dictation but	11:11
16		there does not appear to be a robust process to monitor	
17		if post-consultation patient dictation has been	
18		completed".	
19			
20		That's a summary of what that "Dear Tracey" letter	11:12
21		concerns.	
22			
23		In terms of your response to it, the SAI itself,	
24		Patient 10's SAI, when did you first become aware of	
25		that?	11:12
26	Α.	I think probably in and around this time. When I	
27		received this letter via Dr. Boyce, I remember speaking	
28		to Mrs. Corrigan and tried to dissect what Mr. Glackin	
29		was referring to see if we could understand and could	

1			we quantify some of the issues. So probably in and	
2			around this time of Patient 10's SAI.	
3	46	Q.	We can see - and I don't need to bring it up on this	
4			screen, the reference for the Panel is PAT-000053 -	
5			that an SAI in respect of Patient 10 was notified in	11:13
6			March 2016, and that was consequent upon an incident	
7			report having been raised by Mr. Haynes in January of	
8			that year. So, it's notified in March 2016 and it's	
9			reporting, as we can see from the "Dear Tracey" letter,	
10			towards the end of the year Dr. Glackin is saying	11:14
11			we completed the SAI. Is it right to say that you have	
12			no awareness of it trundling through the system until	
13			that time?	
14		Α.	Yes. I would not have been aware of, obviously in	
15			March of sorry, in January 2016, the Datix being	11:14
16			completed. I know Mrs. Gishkori in her evidence does	
17			reference speaking to me and Mrs. Trouton in regard to	
18			it. In terms of Patient 10 registering with me and the	
19			gravity of it was probably when I received the "Dear	
20			Tracey" letter.	11:15
21	47	Q.	Does that suggest in governance terms, an SAI being	
22			worked through the system isn't the subject of	
23			discussion in any forum in 2016 that you were a member	
24			of?	
25		Α.	No. The normal process for any SAI would be the Datix,	11:15
26			the screening, the investigation, and then the	
27			reporting at the end of investigation. That would have	
28			come to the Acute Governance meeting which was held on	
29			a monthly basis. It would not have been common	

practice that an SAI would be referred to before the 1 2 report had concluded, unless there was something 3 extremely grave in it. This is a slight tangent to what we're talking about, 4 48 0. 5 but has that situation changed now? Is there a better 11.16 6 ability in terms of the service that owns the SAI or is 7 most interested in the SAI to keep tabs on it? 8 So, yes. We would have monthly governance meetings at Α. 9 which would be the governance coordinators, and they would update us as to progress or not of SAIs. 10 That 11:16 11 would be a normal practice now. 12 As we can see from the "Dear Tracy" letter, it refers 49 Q. 13 in the first bullet point to this method for dealing with triage that hasn't been done. It's referred to as 14 15 an informal process for managing urology letters not 11:17 16 triaged. 17 18 Can I ask you about something you've said about that to TRU-00763. 19 Dr. Chada. Maybe bring it back up so the 20 witness can see. At paragraph 8, you're referring us 11:17 21 to the issues raised by Mr. Glackin. You say at 22 paragraph 9: 23 24 "It came to my attention through this that because 25 referrals from the booking centre were not coming back 11:18 from Mr. O'Brien's office, it had been agreed that if 26 27 referrals didn't come back, the secretary would put 28 them onto the system according to the GP triage so they 29 would not be lost in the system. Mr. Glackin wrote

1 expressing concern about that". 2 You say "I believe it may have been November '15" but 3 4 you can see it is November 2016. 5 11:18 Is it the case that it's only when Mr. Glackin wrote 6 7 the "Dear Tracey" letter, that it came to your 8 attention that this default arrangement, if I can call it that, for the processing of untriaged referrals 9 comes to your attention? 10 11:19 11 Α. Yes. Yes. I mean, I don't recall having an 12 understanding prior to that that the default system was 13 in operation. I suppose my understanding is the 14 default system came into operation in around 2014, and I would not have been aware of it in my previous 15 11:19 16 Assistant Director role. So when I came in in April, I don't recall Mrs. Trouton or Mrs. Corrigan advising 17 me of the default system. Unless they're going to say 18 19 something different, but that's my recollection, 20 I didn't become aware of it until Mr. Glackin made 11:20 reference to it. 21 22 50 You're obviously the Assistant Director within Acute. Q. I know urology and the business around Mr. O'Brien is 23 24 not the only item on your desk, and your involvement in 25 that sort of peaked and troughed depending upon when 11.20 the issues came up. We saw on the last occasion you 26 27 were in receipt of the March letter. If we just bring that up again, it is at TRU-274696. If we can look 28 29 just under triage.

1				
2			"There are currently 253 untriaged letters". It goes	
3			on to say: "Lack of triage means we do not know	
4			whether the patients are red-flag, urgent or routine.	
5			Failure to return the referrals to the Booking Centre	1
6			means that the patients are only allocated on a	
7			chronological basis with no regard to urgency".	
8				
9			That is an indirect description of the default system,	
10			isn't it, that when triage isn't done, the system kicks $_{ m 11:21}$	1
11			in to allocate on the basis of chronology rather than	
12			any assessment of clinical urgency?	
13		Α.	Yes. I mean, I accept that point. The patients were	
14			kept on whatever the GP had clinically prioritised them	
15			at. 11:21	1
16	51	Q.	Is that something you didn't know? What did you think	
17			happened to referrals that weren't triaged?	
18		Α.	I mean, in terms of registering with me, as I said,	
19			this letter I didn't act on in regard to when it	
20			reached my consciousness in terms of needing acted was 11:22	2
21			around Mr. Glackin's "Dear Tracey" letter. I think	
22			you're being very fair in terms of I mean urology, and	
23			Mr. O'Brien particularly, was a very small element of	
24			my day-to-day work with many other challenges which	
25			would come across my desk on a daily basis. So yes, 11:22	2
26			I accept reading that in the cold light of day it does	
27			make reference to the default system.	
28	52	Q.	But is it the case - and help me if you can with this -	
29			that for whatever reason, whether inattention to	

1			detail, whether it's just the complexities of your	
2			working life, that you didn't fully appreciate,	
3			you didn't have a sensitivity to the implications of	
4			what you knew was going on with Mr. O'Brien's practice	
5			in terms of his failure to triage?	11:23
6		Α.	I think that's fair.	
7	53	Q.	If we go back to the "Dear Tracey" letter, AOB-01246.	
8			If we go to the top bullet point. Mr. Glackin records,	
9			about a quarter of the way down:	
10				11:24
11			"The presence of this process implies that it was	
12			accepted that triage noncompliance was to be expected	
13			by a minority of consultants within the urology	
14			specialty. On 6 November 2015, an email from the AD of	
15			Functional Service formally implementing this process.	11:24
16			The Review Panel are anxious that the current process	
17			does not have a clear escalation plan which evidences	
18			inclusion of the consultant involved. In addition,	
19			this process has not been effective in addressing	
20			triage noncompliance. From 28th July 2015 until	11:25
21			5th October 2016, there are 318 patient letters which	
22			are not triaged".	
23				
24			I suppose that sets the problem with it in context.	
25			The failure to triage was not actually being grappled	11:25
26			with and there was no way of dealing with it, or the	
27			system seems to have given up on dealing with it. Is	
28			that a fair way to look at it?	
29		Α.	I think I have reflected on this in my statement.	

I think I said it was a flawed system. The default 1 2 system was flawed for the reasons Mr. Glackin has just It didn't address the underlying issue of 3 provided. 4 non-triage or delayed triage. 5 54 We'll go on and see in a moment that it appears to be, Q. 11:26 at least in part, the arrival on your doorstep of this 6 7 letter and the implications of the failure to triage 8 for a particular patient that was to be a trigger for 9 the MHPS investigation. We'll look at that. This sense that failing to triage could place patients at 10 11.26 11 risk, that would have been as obvious in -- it should have been as obvious in September, shouldn't it, as it 12 appears to have become obvious in December? 13 I accept your point. I would also say it should have 14 Α. been as obvious when it was initiated in 2014 or '15, 15 11:27 16 that the implementation of the default work-around did 17 not fix the problem. 18 55 Yes, I think that follows. I appreciate you for saying Q. that. 19 20 11:27 21 In your statement you recall meeting with Mrs. Corrigan after receiving this "Dear Tracey" letter. I think you 22 suggest that you received the "Dear Tracey" letter on 23 24 16th December but, in fact, we see action from Mrs. Corrigan on 15th December. So, it may well be 25 11.27 that you received the "Dear Tracey" letter on the 15th. 26 27 Yes. Α. Leaving that fine detail to one side, you say 28 56 Q. Mrs. Corrigan was asked to go away, as such, and report 29

1			on the various issues that arose from the "Dear Tracey"	
2			letter?	
3		Α.	Yes.	
4	57	Q.	We can see, for example if we go to WIT-14348, that in	
5			respect of triage, these details are passed on to you	11:28
6			on 22nd December. But if we just scroll down the page,	
7			please. Christine Rankin on 15th December is writing	
8			to Connie Connolly and Katherine Robinson. She is	
9			saying:	
10				11:29
11			"As discussed please find attached spreadsheet	
12			containing 318 records which never came back from	
13			strategi c".	
14				
15			It says:	11:29
16				
17			"Copies of the letter for those highlighted in yellow	
18			have since been looked at by Mr. Brown and he has	
19			agreed the conditions are something he can see as	
20			opposed to whether or not the referral should be urgent	11:30
21			or routine. We are currently booking these to	
22			Mr. Brown's clinics," etcetera.	
23				
24			Do you know whether that activity it was directed by	
25			Mr. Glackin; we can see that figure of 318 in his	11:30
26			report. Was any other work done around triage at that	
27			time in terms of interrogating the issues, from your	
28			perspective?	
29		Α.	No. Not at that stage, no. No.	

I suppose what stands out clearly from this email is 1 58 Q. 2 that the Trust, through its staff, were clearly able to 3 understand the extent to which triage wasn't being performed, isn't that right? The system enabled them 4 5 to keep a track of this and produce the numbers. 11:31 6 Α. Yes. 7 This issue wasn't hidden? 59 Q. 8 I think what I could say or what I would offer is that Α. 9 with the default system in place, I suppose there was a false sense of security. I don't recall escalating 10 11:31 emails from the booking centre in regard to, for 11 12 example these 218 records. Maybe everybody felt with 13 the default system - and it had been in place since 2014 or '15 - that things were continuing on as had 14 been for several years. 15 11:32 16 60 What the Inquiry has seen is that up until Q. Yes. introduction of the default system, there was a regular 17 18 informal escalation process. So, emails would reach Mrs. Corrigan and she would then chase Mr. O'Brien to 19 get the triage back. With the introduction of the 20 11:32 21 default system, that escalation process didn't occur. 22 The cases, if they were left untriaged, simply went on the waiting list in accordance with the referrer's 23 clarification? 24 25 Α. Yes. 11:32 Again, another flaw of the system; isn't that right? 26 61 Q. 27 Α. As I said in my statement, I think the system was flawed. 28 As regards undictated clinics, this "Dear Tracey" 29 62 Q.

1 letter seemed to have spurred some work around that. 2 If we go to TRU-255968. Just scroll down the page, 3 please. 4 5 Noleen Elliott on 15th December is e-mailing Andrea 11:33 6 Cunningham. The subject is Backlog Report - No Clinic 7 Outcomes. She says: 8 9 "Please find attached list of clinics with no outcomes 10 completed as per 15th December...". 11:34 11 12 If we go back up the page, please. Keep going, thank 13 Katherine Robinson writes to Anita Keep going. you. 14 Carroll on this subject, and she says: 15 11:34 16 "See the attached list. This is a list of clinics that 17 Mr. O'Brien has not dictated on and hence no outcome 18 for some of these patients. There is a risk that 19 something could be missed so I am escalating to you, 20 although I know that a lot of the time Mr. O'Brien 11:35 21 knows himself what is to happen with patients. 22 Unfortunately, this was not highlighted on the backlog 23 report. The secretary assumed we knew because there 24 have always been issues with this particular 25 consultant's administrative work from our perspective. 11.35 26 27 "As learning from this discovery, I have asked all 28 secretaries to provide this information on the backlog 29 report so that we fully understand the whole picture of

1 what is outstanding in each special ty. The secretary 2 also advises that at present Mr. O'Brien is working on 3 some of his backlog admin work as he is off sick 4 recoverina." 5 11:35 Just go on up the page, please. This is copied to you 6 7 on the day of the Oversight meeting, which we'll come 8 to in a moment. You then forward it on the day after 9 the meeting, albeit I think it was the subject of discussion at the meeting. 10 11:36 11 12 Just go up the page again, please. Allow me a moment, 13 Chair. If we could scroll down to TRU-255969. What we have here and on the subsequent page, 70 in this 14 sequence, is 61 clinics which are said by Mrs. Elliott 11:36 15 16 not to have been completed in terms of dictation. This is the origin of the 61 clinics which was to feature in 17 18 Dr. Chada's report. 19 20 What was your understanding of the backlog report, 11:37 21 Mr. Carroll? Was that something that you had a working 22 appreciation of? 23 It was a report that I only came exposed to when Α. 24 I became Assistant Director for Surgery; it wasn't a report that was previously in the cancer and clinical 25 11.37 My understanding was it was the backlog 26 services. 27 report described several aspects of the secretarial For example, ward discharges. 28 staff work. I think 29 there was two elements which referred to patients on 36

the ward, and then the third column referred to 1 2 dictation, outpatient dictation. So clinics and the number of letters which were waiting to be dictated and 3 4 typed. 5 11:38 My understanding, the development of that report was 6 7 for the secretary, the RBC, to have an understanding of 8 the working volume across all the secretarial staff to 9 see was everybody up to date with their typing and, if they weren't, could resources be reallocated across the 11:38 10 11 secretarial team. That's my understanding of the 12 origins of the report. 13 63 So, it was essentially a way of allowing managers to Q. understand whether there was a typing backlog in any 14 part of the system and, if so, whether it could be 15 11:39 16 reallocated to any spare capacity amongst the typing 17 pool? 18 Yes. Α. The criticism which Katherine Robinson seems to 19 64 Q. 20 suggest, if we go back to 67 in that series, two pages 11:39 21 up, is that Mr. O'Brien's secretary was not 22 highlighting on the report that dictation was not being 23 completed. Was that the expectation of the secretary 24 or, indeed, of the backlog report? From my perspective, I mean the role of the 25 Α. 11:40 consultant's secretary is to clearly undertake the 26 27 typing associated with the work of the consultant in its totality, whether it be in-patient or outpatient 28 29 My expectation would be that any secretary activity.

would be dictating the outcomes of clinics as they 1 2 received them from their consultant. 3 The point, I suppose, I'm asking is if 65 Q. Of course. Mr. O'Brien sees 12 patients at a clinic at the SWAH on 4 5 a Wednesday afternoon but only two or three letters are 11:40 dictated and come back to his secretary, what is she to 6 7 do with her knowledge that he hasn't dictated on the remainder? 8 9 So, my expectation is that she would speak to Α. Mr. O'Brien and say the clinic in SWAH, of the twelve 10 11 · 41 11 patients seen, you've only dictated on three, where are the other nine? Then there would be an outcome from 12 13 that. If there was no outcome, then I would expect her to have escalated that to her services administrator. 14 If he's telling her, for example, I don't need to 15 66 Q. 11:41 16 dictate on those now, they're not urgent or whatever, there's no expectation that she should take that 17 18 anywhere else; her relationship is with Mr. O'Brien? 19 Α. Well, yes, and I don't underestimate the relationship 20 between the consultant and the secretary but also she 11:42 21 has a responsibility to escalate to her superior, not least because if she's not dictating Mr. O'Brien's 22 work, what is she doing. 23 24 67 The point being she is dictating his work, he's not Q. necessarily dictating on all of the clinical entries. 25 11:42 She can't dictate if there's nothing to dictate. 26 27 Α. What I'm trying to say, in your example if there's 12 patients and he only gives his secretary three, as 28 opposed to 12, she is only doing a guarter of the work 29

1 that she should be typing.

19

2 How is his failure to dictate, if we can call it that -68 Q. 3 and I know that that's not uncontroversial from his perspective - but how is that failure to dictate on a 4 5 clinical encounter to be reflected on a backlog report? 11:43 It's not. I mean, I think that's one of the flaws in 6 Α. 7 the system also. The report is only as good as the 8 data that feeds it. If Mr. O'Brien is not dictating 9 and there's a zero against Mr. O'Brien in the dictation column, you're lulled into a false sense - as we were - 11:43 10 11 you're lulled into a false sense that Mr. O'Brien is up 12 to date with his dictation.

13 69 Q. We know from the March letter that the system was
14 aware, through his clinical colleagues, his consultant
15 colleagues, that he wasn't up to date with his dictation. Obviously another source potentially for
17 that might be the medical secretary, and we've looked
18 at that.

20 Can I ask you to look at this document. It has been 11:44 21 drawn to our attention by Mrs. Elliott and we'll 22 explore it more fully with her when she gives evidence. It is WIT-76603. I understand that she would describe 23 24 this - and I shouldn't pre-empt her evidence too much but this is a document which I understand is sent from 25 11 · 44 the Data Quality Team to medical secretary managers. 26 27 Although we don't have any legends at the top to help us understand what the document is, if we can see, for 28 29 example, when we first reach Mr. O'Brien about six

1			entries down and read across to the fifth column,	
2			there's a code, AAOBU1. That relates to an Armagh	
3			clinic. The clinic dates from 2nd November 2015. We	
4			understand that this report was run in April 2016.	
5			This is a method by which the Data Quality Team and the	11 · 45
6			medical secretary managers, as we understand it - and	
7			we can check this with Mrs. Elliott in evidence - it	
8			allows them to see where outcomes have not been	
9			finalised following a clinic. The shortcoming, for	
10			whatever reason, is that it doesn't deal with the SWAH	11:46
 11			clinics, and again we can explore that.	11.40
12				
13			Do you have any knowledge with this kind of report?	
14		Α.	No, I don't.	
15	70		That's not something that ever crossed your desk from a	11:46
16			management perspective?	
17		Α.	No.	
18	71	Q.	In terms then of the system that was in place or ought	
19		•	to have been in place to enable managers to understand	
20			whether dictation was happening, were you wholly	11:46
21			dependent, from your experience, on clinical colleagues	
22			identifying problems or medical secretaries identifying	
23			problems?	
24		Α.	Yes, I think that would be fair to say. And, for what	
25			it was worth, the backlog report.	11:47
26	72	Q.	The backlog report would tell you about	
27		Α.	Outstanding dictation.	
28	73	Q.	Well, it wouldn't tell you outstanding dictation, would	
29			it? It would tell you about the level of activity; it	

1			would maybe tell you where dictation has yet to be	
2			typed, but it wouldn't tell you that Mr. O'Brien or any	
3			other clinician has failed to dictate?	
4		Α.	No, and that's what I'm trying to say, is that the	
5			report had inherent weaknesses in it. If Mr. O'Brien	11:48
6			doesn't dictate, you're not going to know that in the	
7			backlog report. So, yes, there's a shortcoming.	
8	74	Q.	Is that a shortcoming that remains to this day, or how	
9			do health service systems grapple with the possibility	
10			that a clinician isn't doing all the work in terms of	11:48
11			dictation that is expected of him?	
12		Α.	Yes. I do think that concern still remains in the	
13			health service. I'm not the most up to date in	
14			administrative dictation so I may not be the best	
15			person to give an opinion, but my understanding is	11:49
16			we did move from audio typists to digital dictation,	
17			which improved, but we haven't gone beyond that,	
18			I don't believe. I know they tried to bring in another	
19			system; I can't recall the name of it. But I think	
20			digital dictation is as far as we got. I could be	11:49
21			incorrect in that but someone more authoritative than	
22			me could tell you.	
23			MR. WOLFE KC: Before we move to the Oversight meeting,	
24			can we take a short break, perhaps?	
25			CHAIR: Yes. Five past 12, ladies and gentlemen.	11:49
26				
27			THE INQUIRY BRIEFLY ADJOURNED AND RESUMED AS FOLLOWS:	
28				
29			CHAIR: Everyone. Mr. Wolfe.	

75 Q. MR. WOLFE KC: Mr. Carroll, we've looked at various 1 2 information coming into the system on triage, on 3 dictation, in the days leading up to a decision to have a meeting of the Oversight group. What is your sense 4 5 of the mood in those days following the "Dear Tracey" 12:08 letter? Were you privy to conversations saying this is 6 7 a development that needs to be grasped and that the 8 intended track or the intended plan needs to change? 9 I have no recollection of being privy to any of those Α. 10 types of conversations. I have seen emails between 12.08 11 Dr. Wright and Mrs. Gishkori in regard to Patient 10's 12 SAI, and then Dr. --13 76 Let me just bring one of the -- well, the email I'm Q. aware of, up on to the screen. AOB-41585. 14 CHAIR: Mr. wolfe, might that be 01585? 15 12:09 16 MR. WOLFE KC: Sorry, WIT-41585. My apologies. Bottom 17 of the page, please. 18 19 Richard Wright is writing to Simon Gibson. Esther has rang, telephoned, Dr. Wright regarding worrying 20 12:10 21 developments in relation to what is described here as "AOB and lost notes". Do you understand that in the 22 context in which you were working? 23 24 No, I don't. Α. In any event, let's read on: 25 77 Q. 12:10 26 27 "Ronan is to report tomorrow with preliminary findings". 28 29

We know and we'll see at the Oversight meeting that you 1 2 provided information around triaged dictation and notes 3 Is that what that refers to? at home. 4 Possiblv. ves. Α. 5 78 He says. Q. 12:11 6 7 "I will come in tomorrow. If you're about could we set 8 up a meeting with Ronan and if possible Mark Haynes to 9 consider findings (Esther is off)". 10 12:11 11 She had some planned leave. It was coming up to 12 Christmas; is that right? 13 I know she couldn't make it. Why she couldn't make Α. 14 it, I can't recall. 15 79 He goes on to say: Q. 12:11 16 17 "I don't think we can wait for the formal completion of 18 SAL". 19 20 That's presumably a reference to Patient 10. 12:11 21 22 You were deputed to go to this meeting in place of 23 Esther? 24 Correct. Α. Do you recall any conversations with her - she was, 25 80 Q. 12:11 after all, the Director of Acute - being, obviously, an 26 27 important feature of September's interactions of the Oversight Committee and what followed. 28 Any 29 conversation with her as to how you were to represent

the views of the directorate at this Oversight meeting? 1 2 No, I don't recall having a conversation with Esther in Α. terms of my attendance at the meeting or her giving me 3 a brief as to a purpose of the meeting. No, I don't. 4 5 81 Q. We know that - and we don't need to go to these, the 12:12 reference is AOB-01393 - Tracey Boyce sends through for 6 7 the meeting a final draft of the SAI, a summary of the "Dear Tracey" letter, and a spreadsheet relating to 8 9 triage. Then if we go to the meeting itself, Just scrolling down to see how the context 10 AOB-01280. 12.13 11 is described. It refers to the 13th September meeting 12 where a range of concerns had been identified. It 13 says: 14 15 "A formal investigation was recommended, and advice 12:13 16 sought and received from NCAS". 17 18 Thinking back to that time - I know you weren't a 19 member of the Oversight - did you understand that there 20 was to be a formal investigation or was it to be an 12:14 21 informal approach? Reading the evidence bundles, my understanding was the 22 Α. September meeting was meant to be informal. 23 I think 24 Mr. Gibson's letter was 'and if things didn't improve, it would be formal'. 25 12:14 26 82 It goes on to say then: Q. 27 "It was subsequently identified" - as we've seen, we 28 29 have looked at this this morning - "that a different

1			approached was to be taken as reported to the Oversight	
2			Committee on 12 October". Then: "Dr. O'Brien was	
3			scheduled to return to work on the 2nd January	
4			following a period of sick leave, but an ongoing SAI	
5			has identified further issues of concern".	12:14
6				
7			Is that your understanding, Mr. Carroll, that it was	
8			the advent of the SAI and the conclusions associated	
9			with it that was the trigger for this meeting?	
10		Α.	Yes, that's what I believe.	12:15
11	83	Q.	where do you obtain that belief from?	
12		Α.	From the email that the "Dear Tracey" email which	
13			was sent to Esther and I, and then the conversations	
14			that were had between Mrs. Gishkori and Dr. Wright.	
15	84	Q.	We know, I've referred you to it, that there appeared	12:15
16			to be a contentment or an agreement between Gishkori	
17			and wright that these issues could be left until after	
18			Mr. O'Brien returned from sick leave. We saw the email	
19			earlier to that effect. What was your sense in	
20			association with the SAI of what had changed to trigger	12:16
21			this matter being brought forward?	
22		Α.	I think probably - and I could be wrong here, I could	
23			be wrong, could be incorrect - this was the first time	
24			there was a tangible evidence to senior managers that	
25			as a result of the review, that a patient, Patient 10,	12:16
26			had come to harm.	
27	85	Q.	If we just scroll down slowly through this. Issue 1,	
28			this is Tracy Boyce, Dr. Boyce, summarising the SAI.	
29			He says:	

1 2 "Part of this SAI also identified an additional patient 3 who may also have had an unnecessary delay in their treatment for the same reason". 4 5 12:17 6 "It is notes as part of this investigation that 7 Dr. O'Brien had been undertaking dictation whilst he 8 was on sick leave." 9 10 Then you report. You report that the triage count was 12.17 11 318 letters not triaged. We've seen earlier how that 12 figure came to light. Sixty-eight were classified as 13 urgent at that point. Do you know how that classification was arrived at? 14 More than likely I got it from Mrs. Corrigan. 15 Α. 12:17 Do you know whether a clinician or someone else had 16 86 Q. 17 made an assessment of urgency? 18 No, I don't. Α. 19 87 You have an action then arising out of that. What was Q. 20 required was a written action plan to address the issue 12:18 21 of triage, isn't that right? We'll look in a moment at 22 how you engaged with clinicians around this. 23 24 If we go to the top of the next page, please. The second issue is described as notes tracked to 25 12.18 Dr. O'Brien, and it is said that a proportion of these 26 27 may be at his home address. Is it fair to say that at this point, no work had been done to establish just how 28 29 many charts might be in his possession?

1 A. That's correct.

2 88 Q. It is said that there is a concern that the clinical 3 management plan for these patients is unclear or may be 4 delayed. The action for you, again, is that tracking 5 needs to be undertaken to quantity the volume of notes 12:19 6 tracked to Dr. O'Brien, Mr. O'Brien, and whether these 7 are located in his office.

Then issue 3. You, again, reporting that there was a 9 backlog of over 60 undictated clinics going back over 10 12.19 11 18 months. Again, we saw earlier the figure was 61 12 based on what Noleen Elliott had sent through. You 13 have said approximately 600 patients may not have had their clinic outcomes dictated so the Trust is unclear 14 what the clinical management plan is for these 15 12:19 16 patients. Again, an action for you, with Colin Weir, is to address this issue with a clear timeline. 17

Scrolling down. A further action was for Tracy Boyce
 to consider any previous IR1s and complaints to 12:20
 identify whether there were any historical concerns
 raised.

23

8

18

Just on that one, we'll come to the others separately, do you know whether work around that was ever performed?

27 A. The fourth action?

28 89 Q. Yes.

A. No, I've never seen any. I have never seen.

1	90	Q.	Do you agree that in terms of trying to scope an MHPS	
2			investigation, consideration of that kind of material	
3			might be relevant?	
4		Α.	Yes, on the basis that MHPS is about performance, and	
5			part of performance in its totality can be IR1s and	12:21
6			complaints against the practitioner.	
7	91	Q.	We then come to the consideration of the Oversight	
8			Committee and essentially its decision. Just scroll	
9			down so we see all of that text. Thank you.	
10				12:21
11			In summary, three broad decisions are reached.	
12			Mr. O'Brien is to be the subject of exclusion for the	
13			duration of a formal investigation. Secondly, there is	
14			to be a formal investigation under an NCAS approach,	
15			albeit it is recorded that Dr. Wright is to make	12:21
16			contact with NCAS to seek confirmation of this	
17			approach. The third broad decision is the appointment	
18			of the case investigator, Mr. Weir, and a case manager,	
19			Dr. Khan.	
20				12:22
21			I just want to ask you, Mr. Carroll, can you help us	
22			with this. Obviously, we have a very slimline minute	
23			here. Is it your recollection that the direction of	
24			travel throughout this meeting was always towards	
25			exclusion and a formal investigation; that that was the	12:22
26			intended conclusion made obvious from the start of the	
27			meeting?	
28		Α.	My memory of the meeting was when everything was	
29			discussed in terms of the data that was presented,	

1			there was a feeling that the gravity of everything was	
2			more appreciated, and that, in order to understand it	
3			more comprehensively to enable operational managers	
4			like myself to determine the extent of it, that it	
5			whethe beet of Mr. Olderen was suplused. Thethe wy	12:23
6			understanding of the meeting.	
7	92	Q.	In termination of options, because presumably there	
8			didn't have to be an exclusion, there didn't have to be	
9			a formal investigation, do you have a memory of	
10			antional alternatives being discussed ant land by	12:24
11			anyone at the meeting?	
12		Α.	No, I do not have a recollection of that, no.	
13	93		Was it Dr. Wright who suggested exclusion and a formal	
14		~ -	investigation?	
15		Α.		12:24
16		,	that he said those words; no. But I suppose that it	2.24
17			would be Dr. Wright who would have suggested it. He	
18			was the most experienced in the MHPS process.	
19	94	Q.	Can you remember options other than exclusion being	
20	51	۷.		12:24
21		Α.	I don't, sorry.	2.24
22	95	Q.	We've seen your role in the meeting up to this point.	
23	22	ų.	You're reporting on the facts as, I suppose, the	
24			preliminary investigations revealed to you. When it	
24			came to this point of the meeting when decisions had to	
26				2:25
			be made on the way forward, were you a contributor to	
27 20			that part of the decision? In other words, were you	
28		•	part of the decision?	
29		Α.	I would as I said when we first met, my knowledge of	

2extremely limited in terms of the entire process. From3my memory of this meeting, I think most of the4discussion was between Dr. Wright and Mrs. Toal. I'm5sure I contributed in a small way, but I suppose6I didn't have the knowledge or experience to give any7meaningful input would be my view.896 Q. Go ahead.9A. But ultimately, maybe you'll come to it, when the10decision was taken for exclusion, I agreed with that11decision.1297 Q. The rationale, to the extent that we can divine it from13what is said here, is that Dr. O'Brien's administrative14practices have led to the strong possibility that15patients may have come to harm. Was that the rationale16for the decisions that were made?17A. Yes, yes. It was all well, my recollection was18twofold. It was that patients may have come to harm as19a result of, for example, the SAI, but also it was felt20better that the investigation could take place by the21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398 Q. Mr. O'Brien, by this point, so far as we understand and24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	1			MHPS, I had received no training, my knowledge was
 discussion was between Dr. Wright and Mrs. Toal. I'm sure I contributed in a small way, but I suppose I didn't have the knowledge or experience to give any meaningful input would be my view. 96 Q. Go ahead. A. But ultimately, maybe you'll come to it, when the decision was taken for exclusion, I agreed with that decision. 97 Q. The rationale, to the extent that we can divine it from what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that patients may have come to harm. Was that the rationale for the decisions that were made? A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	2			extremely limited in terms of the entire process. From
5sure I contributed in a small way, but I suppose12:266I didn't have the knowledge or experience to give any127meaningful input would be my view.8896 Q. Go ahead.99A. But ultimately, maybe you'll come to it, when the1010decision was taken for exclusion, I agreed with that12:2811decision.121297 Q. The rationale, to the extent that we can divine it from13what is said here, is that Dr. O'Brien's administrative14practices have led to the strong possibility that15patients may have come to harm. Was that the rationale16for the decisions that were made?17A. Yes, yes. It was all well, my recollection was18twofold. It was that patients may have come to harm as19a result of, for example, the SAI, but also it was felt20operational managers if Mr. O'Brien was to be excluded21operational managers if Mr. O'Brien was to be excluded2398 Q. Mr. O'Brien, by this point, so far as we understand and24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	3			my memory of this meeting, I think most of the
6I didn't have the knowledge or experience to give any meaningful input would be my view.896 Q. Go ahead.9A. But ultimately, maybe you'll come to it, when the decision was taken for exclusion, I agreed with that decision.1297 Q. The rationale, to the extent that we can divine it from what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that patients may have come to harm. Was that the rationale what is for the decisions that were made?17A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt poperational managers if Mr. O'Brien was to be excluded for a four-week period.2398 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do	4			discussion was between Dr. Wright and Mrs. Toal. I'm
 meaningful input would be my view. 96 Q. Go ahead. A. But ultimately, maybe you'll come to it, when the decision was taken for exclusion, I agreed with that decision. 97 Q. The rationale, to the extent that we can divine it from what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that patients may have come to harm. Was that the rationale 12:26 for the decisions that were made? A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	5			sure I contributed in a small way, but I suppose
 8 96 Q. Go ahead. 9 A. But ultimately, maybe you'll come to it, when the decision was taken for exclusion, I agreed with that decision. 12 97 Q. The rationale, to the extent that we can divine it from what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that 15 patients may have come to harm. Was that the rationale 12:28 for the decisions that were made? 17 A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period. 23 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	6			I didn't have the knowledge or experience to give any
9A.But ultimately, maybe you'll come to it, when the decision was taken for exclusion, I agreed with that decision.12:2311decision.12:241297Q.The rationale, to the extent that we can divine it from what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that patients may have come to harm. Was that the rationale for the decisions that were made?16for the decisions that were made?17A.Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period.2398Q.Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do	7			meaningful input would be my view.
10decision was taken for exclusion, I agreed with that decision.12:2311decision.1297 Q.13what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that15practices have led to the strong possibility that16for the decisions that were made?17A.18twofold. It was all well, my recollection was18twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt20operational managers if Mr. O'Brien was to be excluded21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398 Q.Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do	8	96	Q.	Go ahead.
11decision.1297Q.The rationale, to the extent that we can divine it from13what is said here, is that Dr. O'Brien's administrative14practices have led to the strong possibility that15patients may have come to harm. Was that the rationale16for the decisions that were made?17A.18twofold. It was all well, my recollection was18twofold. It was that patients may have come to harm as19a result of, for example, the SAI, but also it was felt20operational managers if Mr. O'Brien was to be excluded21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398Q.Mr. O'Brien, by this point, so far as we understand and24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	9		Α.	But ultimately, maybe you'll come to it, when the
1297 Q.The rationale, to the extent that we can divine it from13what is said here, is that Dr. O'Brien's administrative14practices have led to the strong possibility that15patients may have come to harm. Was that the rationale16for the decisions that were made?17A.18twofold. It was all well, my recollection was19a result of, for example, the SAI, but also it was felt20operational managers if Mr. O'Brien was to be excluded21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398 Q.24Mr. O'Brien, by this point, so far as we understand and24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	10			decision was taken for exclusion, I agreed with that 12:25
 what is said here, is that Dr. O'Brien's administrative practices have led to the strong possibility that patients may have come to harm. Was that the rationale 12:26 for the decisions that were made? A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	11			decision.
14practices have led to the strong possibility that15patients may have come to harm. Was that the rationale16for the decisions that were made?17A.18twofold. It was all well, my recollection was18twofold. It was that patients may have come to harm as19a result of, for example, the SAI, but also it was felt20operational managers if Mr. O'Brien was to be excluded21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398 Q.24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	12	97	Q.	The rationale, to the extent that we can divine it from
 patients may have come to harm. Was that the rationale 12:26 for the decisions that were made? A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the 12:26 operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a 12:27 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	13			what is said here, is that Dr. O'Brien's administrative
16for the decisions that were made?17A.18Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period.2398Q.24Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a that a factor that was raised within this meeting, do	14			practices have led to the strong possibility that
 A. Yes, yes. It was all well, my recollection was twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	15			patients may have come to harm. Was that the rationale $_{12:26}$
18twofold. It was that patients may have come to harm as a result of, for example, the SAI, but also it was felt better that the investigation could take place by the operational managers if Mr. O'Brien was to be excluded for a four-week period.12:2621operational managers if Mr. O'Brien was to be excluded for a four-week period.12:272398Q.Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a that a factor that was raised within this meeting, do12:27	16			for the decisions that were made?
19a result of, for example, the SAI, but also it was felt20better that the investigation could take place by the21operational managers if Mr. O'Brien was to be excluded22for a four-week period.2398 Q.24Mr. O'Brien, by this point, so far as we understand and24we'll hear from him, was in the dark about the SAI. He25certainly hadn't been given an opportunity to give a26response to the SAI conclusions. Was that noted or was27that a factor that was raised within this meeting, do	17		Α.	Yes, yes. It was all well, my recollection was
 better that the investigation could take place by the 12:26 operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a 12:27 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	18			twofold. It was that patients may have come to harm as
 operational managers if Mr. O'Brien was to be excluded for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a 12:27 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	19			a result of, for example, the SAI, but also it was felt
 for a four-week period. 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a 12:27 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	20			better that the investigation could take place by the 12:26
 98 Q. Mr. O'Brien, by this point, so far as we understand and we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	21			operational managers if Mr. O'Brien was to be excluded
 we'll hear from him, was in the dark about the SAI. He certainly hadn't been given an opportunity to give a 12:27 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	22			for a four-week period.
 25 certainly hadn't been given an opportunity to give a 12:27 26 response to the SAI conclusions. Was that noted or was 27 that a factor that was raised within this meeting, do 	23	98	Q.	Mr. O'Brien, by this point, so far as we understand and
 response to the SAI conclusions. Was that noted or was that a factor that was raised within this meeting, do 	24			we'll hear from him, was in the dark about the SAI. He
27 that a factor that was raised within this meeting, do	25			certainly hadn't been given an opportunity to give a 12:27
	26			response to the SAI conclusions. Was that noted or was
	27			that a factor that was raised within this meeting, do
20 you recarr:	28			you recall?
29 A. No. I don't recall it being discussed.	29		Α.	No. I don't recall it being discussed.

When you think about it now, some of the key issues 1 99 Q. 2 that were to be investigated under MHPS, so was Mr. O'Brien doing triage of urgent or routine 3 referrals; well, that answer was obvious, wasn't it, he 4 5 wasn't? 12:28 6 Correct. Α. 7 Is he dictating on all of his clinical encounters in a 100 Q. 8 timely fashion, and is this causing some degree of 9 uncertainty for the management systems. The answer to that again was obvious, wasn't it; he wasn't? 10 12.28 11 Α. Correct. 12 Again, is he keeping notes at home for extensive 101 Q. 13 periods, again causing a degree of difficulty for 14 colleagues and the system that relies on ready access to those notes. Again, the answer to that was obvious, 12:28 15 16 wasn't it? He wasn't. I assume all of that was realised at this meeting? 17 18 How you described it, I think when you put those Α. Yes. 19 three things together plus the yet to be fully reported 20 SAI, then that made the decision. Helped inform the 12:29 decision. 21 22 102 what from your perspective, given that the Q. Yes. answers to those factual questions were perhaps 23 24 obvious, what from your perspective directed the need 25 for what became a fairly elaborate MHPS investigation? 12.29 Sorry, I don't understand. 26 Α. If you know these things are happening, what is there 27 103 Q. to investigate? What is there that merits an elaborate 28 investigation? 29

1		Α.	So I think an investigation at this meeting, this	
2			Oversight meeting, I think an investigation in terms of	
3			MHPS, a formal one, was the right thing to do.	
4			Because, as you've just described, those four factors	
5			together did not paint a good picture in terms of	12:30
6			Patient Safety.	
7	104	Q.	I suppose my point to you is this. Maybe I'm not being	
8			clear. You, as a committee, knew what was happening.	
9			Was it a question of we need to investigate to	
10			understand why?	12:30
11		Α.	I think it was to gather all the data. There were	
12			elements we still had to get data on. I think it was	
13			to do a bit of more reconnaissance so that we would	
14			have a better informed position of the totality of	
15			everything.	12:31
16	105	Q.	The decision at that meeting was taken without NCAS	
17			advice. Again, you've described yourself being	
18			something of a stranger to the MHPS framework and its	
19			arrangements. Did it strike you as odd that advice was	
20			only being sought after a decision, whether in	12:31
21			principle or however it might be described, had been	
22			taken?	
23		Α.	No, because I didn't fully appreciate the systems and	
24			processes that needed to be followed.	
25	106	Q.	Did you appreciate what NCAS was?	12:32
26		Α.	No. At that point, no.	
27	107	Q.	You obviously set about some follow-up work after that	
28			meeting and we'll look at that just in a moment.	
29				

12:33

Shortly after the meeting, if we can bring up
 TRU-01366, you are referred to a further potential SAI
 in the case of Patient 16. You've seen the name in
 front of you, have you?

A. Yes.

5

we'll call him Patient 16. Behind that email are 6 108 Ο. 7 documents related to concerns that had been expressed 8 about Patient 16. I suppose the issue in the case was 9 whether his care had been properly addressed. There was the need for a removal and, I think, replacement of 12:33 10 11 stent. Mr. O'Brien was the treating clinician, and 12 you're asked by Dr. Boyce to give a view on that. If 13 I just ask you again - slightly at a tangent to the MHPS process but it arises just after the Oversight 14 meeting - should the issues associated with this one, 15 12:34 16 Patient 16. have fed into considerations associated with the MHPS process? 17

- 18 My understanding of Patient 16 originally came in as a Α. 19 complaint. As a result of working through the 20 complaint, then Dr. Boyce sent this email to me. Then 12:34 we got into the -- the complaint continues on in terms 21 of being investigated and reported and then it comes to 22 be screened and was deemed to be an SAI. I think it 23 24 was in April of '17. Its origins were as a complaint by Patient 16's daughter. 25 12.35
- 26 109 Q. What I'm really asking you is this. We've seen at the 27 meeting of 16 December that Dr. Boyce is invited or 28 requested to bring forward a report in terms of whether 29 there have been any other complaints about Mr. O'Brien,

1 whether there have been any other IR1s, and here you 2 have, immediately after that, information coming into the system that will ultimately lead to a decision to 3 have an SAI in this case on the back of complaint? 4 5 Yes. Α. 12:35 What's at the heart of the complaint is, in essence, 6 110 Ο. 7 poor communication with the patient and his family, and 8 delay in the provision of stenting, which leads to that 9 complication. Why is that information not to be considered as part of the analysis of what needs to be 10 12:36 investigated around Mr. O'Brien's administrative 11 12 practices? 13 So, looking in retrospect and knowing what we know now, Α. yes, I think this complaint should have been part of 14 the fourth item in terms of IR1s and complaints against 12:36 15 16 Mr. O'Brien. It should have been offered up but it wasn't and maybe Dr. Boyce can provide an explanation 17 18 as to why that was. But I think you make a fair point, 19 it was a complaint. Do you think enough work was done by the Trust and, 20 111 Q. 12:36 21 particularly, the Oversight meeting and those responsible for implementing the decisions which were 22 ultimately to feed into the terms of reference for an 23 24 investigation. Do you think enough background work was done to try to get to grips with all of the kinds of 25 12.37 perceived shortcomings in association with 26 27 Mr. O'Brien's practice that were causing difficulty? So I've thought about this quite a bit, particularly 28 Α. I used the word that there was no coming 29 from March.

together of all views that everybody had. I think that 1 2 was missing. There was no coming together of all the 3 strands of information that everybody possessed. I think we were moving at guite a fast pace in and 4 5 around end of December into January to collate the 12:38 information. But with hindsight, should there have 6 7 been weekly meetings of the Oversight meeting saying 8 where are we now? Is there any more information that 9 we have gleaned? How are we progressing with whatever actually needs to be done? That possibly would have 10 12.38 11 been a much more robust system, but it didn't happen. 12 Forensic is the word I'm looking for. 13 Thank you for that perspective. 112 Q. 14 Let me ask you about the follow-up work which was 15 12:38 16 clearly laid at your door to follow-up on after this Oversight meeting. First of all, what did 17 18 you understand your role to be? 19 So, basically one of gathering information, gathering Α. data to help inform the Oversight Committee. 20 12:38 21 You've described that following the meeting you sent an 113 Q. 22 email to Mrs. Corrigan and Mrs. Clayton to take some If we can just look at that, TRU-258675. Hot 23 steps. 24 on the heels of the meeting, just the next day 23rd 25 December, you're writing to both of them to say we need 12:39 an action plan to address the following, and you set 26 27 out each of the four items. 28 29

Number 4 is the one I sort of picked up on earlier, 1 2 Tracy Boyce had that action to complete. But you're asking Martina and Wendy, that's Corrigan and Clayton, 3 to come up with some information around that. 4 The 5 other three, then, you're expecting information on the 12:39 volume of notes tracked, what has been the outcome for 6 7 the 318 patients, and what were the volumes of the 8 patients where there's been no dictation and a plan to 9 correct same.

11 On 28th December, if we look at AOB-01300, you become 12 aware of what I will describe as the private patient 13 issue. That wasn't an issue that was before the Oversight Committee on 22nd September, this was new 14 information being fed in - I think if we look at the 15 12:40 16 bottom email first - fed in by Mr. Haynes. Then if we look at what he's saying, he's referring here to a 17 18 TURP patient. He attaches to his email some correspondence in respect of it. We don't need to look 19 20 at that. But he's saying that there's a private 12:41 21 patient letter from Mr. O'Brien. The patient was seen 22 by Mr. O'Brien on 5th September and placed on the NHS 23 theatre list on Wednesday the 21st, waiting a total of 24 15 days before a TURP procedure is performed.

12:41

12.40

26If we scroll up to the top of the page, that issue is27drawn to your attention. You say that you've asked28Wendy - that's Wendy Clayton --

29 A. Right.

10

25

114 Q. -- to run a report on Mr. O'Brien's TURPs completed to 1 2 see are there others who have been listed the same way. 3 You get each of those work streams moving and 4 5 you report back on them at the 10th January Oversight 12:42 Committee meeting; isn't that right? 6 7 That's correct. Α. 8 115 I'm going to come to that in a moment. Ο. 9 Obviously in association with what these preliminary 10 12.42 investigations had discovered, there were obviously 11 12 clinical issues to be addressed; isn't that right? 13 Yes. So we met with the consultant urologists. I think Α. it was 3rd January, and we, I suppose, brought them up 14 to speed with regard to what had been happening with 15 12:42 16 Mr. O'Brien and the decisions that had been taken. We shared that with them and we asked them for their 17 18 assistance to work through work that needed to be done. 19 116 Q. I needn't bring this up in ease of the time we have; 20 you deal with that in your witness statement at WIT-12:43 21 1127. You say that the consultants were willing to work outside of core time or to displace SPA to assist 22 with these investigations. They thought that the 23 24 untriaged referrals were the greatest clinical concern 25 and that this should be prioritised. Is that a fair 12.43summary of it? 26 27 Α. That's fair, yes. They also said, according to your statement, that they 28 117 Q. 29 would have preferred to accept Mr. O'Brien's opinion,

1			as it would be difficult for them to arrive at any	
2			other conclusion not having assessed the patient. Is	
3			that in respect of cases that hadn't been dictated,	
4			they were hoping to rely on or wishing to rely on the	
5			outcome sheets that Mr. O'Brien had completed?	12:44
6		Α.	That's correct.	
7	118	Q.	But they said to you that in the event that	
8			Mr. O'Brien's opinion led to a disagreement, that they	
9			would reassess the patients themselves?	
10		Α.	That's right.	12:44
11	119	Q.	I just want to get a sense from you about the impact of	
12			these large numbers of patients that were causing	
13			concern. What was the impact on the service, whether	
14			in terms of distraction from the heavy workload that	
15			was always there to be performed in terms of impact on	12:45
16			the other clinicians, impact on budget and finance?	
17		Α.	Yes, you're right, this was a big impact on an already	
18			stretched urology service. But each of the	
19			consultants, all the consultants, were happy to	
20			contribute even with the pressures that existed on a	12:45
21			daily basis. Sorry, happy is not the right word but	
22			they understood the work that need to be done. They	
23			worked with us to address each aspect of that in terms	
24			of clinical prioritisation.	
25	120	Q.	One issue that they raised with you was the question	12:46
26			of whether Mr. O'Brien was entitled to do private work.	
27			Just have a brief look at that. If we go to TRU-00101.	
28			If we start at the bottom of the page, please. Thank	
29			you.	

1 2 This is the 3rd January, as you correctly recall. You are writing to say that along with Mrs. Corrigan and 3 Colin Weir, you met with urology consultants this 4 5 morning, shared with them all the events that had taken 12:47 place, decisions reached, and they had some questions. 6 7 Number 4 is "What is the Trust's position on 8 Mr. O'Brien undertaking private work and in particular Using Trust secretarial staff to type private patient 9 work whilst off." 10 12.4711 12 Just at the top of the page, Mrs. Gishkori purports to 13 answer that by saying: "I'm sure Simon will be able to 14 address the queries below". She wanted to comment on 15 point 4. 12:47 16 17 "Mr. O'Brien is at liberty to do what he wants off ST 18 premises but he cannot use the services of the Trust in 19 the carrying out of his own private work, not unless 20 the secretarial staff do the work outside core hours, 12:47 21 and don't use any of the Trust's facilities". 22 23 She appears to have an understanding that Mr. O'Brien 24 was at liberty, nevertheless, to carry out private work 25 subject to those conditions. 12.4826 27 If we go to the next page we can see that Mr. Gibson --Sorry, I'll have to give you the page 28 that's it, yes. If we go to TRU-258674. If we go to 29 reference.

1			AOB-01344. Sorry, I've lost the reference. I can't
2			find it.
3			
4			The message that seemed to emerge from Mr. Gibson was
5			that given Mr. O'Brien's exclusion under the MHPS
6			process, he shouldn't be working privately. Was that
7			your understanding?
8		Α.	Yes, that came from Mr. Gibson's correspondence.
9			I think Dr. Wright, in his evidence, also held that
10			view. 12:50
11	121	Q.	Was that the subject of discussion between you and
12			Mrs. Gishkori?
13		Α.	No.
14	122	Q.	She seems to have adopted a different position.
15		Α.	NO. 12:50
16	123	Q.	Now, in terms of the other follow-up work that was
17			being conducted by Mrs. Corrigan, as I've said you
18			reported this into the Oversight Group meeting on 10th
19			January. If we bring up the minutes of that, please,
20			first of all. AOB-01363. We can see that you're in $12:51$
21			attendance at that meeting. If we scroll down a
22			little, you explain that you have had a meeting with
23			urologists; they were supportive of working to resolve
24			the position. You then proceeded to update the
25			committee on the three issues, plus the fourth, that is $_{12:51}$
26			the private patient issue which was to emerge. You set
27			out, in essence, some figures for them. It's recording
28			you as reporting that from June 2015 there are 783
29			untriaged referrals, all of which need to be tracked

1and reviewed to ascertain the status of these patients2in relation to the condition for which they were3referred. Four consultants would be participating in4this review. We'll come back to that in a moment, I'm5just summarising here.

6

13

19

Issue 2 is notes at home. At this point you are
reflecting that 307 notes were returned from
Mr. O'Brien's home and 88 sets from his office, and
that there are 27 notes said to be tracked out to
Mr. O'Brien which were still missing. Again, we'll
come back on that issue.

14Thirdly, just scrolling down, please, the undictated15clinics. Again it's coming through you, I assume, that 12:5216there were 668 patients with no outcomes formally17dictated, and that's broken down, you say, across a18number of clinics.

20 Issue 4 then. You say a review of TURP patients 12:53 identified nine who had been seen privately, then had 21 22 their procedure within the NHS. You assert that the 23 waiting times for these patients appear to be significantly less than for other patients. There's 24 then discussion of what remuneration would be needed 25 12.53 for the clinicians carrying out the look-back work. 26 27

If I can work through in a bit more detail some of those issues that are raised. Let's go to the issue of

1 triage. You provided a written report which we have, 2 I think in red ink. Let's just confirm that this is your work, AOB-257706. 3 4 CHALR: Is that TRU, Mr. Wolfe? 5 MR. WOLFE KC: Is it? Try TRU-257706. Yes. 12:54 This is how you presented to the Oversight Group your 6 124 Q. 7 findings. 8 9 We've seen that prior to the Christmas period, the figure in terms of untriaged cases that was presented 10 12.55 11 was a figure of 318 cases. How did the figure of 783 12 emerge? 13 So, this was a physical search of Mr. O'Brien's office Α. which Mrs. Corrigan undertook, and they were in 14 Mr. O'Brien's filing cabinet, this number. So, it was 15 12:55 16 a physical search. We know that Mr. O'Brien directed Mrs. Corrigan to a 17 125 Q. 18 drawer or a cupboard within his office where he had 19 retained duplicates of the triage referrals or the 20 referrals that were to be triaged. Are you saying that 12:56 the 783 was simply a physical count of that? 21 22 Α. Yes. We know that previously we looked at the email this 23 126 Q. 24 morning where a figure of 318 was given. In other words. that was the figure counted by the system that 25 12.56 had issued the referrals to Mr. O'Brien. 26 Can vou 27 explain the disconnect? The system is giving 318 as a figure. Yet, for the purposes of the investigation 28 29 we're working off this much larger figure based on a

1			count of the letters not returned.	
2		Α.	I can't, really. The information came from the the	
3			318 came from the referral booking centre,	
4			Mrs. Robinson, 318. 783 came from a physical search of	
5			Mr. O'Brien's the drawer in his cabinet. While	12:57
6			there was a disconnect, I can't provide you with	
7			Mrs. Corrigan may be able to provide you with a better	
8			explanation, or an explanation.	
9	127	Q.	Can I ask you to take a look at TRU-257702. This, as	
10			can be seen, appears to be a count of letters waiting	12:58
11			to be triaged taken from Mr. O'Brien's office,	
12			according to the legend at the top. Scroll down. It	
13			produces the figure of 738. Is Mrs. Corrigan the	
14			author of this document?	
15		Α.	Yes.	12:58
16	128	Q.	As you say, the title seems to suggest it is	
17			essentially a count of the letters.	
18		Α.	Yes.	
19	129	Q.	If we go back then to TRU-257706. You describe the	
20			plan is to carry out an administrative exercise in	12:59
21			association with these letters and ensure that these	
22			patients have not already been treated. Then, the	
23			remaining letters will be triaged by the four	
24			consultants and, after some discussion, it was agreed	
25			that in keeping with their normal triaged pathway, that	12:59
26			these letters will need advanced triage, which will	
27			take quite a bit of time because of the volumes.	
28				
29				

What does that mean, it was agreed that in keeping with 1 2 the normal triage pathway, advanced triage would be necessary? 3 So, my understanding is that they would be sent for 4 Α. 5 diagnostic tests in advance of being seen, if required, 13:00 6 in the outpatient clinic. So ultrasound, CT, any blood 7 work that would be deemed to be clinically appropriate. 8 they would be sent for. The patient would be sent for. Is that to suggest that this advanced triage was 9 130 Q. normally performed by the four consultants? 10 13.00 I think Mr. O'Brien had a view of how he viewed 11 Α. 12 advanced triage, and the other consultants had a 13 different view of how they viewed advanced triage. Their view was that the approach was more like a 14 131 Q. traffic light system, it is either stay with the --15 13:01 16 it's look at the referral coming in from the general practitioner and decide simply whether that's an 17 18 accurate classification or not, whereas Mr. O'Brien went further, much further, and dealt with advanced 19 20 It is just how that sentence is written: triage. 13:01 21 22 "After some discussion it was agreed that in keeping 23 with their normal triage pathway, these letters will 24 need advanced triage". 25 13:01 Is the "their" a reference to the other consultants? 26 27 Α. Yes, the four consultants. I think the four consultants subscribed to a higher degree of triage 28 than just simply a tick box. They would have, to 29

1			varying degrees, sent them on for other preliminary	
2			tests, whereas Mr. O'Brien had his own way of triaging.	
3	132	Q.	Which was even more developed?	
4		Α.	Which was even more advanced.	
5	133	Q.	I understand.	13:02
6				
7			In any event, is it your understanding that by virtue	
8			of this process with the four consultants doing the	
9			triage, 24 patients were upgraded to red flag, and four	
10			of those patients - perhaps it is four additional	13:02
11			patients, I need to check that point - but four	
12			patients were found to have cancer?	
13		Α.	Correct.	
14	134	Q.	Those patients were 11, 12, 13 and 14, and those cases	
15			were the subject of another triage-related SAI?	13:02
16		Α.	Yes.	
17	135	Q.	A further patient, a fifth patient, was subsequently	
18			identified?	
19		Α.	Yes.	
20	136	Q.	Thank you.	13:03
21				
22			In terms of notes then, if we just scroll down the page	
23			and over into the next page. Your report indicates	
24			that 307 sets of notes were returned from Mr. O'Brien's	
25			home. This included 94 Southern Trust notes that	13:03
26			Mr. O'Brien perhaps 94 Southern Trust patients that	
27			Mr. O'Brien had seen privately but had written his	
28			private notes in these charts.	
29				

1			Is that the appropriate way to manage private patients	
2			at that time? Is that how it was done, if you see	
3			somebody privately, you put the private note into the	
4			Trust	
5		Α.	I could be corrected but I don't believe it is. The	13:04
6			private notes should remain private.	
7	137	Q.	You go on to say that Martina, that's Martina Corrigan,	
8			ran a report from past and found there are still 27	
9			notes tracked to Mr. O'Brien that were missing.	
10				13:04
11			On 24th January you received information from Mr. Weir	
12			that Mr. O'Brien had provided explanation for what was	
13			ultimately to become 13 sets of notes that were	
14			missing. It is accepted by the Trust, isn't it, that	
15			Mr. O'Brien doesn't have those 13 sets of notes and	13:05
16			wasn't responsible for their loss?	
17		Α.	That's correct.	
18	138	Q.	The fact 13 sets of notes have gone missing without	
19			explanation, is that something that the Trust has	
20			worried about? Is it a source of concern, or is that	13:05
21			put down to being just one of those things when running	
22			a busy hospital?	
23		Α.	well, obviously the Trust is tasked with keeping	
24			patients' information secure. So, to have 13 sets of	
25			notes not accounted for would be a concern. But	13:06
26			I think these 13 were inappropriately assigned to	
27			Mr. O'Brien. I think we have referenced that in the	
28			evidence. But I suppose to answer your question	
29			maybe, if I think I understand your question is do	

1 I know where those 13 sets of notes are, I don't. 2 139 Yes. Q. 3 I know we had discussions with the record people, Α. 4 Pamela Lawson and her team. They may be able to 5 provide some more information to you. 13:06 MR. WOLFE KC: I see it is 1.10 nearly. 6 7 We have another witness due to start at CHAI R: Yes. 8 two o'clock this afternoon, Mr. Wolfe. Are we going to 9 manage? She is not likely to be starting at two 10 MR. WOLFE KC: 13.06 11 o'clock. It is more likely, the way things developed, 12 that she will be starting in the morning. We'll finish 13 Mr. Carroll today. 14 CHAIR: Okay. It is probably too late to stop her 15 coming this afternoon, but if we can get some message 13:07 16 to her, I think we have to try. 17 18 Ten past two, everyone. 19 20 THE INQUIRY ADJOURNED FOR LUNCH AND RESUMED AS FOLLOWS: 13:16 21 22 Good afternoon, everyone. CHAIR: 23 24 Mr. Wolfe. MR. WOLFE KC: Mr. Carroll, we were going through the 25 140 Q. $14 \cdot 10$ report that you making to the 10th January 2017 26 27 Oversight Committee meeting. 28 29 Going now to look at your report on dictation. If we

1			could have up on the screen, please, TRU-257707. You	
2			say:	
3				
4			"Martina ran a report of all the undictated clinics	
5			from the Business Objects Group and found that this	14:11
6			related to 668 patients dating back to November 2014".	
7				
8			Obviously we saw before lunch that Mrs. Elliott had	
9			provided a figure of 61 clinics in the pre-Christmas	
10			period.	14:11
11				
12			Business Objects, is that a you're looking at me	
13			puzzled. Do you know what that is?	
14		Α.	I do. I've never used it. It's a performance tool	
15			which exacts data from the information systems. I'm no	14:11
16			expert.	
17	141	Q.	We'll have to ask Martina Corrigan how this 668 figure	
18			is arrived at, but it's not something you tested	
19			yourself?	
20		Α.	No.	14:12
21	142	Q.	Or challenged?	
22		Α.	No.	
23	143	Q.	You were given the figure and that was the figure that	
24			was supplied to Dr. Chada's investigation?	
25		Α.	Yes, yes. Mrs. Corrigan's background would be in	14:12
26			administration and systems.	
27	144	Q.	Yes.	
28				
29				

Now, obviously this is a report provided to the Oversight Committee. If we just scroll down, there's a plan of checks to be made with the lists of undictated clinics. It said that effort had been made to identify the 97 patients. That's relates to what's described as 14:12 a shortfall in the handwritten outcome sheets coming from Mr. O'Brien?

8 A. Correct.

Mr. O'Brien brought back the patient charts. Then it 9 145 Q. became known through Mr. Young, as I understand it, 10 14.13 that Mr. O'Brien had indicated that he had retained 11 12 patient outcome sheets, his handwritten outcome sheets 13 and then they came back to the Trust on the 9th January. Counting them up, there were 272 outcomes 14 from the SWAH clinic, 229 from other clinics, and out 15 14:13 16 of the 668, there was 97 which hadn't been provided. On that analysis, do you know whether that was resolved 17 18 in any way?

19 Α. Yes. I think Mrs. Corrigan was able to work through 20 the information she had so that when we get to June. 14:14 21 by June 17th, all the patients had been accounted for. 22 146 There was an email sent in June by Mrs. Corrigan Q. Yes. 23 to yourself which we can maybe look at in that respect. 24 It's TRU-258863. Just so the Inquiry and everyone else knows and understands, you're reporting this 25 $14 \cdot 14$ information into he Oversight Group, and I'm taking you 26 27 along the line to where this information, as part of your team's follow-up on it --28

29 A. Yes.

```
147
              -- where it takes you to.
 1
         Q.
 2
              Correct.
         Α.
              It should be understood, I think, that the information
 3
    148
         Q.
              that your team is gathering is then being fed into
 4
 5
              Dr. Chada's investigation in terms of, if you like, the 14:15
              statistics relied upon by the service --
 6
 7
              Yes.
         Α.
 8
    149
              -- I suppose to support the allegations or concerns
         Ο.
 9
              that have been identified; is that fair?
              That's fair.
10
         Α.
                                                                         14:15
11
    150
              So, the work that's been done in this, I suppose
         Q.
12
              parallel investigation, by both your team and the
13
              clinicians who are tasked with looking at the
              undictated clinics leads to this conclusion, that there
14
              are 110 patients who are being added to a review
15
                                                                         14:15
16
              outpatient waiting list.
17
18
              Just help me with that. Does that mean that when these
19
              undictated clinics or undictated patients were being
20
              examined by the clinicians who were doing the work.
                                                                         14:16
21
              that they found that there was 110 patients who should
22
              have been on the review outpatient list if Mr. O'Brien
23
              had been doing it as you would have expected?
24
              Yes, that's correct.
         Α.
              It said a number of these should have had an
25
    151
         0.
                                                                         14.16
              appointment as per Mr. O'Brien 's handwritten clinical
26
27
              notes before now. They should have had an appointment
              issued to them; is that what it is saying?
28
29
         Α.
              Yes.
```

152 Q. Although the caveat that Mrs. Corrigan is offering is 1 2 that Mr. O'Brien has a review backlog issues, that these patients, even if they had have been added 3 timely, may still not have been seen? 4 5 Yes. Α. 14:17 6 153 So in a sense, no loss to patients? Q. 7 No, they hadn't been disadvantaged in terms of being Α. 8 seen at the review appointment. 9 154 Yes. Q. 10 14:17 11 Then it is said there are 35 patients who need to be added to a theatre waiting list. All of these patients 12 13 he has classed as Category 4, which is routine. Again, due to the backlog -- I don't think she finishes that 14 15 sentence. Again, due to the backlog, they probably 14:17 wouldn't have received their theatre appointment by 16 17 this stage? 18 Yes. Α. 19 155 But again, is the point here that the Trust doesn't Q. 20 have these 35 on the list? 14:17 21 Yes, exactly. For both the outpatients and the ins and Α. 22 days when you would be running the list, they would be short those number of patients. 23 24 Just scrolling down, she has attached Mr. O'Brien's 156 Q. 25 sheets. He's gone through all the charts that were in 14.18 the AOBs office and will be back in the records 26 27 tomorrow. 28 29

1			Katherine Robinson's team are currently recording the	
2			outcomes and these will be backdated to when the	
3			clinics happened. Is this in order not to disadvantage	
4			the patients?	
5		Α.	Yes.	14:18
6	157	Q.	They will achieve a place on the waiting list	
7		Α.	At the correct time.	
8	158	Q.	at the correct time.	
9				
10			It says there are three patients consultants who have	14:18
11			concerns on, and she has arranged appointments for	
12			them. Then she offers the following comments.	
13			Patients I think she's attempting to summarise	
14			there, is she, the broad findings?	
15		Α.	Sorry, I think what Mrs. Corrigan is attempting to do	14:19
16			is summarise the findings the consultants made when	
17			they reviewed the patients.	
18	159	Q.	If we scroll just if we go back in the direction	
19			we've come and go back to the top of page 63 in that	
20			sequence. You are here thanking Martina for this large	14:19
21			piece of work. You say you accept that Mr. O'Brien had	
22			a long review backlog and routine waiting times are	
23			long, but you say the crucial thing is that the Trust	
24			was totally unaware of these patients in that there	
25			were no PTLs.	14:19
26				
27			What's PTL, remind me.	
28		Α.	Primarily target lists, so the waiting list.	
29	160	Q.	The implication you're describing here of the failure	

1			to dictate is one of administrative distress or	
2			inconvenience for the organisation; is that it?	
3		Α.	Yes, and for the patient in that the patient is not on	
4			the waiting list, you know. They haven't been advised	
5			of the outcome of their appointment and what the	14:20
6			outcome of that is, in that you're going to be reviewed	
7			or you're going to go on the waiting list.	
8	161	Q.	I suppose, for that matter, their primary care	
9		Α.	The GP.	
10	162	Q.	their GP is unsighted on what's planned?	14:20
11		Α.	Yes, correct.	
12	163	Q.	Mr. O'Brien made the case to Dr. Chada that when	
13			you consider what dictation had or hadn't been done,	
14			the proper figure to arrive at is 189 cases, and we'll	
15			hear from him on this, perhaps. He says that he	14:21
16			gleaned some support from Martina Corrigan's analysis	
17			of the patients. He thinks his 189 figure is consonant	
18			with what she has said in that email. I'll have to ask	
19			him to explain that.	
20		Α.	Okay.	14:21
21	164	Q.	Could I ask you this: It appears that he made that	
22			case about the much lower number, 189 as opposed to	
23			668, very loudly and very clearly to Dr. Chada.	
24			She didn't come back to you or anybody in your service	
25			to ask you to test those figures?	14:22
26		Α.	NO.	
27	165	Q.	Could I just ask you to look at your witness statement.	
28			WIT-13162, please. At paragraph 359, you're dealing	
29			with the issue of untriaged referrals. Then you go on	

1 to say: 2 3 "The Undictated clinics were completed on return-to-work in February as Mrs. Corrigan had not 4 5 scheduled Mr. O'Brien into any clinics until the end 14:23 6 of July 2017". 7 8 Does that suggest that you believed that Mr. O'Brien 9 was dealing with the dictation work? Or can you help us with the meaning of that? 10 14.2311 Α. I'm struggling to understand it myself. So, I am clear 12 that Mr. O'Brien -- the number of 668, from our 13 perspective -- Mr. O'Brien brought in clinical outcome 14 sheets for the number, and there was a gap of 197. When Mr. O'Brien came back to work, because the work is 14:24 15 16 planned six weeks ahead, there was no elective work or plan for Mr. O'Brien, so he was allocated time to do 17 18 his administrative work. Thinking back, he could have 19 helped in some way to help with those patients who 20 there were no outcome. I suppose the total clinical 14:24 outcome sheets needed to be recorded into the patient 21 22 notes and into NACR. I think that's what I was trying 23 to get at but we know the task wasn't finished 24 until June in total. 166 I suppose the point is, as I understand it, and we may 25 Q. 14.25hear some evidence on this, Mr. O'Brien would make the 26 27 point that the records for these patients were not returned to him for dictation purposes. 28 This is when he returned to work? 29 Α.

1	167	Q.	Sorry?	
2		Α.	When he returned back to work?	
3	168	Q.	Yes. You seem to be suggesting that the undictated	
4			clinics were completed on his return to work	
5			in February. Two points: One, the records of these	14:25
6			patients were not returned to Mr. O'Brien for the	
7			purposes of dictation and, secondly, he didn't return	
8			to clinic work until sorry, he returned to clinic	
9			work in March and not July, as suggested here.	
10		Α.	Well, maybe I have the word "completed" is	14:26
11			incorrect.	
12	169	Q.	The work on the dictation, looking through the cases	
13			that hadn't been dictated, that was done by his	
14			colleagues?	
15		Α.	Yes.	14:26
16	170	Q.	Not by Mr. O'Brien?	
17		Α.	NO.	
18	171	Q.	And he returned to clinic work in March	
19		Α.	In March.	
20	172	Q.	albeit on a managed basis?	14:26
21		Α.	Yes.	
22	173	Q.	The final issue that you were reporting into the	
23			Oversight meeting in January was in respect of private	
24			patients. That was an issue that, as we have seen, had	
25			just arisen after the December Oversight Group meeting.	14:26
26			Let's just look at the steps that followed.	
27				
28			If we go to TRU-257703. Scroll down to we see it.	
29			We have here from Mrs. Clayton an email where she says:	

1 2 "All the patients had a" - and this is Mr. O'Brien's address - "private letter on the NIECR. It doesn't 3 mean there could be more but no private letter on 4 5 NI ECR". 14:28 6 7 So, she sets out, I think, eight incidents. I think if that redaction weren't there, it would be in relation 8 9 to six, possibly seven patients. They were all, at your direction, TURP patients. This was research into 10 14.28 11 those who had had a TURP procedure; is that right? 12 That's correct, yes. Α. 13 174 Your interest in this was to see whether these patients Q. who originated as private had received a 14 quicker-than-usual procedure within the NHS? 15 14:28 16 That's correct. Yes. Α. Do you know by which method she used to conduct this? 17 175 Q. 18 So, she looked at all the patients -- my understanding Α. 19 is, again, she looked at all the TURP patients that 20 Mr. O'Brien operated on in 2016 and then looked to see 14:29 which one of those patients had been seen privately. 21 and she then produced this table. 22 23 176 Unfortunately with this redaction, I can't Yes. Q. 24 illustrate the next point, but would you accept or 25 would you have an understanding that only one of the 14.29 patients on this list was to form part of the 11 26 27 patients that were ultimately considered by Dr. Chada's investigation? There was another patient, that was the 28 patient referred initially by --29

	Α.	Mr. Haynes.	
177	Q.	Mr. Haynes, who was part of the 11. But not all of	
		these patients were to form part of the 11 that was	
		considered by Dr. Chada; isn't that right?	
	Α.	That's correct.	14:30
178	Q.	I just want to explore with you on how that came about.	
	Α.	Yes.	
179	Q.	She has produced that list. If we go to AOB-03164, I'm	
		just going to jump about a number of entries. If	
		we pull up the bottom entry. We looked at this briefly	14:30
		earlier. A review of TURP patients; it says it	
		identified nine. I don't see nine in that list that	
		we looked at earlier. Some of the entries look to be	
		the same patient in twice. We'll not worry too much	
		about the nine. Then, had the procedure within the	14:31
		NHS. "The waiting time for these patients appeared to	
		be significantly less than for other patients".	
		Is that your language or is that hers?	
	Α.	I think this is the minutes of the note?	14:31
180	Q.	Yes, this is you.	
	Α.	This is me feeding the information to the Oversight	
		Committee and their recording of what I said. The	
		table that you've just made reference to was the first	
		table of patients at a very high level of what I had	14:31
		asked to do, patients who had TURPs under Mr. O'Brien.	
		What we were showing was there were these eight,	
		plus although we make reference to the ninth, I	
		think the ninth was Mr. Haynes' patient we were making	
	178 179	1777 Q. A. 1778 Q. 1799 Q. 1799 A. 1800 Q.	 177 Q Mr. Haynes, who was part of the 11. But not all of these patients were to form part of the 11 that was considered by Dr. Chada; isn't that right? A. That's correct. 178 Q. I just want to explore with you on how that came about. A. Yes. 179 Q. She has produced that list. If we go to AOB-03164, I'm just going to jump about a number of entries. If we pull up the bottom entry. We looked at this briefly earlier. A review of TURP patients; it says it identified nine. I don't see nine in that list that we looked at earlier. Some of the entries look to be the same patient in twice. We'll not worry too much about the nine. Then, had the procedure within the NHS. "The waiting time for these patients". Is that your language or is that hers? A. I think this is the minutes of the note? 180 Q. Yes, this is you. A. This is me feeding the information to the Oversight Committee and their recording of what I said. The table that you've just made reference to was the first table of patients at a very high level of what I had asked to do, patients who had TURPs under Mr. O'Brien. What we were showing was there were these eight, plus although we make reference to the ninth, I

reference to. Just simply the waiting time and drawing 1 2 the interference from that. So the column, I think it was the penultimate column, shows the number of days 3 they were waiting before they were operated on. 4 5 I think what we were putting that against was the 14:32 waiting time for, the total waiting time for TURPs, 6 7 which was, I think, 130/140 weeks. And these patients, 8 I think the longest was 200 days.

We were just saying the waiting time is 130 weeks, 14:32
these patients seen privately by Mr. O'Brien are
waiting considerably less.

9

13 well, that's the theory or the approach. 181 0. Yes. Let's just work through what happens then. On 16th January, 14 Ms. Clayton sends you an email showing 847 patients who 14:33 15 16 attended Mr. O'Brien for surgery in 2016. Let's get that up on the screen, TRU-263732. We can look behind 17 18 that email if necessary, but she's sending you all of 19 Mr. O'Brien's urological surgery for that year. At 20 this point, was she being asked to expand her terms of 14:34 21 reference into other procedures other than TURPs? 22 I do not recall how we got from my request for TURPs to Α. be looked at to all of Mr. O'Brien's surgery. 23 I've 24 tried to trace the emails to see how Ms. Clayton was given the instructions. I have no doubt it could have 25 14.34 been me that said it to her, but in terms of me 26 27 recalling why I said it to her, I can't find any communication or evidence for the rationale behind 28 29 that.

It is fair to say, isn't it - and we saw the email this 1 182 Q. 2 morning - that the initial interest is TURPs. 3 Yes. Α. 4 Or TURP? 183 0. 5 Because that was the operation Mr. Haynes made Α. 14:35 6 reference to. 7 If we pull up TRU-258862. Scroll down again, please. 184 **Q**. 8 In response to the 16th January email, you say to her -9 just up a little - on 29th January you need to work through these patients to identify any who were 10 14.3511 operated on against chronological management. 12 13 How can you do that? What does chronological 14 management mean in that sense? I think we were, again, looking at those patients 15 Α. 14:36 16 Mr. O'Brien had seen privately. I think there is somewhere in one of the emails where I had asked --17 18 Mrs. Clayton had presented that 16th email data, and 19 I had asked for guidance on how we should approach 20 Should we look at all the patients, should this. 14:36 21 we sample a few of the patients or none of the 22 patients. I think I sent that to Dr. Wright and Mrs. Gishkori. I don't recall -- I can't see any 23 24 evidence of getting a reply from them. Okay. We'll try to find that email. 25 185 Q. 14:36 26 27 The next step that we're currently aware of is on 8th March, Ms. Clayton has gone through all of the 28 surgeries and she's identified 11 private patients. 29

1 We'll just pull that up, please. It's TRU-258769. 2 She's telling you: 3 4 "I have gone through patients that had surgery under 5 AOB in 2016. There were 11 patients with a hermitage 14:37 letter who did not wait long for their surgery. 6 See 7 There were 830 patients in total who had the below. 8 surgery in 2016". You have a hard copy of the hermitage 9 letter". 10 14.3711 Then scrolling up the page, you say: 12 13 "Wendy, thanks, and you will kill me (possibly) what 14 procedures did they have? And then compare to the 15 other patients classed as urgent awaiting the same 14:37 16 procedure to see is there a difference. This would be 17 important." 18 19 Does that suggest that you thought it important to 20 compare like with like, there had to be fair 14:38 21 comparative analysis? 22 I mean, we had to be fair to Mr. O'Brien Α. Of course. 23 that if we were -- yeah, we had to be fair to 24 Mr. O'Brien. So I had asked Wendy to detail the 25 procedure and what was the waiting time for those same 14.38 patients who were not seen privately by Mr. O'Brien. 26 27 186 Q. Can you explain to us how the nine, if we can call it nine - I'm not entirely sure that it is nine TURP 28 29 patients but let's work with that figure - how, at

1			least six and possibly seven of that original	
2			nine didn't then follow into the Chada investigation.	
3			They were, if you like, discarded; they didn't form	
4			part of this analysis going forward.	
5		Α.	I don't think I can, except the patients we felt were	14:39
6			appropriate, we asked Mr. O'Brien Mr. Young to	
7			review them from a clinical perspective to see what his	
8			views on the waiting time were against the condition.	
9			So, I don't know how the nine initial TURP patients	
10			were not then factored into or removed from the 11,	14:39
11			the 11 which Mr. Young reviewed.	
12	187	Q.	Yes. It is fair to say, and we can see various	
13			examples of it, this minute to the Oversight Committee	
14			in January has you asserting that these nine appear to	
15			have been treated quicker than comparable patients who	14:40
16			hadn't gone private. Similarly, when Mr. O'Brien meets	
17			Mr. Weir on the 24th January, again it's the nine TURP	
18			patients. He is being told the concern is these TURP	
19			patients have been seen these private TURP patients	
20			have been seen quicker.	14:40
21		Α.	Yes.	
22	188	Q.	I wonder can you help us with this, I don't have any	
23			analysis from Mr. Young showing how these TURP patients	
24			have been discarded from having been patients of	
25			concern to this investigation. How have they fallen	14:41
26			out?	
27		Α.	I'm unclear also so I don't have an answer for you,	
28			Mr. Wolfe, as to how the original TURP patients were	
29			then not did not find their way into the next set of	

1			nine which Mr. Young reviewed.	
2	189	Q.	Yes.	
3		Α.	I suppose what I would say is that the initial table	
4			that Ms. Clayton produced was simply waiting times for	
5			those patients. It wasn't whether or not they were	14:41
6			clinically appropriate to be done, because we hadn't	
7			asked any clinician to review them. It was simply just	
8			stating a fact that on the face of these patients who	
9			had seen Mr. O'Brien, they had waited a considerably	
10			less time than the waiting time for TURPs.	14:41
11	190	Q.	Nor does it seem you are able to clearly explain how	
12			you moved from an interest in the nine TURP patients	
13			into patients receiving other surgical treatments or	
14			diagnostics. There doesn't seem to be on the face of	
15			the papers or on your evidence so far a clear	14:42
16			explanation as to how that turn was taken?	
17		Α.	I know. I accept that. I am unable to provide an	
18			explanation as to why it went from TURPs to all surgery	
19			in 2016.	
20	191	Q.	The concern which was expressed by Mr. O'Brien to	14:42
21			Dr. Chada and to Dr. Khan and in his grievance	
22			subsequently was that having realised as a service or	
23			as an organisation that the allegation in respect of	
24			TURPs couldn't be made out across the nine patients, a	
25			vexatious turn was made to look at other patients who	14:43
26			might fit the charge. Do you follow?	
27		Α.	Yes. I do follow and I have read Mr. O'Brien's view on	
28			that.	
29	192	Q.	In other words, he was suggesting - I'll choose my	

words carefully - he uses the word "vexatious", to try 1 2 to undermine, impact on his reputation by unfairly picking out patients who, to repeat the words, might 3 look as if they better meet the charge of unfair 4 5 advantage once you realise that the TURP patients 14:43 couldn't bring the case against him home. 6 Is that what 7 happened? 8 In what respect, sorry? Α. Was there some calculation made here that, well, 9 193 Q. 10 Mr. Young won't stand over the allegation -- Mr. Young 14 · 44 11 won't stand over the charge in respect of the handling 12 of these TURPs patients so we better go and find some 13 other evidence to hang Mr. O'Brien with? 14 Α. No, definitely not. I mean, what we did was all in good faith. There was nothing other -- no other 15 14:44 16 intention or purpose or reason behind. It was simply taking Mr. Haynes' email and investigating it. That's 17 18 how it was set out. 19 194 Q. In terms of what has been done here by Mrs. Clayton -20 you sent her off to do a further body of work - can you 14:45 21 explain the process which then led to these matters 22 going to Mr. Young for consideration? No, I can't. I mean, I think Mrs. Corrigan would be in 23 Α. 24 a better position to answer that than I would be. 25 Did you ever have a conversation with him in relation 195 Q. 14.45to this? 26 27 No, I never had a conversation with Mr. Young. Α. Mrs. Corrigan may be the more appropriate recipient of 28 196 Q. 29 these questions. If we pull up TRU-283681. This is

Mrs. Corrigan explaining in to Siobhán Hynds, copying 1 2 the investigator, Dr. Chada. She explains - you have this high level explanation to the process that was 3 undertaken - you had requested Wendy Clayton to produce 4 5 a report or to have a report run on all Mr. O'Brien's 14:46 surgery during 2016. That's correct? 6 7 Yes. Α. 8 197 0. 9 "Any patients that had a short wait time before being added to the waiting list and being operated on had 10 14.4611 their record checked on NIECR to see if they had a 12 private patient letter. Out of this list, there were 13 11 patients for which all the letters were printed off". 14 15 14:47 16 Obviously, the TURP patient issue came before that and you don't have an explanation as to why some of those 17 nine were discard. Otherwise, that's a correct 18 19 description of the process, is it? 20 Yes. Α. 14:47 21 It said she then asked Mr. Young if he could look at 198 Q. these letters and gauge from his clinical opinion 22 should they have been seen - I think it says - "should 23 24 be seen as soon as they had been or should they have 25 been added to the NHS waiting list to wait and to be 14.47picked chronologically. 26 27 That conversation with Mr. Young, is that something you 28 29 don't know anything about?

1		Α.	NO.	
2	199	Q.	What was your understanding at that time - these are	
3			all 2016 cases, as we understand it - of how a	
4			clinician seeing a patient privately should manage that	
5			patient on to the NHS? How was that to be done?	14:48
6		Α.	My understanding is they fill in a form. They transfer	
7			the care of the patient from the private sector to the	
8			NHS.	
9	200	Q.	was that the system in place in 2016?	
10		Α.	I believe so, yes.	14:48
11	201	Q.	who would receive that form then?	
12		Α.	So, it's the secretary. The secretary would fill it	
13			in. Where it would go to, I don't know is the honest	
14			answer.	
15	202	Q.	Is it the completion of that form which then places the	14:48
16			patient on the HSC waiting list?	
17		Α.	Yes. There is a process that is meant to be followed	
18			when a patient is seen privately and they are returned	
19			back to the NHS. There's a process that's meant to be	
20			followed.	14:49
21	203	Q.	This, I suppose important, issue of chronological	
22			dealing or management of a patient, can you help us	
23			understand that; how does that work? Presumably, for	
24			example, not every TURP patient is as urgent as the	
25			next. Would there be gradations of clinical	14:49
26			complication with each patient that might affect how	
27			that patient is to be seen?	
28		Α.	Yes. So if a clinician sees a patient and the	
29			clinician makes a decision as adding to the waiting	

1			list, they do so by categorising them whether they are	
2			routine or urgent. If they are either of those things,	
3			then they go on at the date you know, the	
4			appropriate date. Then, they wait from that time that	
5			they're placed on the waiting list.	14:50
6	204	Q.	Obviously Mr. Young conducted some work in relation to	
7			the 11. Again, just to be clear, that wasn't something	
8			that was overseen, considered or discussed by you in	
9			any way?	
10		Α.	NO.	14:50
11	205	Q.	Mr. O'Brien criticises the exercise performed by	
12			Mr. Young because, he says, it didn't engage in a	
13			comparative analysis or an appropriate comparative	
14			analysis. In other words, he would maintain, it seems,	
15			that all of the 11 patients were treated at a time in	14:51
16			accordance with their clinical merits, and he would say	
17			it seems that Mr. Young didn't look at it in that way.	
18		Α.	Well, all I can say is Mr. Young is an equally senior,	
19			experienced clinician, surgeon. He was the clinical	
20			lead. When Mrs. Corrigan asked him to review it, it	14:52
21			would be for his professional opinion.	
22	206	Q.	Dr. Chada, I think, accepts that having received	
23			Mr. O'Brien's quite detailed and comprehensive analysis	
24			of how he treated these 11 patients and why and his	
25			observations on the relevant timeframe, she didn't	14:52
26			check back with Mr. Young, didn't check back with the	
27			service to test Mr. O'Brien's response. Is that fair,	
28			you didn't hear back from her on this issue?	
29		Α.	No, no. From Dr. Chada, no.	

207 Q. Can you help me with this. Across all of these issues, 1 2 plainly the Service - the Trust management is maybe another way of putting it - is through you and through 3 your staff, sending information into the investigation. 4 5 This parallel clinical investigation, if you like, is 14:53 producing this data; it's going into the investigation. 6 7 But it appears to be accepted without coming back to 8 you or your staff to test it at any point; is that right? 9 Yes. The information that I would have received, 10 Α. 14.53 11 I would have received from Ms. Clayton and Mrs. Corrigan, both of them equally competent senior 12 13 managers who would be much more expert than I would be in systems and processes. So, the data that they gave, 14 I didn't test it but I took assurance from their 15 14:54 16 expertise in this field. 17 A case conference happened in late January, 208 Q. 18 26th January. You had obviously attended the previous two Oversight Committee meetings. You didn't attend 19 20 Mrs. Gishkori didn't attend that one, that that one. 14:54 is the one on the 26th January. Do you have an 21 22 understanding why you weren't asked to go? 23 I know now why I wasn't asked to go from listening to Α. 24 Mrs. Toal's evidence, that she sent an email to 25 Mrs. Gishkori requesting that I would not attend as 14.55 I was collecting the data, and so Mrs. McVey was asked 26 27 to go. Mrs. McVey was another Assistant Director within the 28 209 Q. Acute Directorate? 29

1		Α.	Yes. So she was responsible for medicine on scheduled	
2			care.	
3	210	Q.	She had no prior background or knowledge, to the best	
4			of your knowledge or appreciation, of these Aidan	
5			O'Brien issues?	14:55
6		Α.	No, that's correct.	
7	211	Q.	Mrs. Gishkori can account for her own reasons for not	
8			attending. Could I ask for your observations on this.	
9			Was Mrs. Gishkori, to the best of your understanding,	
10			fully engaged on these matters?	14:56
11		Α.	I suppose at the time I didn't, but with reflection and	
12			looking at all the evidence and the data, Mrs. Gishkori	
13			seems to be arm's length in terms of communication and	
14			correspondence and moving things forward, would be my	
15			view now.	14:56
16	212	Q.	The work that you were doing pursuant to the actions	
17			agreed at the Oversight Group in December and then	
18			again in January, were they issues that you just got on	
19			with, for example with Mrs. Clayton and Mrs. Corrigan,	
20			without any input from Esther Gishkori?	14:57
21		Α.	Yes. Yes, that would be fair to say.	
22	213	Q.	Did she seek to engage with you on them?	
23		Α.	I don't have any memory of us actually having a meeting	
24			or a discussion about it. Now, she may have a	
25			different view but I don't recall having meaningful	14:57
26			discussions about being asked for updates as to where	
27			we were in terms of progressing the issues, would be my	
28			view.	
29	214	Q.	I ask that question from the perspective that the	

information that you were generating in association 1 2 with Mr. O'Brien's practice - failure to triage urgent and routine referrals; failure to dictate outpatient 3 encounters in some clinics; the private patient 4 5 concern; the sheer volume of notes retained at home, 14:58 they raise, do they not, substantial governance issues 6 7 and management issues for her directorate? 8 Yes. Absolutely, yes. Yes. But if the question is Α. 9 did Mrs. Gishkori act on that, well, I'm not here to answer for Mrs. Gishkori, she'll answer for herself, 10 14.58 11 but I think the answer to that would be apparently no, 12 in my view. 13 215 The case conference - we can bring the document up in a Q. moment - it raised a number of actions for you and 14 Mrs. Gishkori. There was to be a monitoring plan in 15 14:59 16 respect of Mr. O'Brien to facilitate his return to work following exclusion, and there was to be a comparison 17 18 of workload activity. We'll maybe just turn that up and we can look at that. Sorry, just allow me a 19 20 we'll come back to that, I'll deal with it moment. 15:00 21 separately. Those were two issues that you were aware that you were required to take forward? 22 So, I don't think I was aware. I've looked. 23 The Α. 24 minutes of the meeting, I didn't receive of the 26th. I've looked in the archives and I've looked in the 25 15:00 evidence bundle. I don't recall receiving them. 26 27 216 Q. Let's just bring them up briefly at this point. TRU-00037. If we go to the bottom of the next page. 28 29 It was indicated an formal investigation would take

1 place. 2 Then, scrolling down. As a condition of lifting 3 exclusion, the minute records: 4 5 15:01 6 "It was agreed that the operational team would provide 7 the detail for a monitoring arrangement. This would be 8 provided to the case investigator, case manager and 9 members of the Oversight Committee". 10 15.0211 Over the page, please. It says: 12 13 "It was noted that Mr. O'Brien had identified workload 14 pressures as one of the reasons he had not completed 15 all administrative duties. There was consideration 15:02 16 about whether there was a process for him highlighting 17 unsustainable workload. It was agreed that an urgent 18 review of Mr. O'Brien's job plan was required". 19 20 Obviously Mrs. McVey was the Directorate's 15:02 21 representative, I suppose, at that case conference of 22 the Oversight Committee. Are you saying that that 23 information regarding those actions didn't filter back 24 to you? There was an email on the -- I think it's 25 Α. Yes. 15.02sometime early February from Mrs. Gishkori to 26 27 Mrs. Hynds and then I'm copied into it, where she asks to meet with Siobhán and me to progress the first one, 28 29 the terms of the monitoring plan.

1				
2			In terms of the other one which has my name beside it,	
3			in terms of comparable workload activity with job plan	
4			sessions, I didn't action it because I don't think I	
5			was aware of it.	15:03
6	217	Q.	Who should have been notifying you of it?	
7		Α.	Well, Esther and/or Anne, Mrs. McVey.	
8	218	Q.	Clearly that was an important consideration or an	
9			important action from the perspective of the Oversight	
10			Committee in that they're trying to balance the need	15:04
11			for exclusion as against whether the option of a safe	
12			return is something that could be contemplated, and	
13			they have to have a think about, or they're anxious to,	
14			it seems, consider whether there is anything in	
15			Mr. O'Brien's workload activity that would be	15:04
16			unsustainable and lead them into further difficulty?	
17		Α.	Yes. I suppose the timeline, I know from the note of	
18			the meeting in March, Mr. O'Brien and Mrs. Corrigan and	
19			Mr. Weir, Mrs. Corrigan was able to demonstrate to	
20			Mr. O'Brien in terms of inpatients and day cases that	15:05
21			he didn't have the biggest volume or the longest	
22			waiting list among his peers.	
23	219	Q.	Let's just park this. We'll come back to this as a	
24			standalone issue in just a moment. I just want to pick	
25			up a couple of miscellaneous or separate-type issues	15:05
26			that follow in the period after this case conference.	
27				
28			If we can pull up TRU-267904. This is you providing	
29			Dr. Chada's investigation with an update. Were you	

1			conscious that the work of the Service and the	
2			conditions dealing with these look-back type issues -	
3			dealing with the triage, dealing with the undictated	
4			patients - that this was work that needed to be done in	
5			order to allow the broader MHPS to proceed	
6			expeditiously ?	15:06
7		•		
		Α.	Yes. These patients were the outcome of the 24	
8			patients sorry, the 24 this is an update from the	
9			24 patients who were upgraded, of the 783 patients.	
10	220	Q.	If we just scroll down to the bottom, please. I will	15:06
11			just check my reference. If we scroll up. I have a	
12			reference but it doesn't appear to be in this document.	
13				
14			You're telling Dr. Chada, by the 3rd March I think,	
15			that the outcome of undictated clinics essentially has	15:07
16			not started by this point. Now, as we saw from	
17			Mrs. Corrigan's email earlier, it was completed	
18			certainly by June. What was holding up progress on the	
19			undictated clinical issues?	
20		Α.	You just couldn't scroll down to see the date on this	15:08
21			email?	
22	221	Q.	This email is 3rd March, I think.	
23		Α.	Okay. I suppose getting to June, where the undictated	
24			patients were finally addressed, generally there were	
25			only four consultants doing it. They were doing their	15:08
26			day-time job. I also think they had committed prior to	
27			this to doing waiting list work. So, they were doing	
28			lots of work. They felt in terms of clinical	
29			prioritisation, the first tranche that should be done	

1			was the 783 and then they would get to the 668 patients	
2			where there was no outcomes of the 97. So they would	
3			get to that. It was in the context of everything the	
4			Urology Service was doing.	
5	222	Q.	Simply you didn't have the capacity to do it as quickly	15:09
6			as you would have liked to have done it?	
7		Α.	Yes, correct.	
8	223	Q.	You mentioned just a moment or two ago the meeting that	
9			took place between Mrs. Corrigan, Mr. Weir and	
10			Mr. O'Brien in early March which looked at aspects of	15:09
11			his workload. I just want to look at that briefly with	
12			you. TRU-258781. In the middle of the page, please.	
13			Mrs. Corrigan is writing to you, saying:	
14				
15			"Colin and I were to meet with Aidan on Monday to	15:10
16			discuss SWAH and other issues that Aidan had on his	
17			return to work and Colin had intended to use it as a	
18			forum for discussing any issues such as nonattendance	
19			at MDT".	
20				15:10
21			That meeting happens on the next day, the 9th March.	
22			What is your understanding of why that meeting was	
23			necessary?	
24		Α.	I think it was just a return-to-work interview after	
25			him being off sick. I think that was the purpose of	15:10
26			the meeting.	
27	224	Q.	If we pull up the minutes of it, TRU-269952. As you	
28			say, the minutes look at various aspects of	
29			Mr. O'Brien's workload. You say you weren't aware of	

1			the requirement for a comparative or comparable	
2			exercise, but it does appear that this meeting sought	
3			to engage Mr. O'Brien in discussions about how he could	
4			better manage his workload.	
5				15:11
6			Did you receive any feedback arising out of this	
7			meeting?	
8		Α.	Mrs. Corrigan asked me to review it before she sent it	
9			out.	
10	225	Q.	The?	15:11
11		Α.	The note of this meeting.	
12	226	Q.	Yes. After the meeting, did you receive any feedback	
13			from it?	
14		Α.	No. No, I don't believe so.	
15	227	Q.	One of the issues which was dealt with was in respect	15:12
16			of the Enniskillen clinics, as we can see here,	
17			scrolling down the page. There was an emphasis on	
18			ensuring that Mr. O'Brien was in a position to dictate	
19			as efficiently as the service wanted it.	
20				15:12
21			If we scroll down the page a bit further.	
22			Mrs. Corrigan was going to check to see if Mr. O'Brien	
23			could use his laptop in SWAH to do his digital	
24			dictation. Mr. Young is going to SWAH on the 13th and	
25			has agreed to trial and report back. It was agreed	15:13
26			that Mr. O'Brien would see 16 patients on these clinics	
27			and he would get one hour to dictate at the end of the	
28			clinic.	
29				

1			"Mr. O'Brien agreed to this and that he would not leave	
2			SWAH until all the charts had been dictated on.	
3			Mr. Weir asked Mr. O'Brien was this fair and	
4			Mr. O'Brien replied "Nothing about job plans was fair".	
5				15:13
6			In any event, this grant of an hour to dictate at the	
7			end of a clinic day in Enniskillen, is that something	
8			additional to what he had had previously?	
9		Α.	I don't know the answer in terms of what was detailed	
10			in his job plan. I suppose I read it that the	15:14
11			clinics there are two clinics, an a.m. clinic and a	
12			p.m. clinic. So, he could be getting two hours to	
13			dictate, one for each clinic.	
14	228	Q.	In terms of how this is being described, I don't know	
15			if you can deal with it, it does seem to be something	15:14
16			extra in recognition of the difficulties in dictating	
17			as contemporaneously or as quickly as the Service would	
18			like.	
19		Α.	Yes. I'm not a clinician, a doctor, but Mrs. Corrigan	
20			and Mr. Weir were there, and Mr. O'Brien,	15:14
21			notwithstanding his last comment, agreed. He agreed	
22			that that was a reasonable offer.	
23	229	Q.	I suppose the point being is it a recognition - and	
24			maybe it is fairer to ask Mrs. Corrigan this - is it a	
25			recognition that he hadn't been given sufficient time	15:15
26			previously to get through all of the dictation	
27			requirements that these clinics throw up?	
28		Α.	Well, yes. We would need to see what was in his job	
29			plan in terms of the admin time associated. Then also	

you probably would need to assess that against his 1 2 colleagues, how many patients they were seeing at the clinics and how much time they were given so that you 3 4 were treating every consultant the same. But again, 5 I suppose Mr. O'Brien had his own way of dictating or 15:15 not dictating contemporaneously, and Mrs. Corrigan and 6 7 Mr. Weir were trying to be of assistance to him. 8 230 If we just pick up on one other thread in this meeting, 0. 9 which the Inquiry can look at it in some detail as it If we scroll on to the issue of MDT. 10 wishes. Mavbe 15.16 11 just pause there. An issue arose in respect of whether 12 Mr. O'Brien should be given dispensation from taking on 13 any new outpatients. He made the point that he had the 14 most patients waiting to be operated on with the longest waiting list and that it wasn't fair to him to 15 15:16 16 see new patients and add them to his waiting list, he couldn't deal with him. Mrs. Corrigan clarified that 17 18 Mr. O'Brien didn't have the most nor the longest 19 waiting times for in and day patients, and the figures 20 are set out there. 15:17 Any observations you want to make on that? 21 22 Α. NO. 23 Moving down, then. In relation to MDT, a question 231 0. 24 arose as to whether Mr. O'Brien would continue to act 25 in the role of lead for the MDT -- sorry, continue to 15.17act as one of the rotating Chairs of the MDT. 26 Не 27 explained there that the demands on him after operating on a Wednesday, to prep for the next day's chairing of 28 29 an MDT were significant.

1 2 In a follow-up note, Mrs. Corrigan said that she spoke with Mr. Young who felt that if Mr. O'Brien wanted to 3 continue to Chair, then he should drop his theatre 4 5 session once per month and give it to a locum 15:18 consultant and this would allow him to do the 6 7 preparation for the MDT. 8 Can I ask you about this. Was there a requirement for 9 Mr. O'Brien to do additional surgery outwith the 10 15.1911 sessions required of him in his job plan? 12 There wasn't a requirement. I think again Α. 13 Mrs. Corrigan could talk to this better than I could. 14 He was keen to operate, and any additional operating sessions that were available, he would be keen to take 15 15:19 16 them up if he could. He also undertook waiting list initiative work, which is outside of core work, out of 17 18 core job plan time. Is there extra remuneration for each of those tasks or 19 232 Q. 20 each of those? 15:19 If it's in core time, no. If he is displaced, for 21 Α. example, in SPA or displaced in admin, he wouldn't be 22 paid for that because he's already being paid for it. 23 24 But if he did waiting list initiative work, he would be paid for that. 25 15.20Isn't it the case that whereas you describe Mr. O'Brien 26 233 Q. 27 as being keen to operate, and we've seen the additional sessions of theatre work which he did through 2016, it 28 appears to be almost double the sessions expected of 29

1			him in his job plan. But as well as him being keen,	
2			the Service was keen to have him do it; is that fair?	
3		Α.	Yes. Yes.	
4	234	Q.	Because of the waiting list problems?	
5		Α.	Correct. The length of the waiting lists, yes.	15:20
6	235	Q.	Is it also fair to say that Mr. O'Brien was not	
7			prevented from doing these additional sessions of	
8			theatre notwithstanding the Trust's understanding that	
9			he was rarely completing his dictation, rarely	
10			completing his triage in the respects we have	15:21
11			discussed?	
12		Α.	So, as I said in March time, that level of analysis or	
13			triangulation, that was not done. So, Mrs. Corrigan	
14			and Mr. O'Brien had a conversation and Mr. O'Brien was	
15			happy to do his operating either in core, however he	15:21
16			was going to do that, then that would have been	
17			permitted. It was based on the need to get the waiting	
18			list down in a small way. It wouldn't have made a big	
19			dint but it was going the right way.	
20	236	Q.	Here we have in front of us, I suppose, is a	15:22
21			realisation and a practical example of someone saying	
22			out loud - perhaps it's novel, it appears to be novel	
23			in terms of what we have seen so far in this Inquiry -	
24			of Mr. O'Brien's clinical lead, Mr. Young, saying,	
25			well, if Mr. O'Brien can't do what's expected of him as	15:22
26			MDM Chair, he should step back from doing theatre and	
27			the Trust should instead look to a locum to do it. In	
28			a sense that's refreshing, isn't it, we don't see that?	
29		Α.	I agree with you. Mr. Young appears to be saying, you	

2some time, we can facilitate that time by using the3locum to backfill the session, the theatre session that4you drop.5237 Q.You provided your witness statement to Dr. Chada6following an interview on 6th April 2017. At that7interview you raised with her an issue that hadn't8occurred or didn't appear to have occurred to anyone9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in11association with theatre?12A.13238 Q.14up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.17"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".26Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some29documentation around that and then she would have	1			know, we accept that you're under pressure; if you need	
4you drop.5237Q.You provided your witness statement to Dr. Chada10:236following an interview on 6th April 2017. At that10:237interview you raised with her an issue that hadn't08occurred or didn't appear to have occurred to anyone99before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in11association with theatre?12A.13238Q.14up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.17"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".26"can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	2			some time, we can facilitate that time by using the	
5237Q.You provided your witness statement to Dr. Chada19:236following an interview on 6th April 2017. At that107interview you raised with her an issue that hadn't8occurred or didn't appear to have occurred to anyone9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in11association with theatre?12A.1323814up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.1718"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".262727Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	3			locum to backfill the session, the theatre session that	
6following an interview on 6th April 2017. At that7interview you raised with her an issue that hadn't8occurred or didn't appear to have occurred to anyone9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in11association with theatre?12A.1323814up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.1718"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".262727Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	4			you drop.	
7interview you raised with her an issue that hadn't8occurred or didn't appear to have occurred to anyone9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in11association with theatre?12A.1323814up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.1718"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".2615.2627Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	5	237	Q.	You provided your witness statement to Dr. Chada	15:23
8occurred or didn't appear to have occurred to anyone9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in association with theatre?11association with theatre?12A.13238Q.You drew that to her attention - maybe briefly put it up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you this week.17"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the theatre list. He said that they are all urgent and 'they will all be done'. We need to be able to prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is Mr. O'Brien".25Mr. O'Brien".2627Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some	6			following an interview on 6th April 2017. At that	
9before, and that was that Mr. O'Brien wasn't putting10the clinical status of his patients onto the form in association with theatre?11association with theatre?12A. Yes.13238Q. You drew that to her attention - maybe briefly put it up - in your witness statement, TRU-00765. At paragraph 24 you explain that this issue concerned you 15:2416this week.17	7			interview you raised with her an issue that hadn't	
10the clinical status of his patients onto the form in association with theatre?11association with theatre?12A.1323813238Q.You drew that to her attention - maybe briefly put it up - in your witness statement, TRU-00765. At paragraph 24 you explain that this issue concerned you this week.171518"That is when I checked regarding bed pressures, Mr. O' Brien has no clinician priority noted on the theatre list. He said that they are all urgent and 'they will all be done'. We need to be able to prioritise patients when there are bed pressures so we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O'Brien".2615:2827Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some	8			occurred or didn't appear to have occurred to anyone	
11association with theatre?12A.13238Q.14up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.17"That is when I checked regarding bed pressures,18"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".26tan you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	9			before, and that was that Mr. O'Brien wasn't putting	
12A.Yes.13238Q.You drew that to her attention - maybe briefly put it up - in your witness statement, TRU-00765. At paragraph 24 you explain that this issue concerned you this week.16this week.17"That is when I checked regarding bed pressures, Mr. O' Brien has no clinician priority noted on the theatre list. He said that they are all urgent and 'they will all be done'. We need to be able to prioritise patients when there are bed pressures so we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O' Brien".26Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some	10			the clinical status of his patients onto the form in	15:23
13238Q.You drew that to her attention - maybe briefly put it up - in your witness statement, TRU-00765. At paragraph 24 you explain that this issue concerned you this week.16this week.17"That is when I checked regarding bed pressures, Mr. O'Brien has no clinician priority noted on the theatre list. He said that they are all urgent and 'they will all be done'. We need to be able to prioritise patients when there are bed pressures so we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O'Brien".26Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some	11			association with theatre?	
14up - in your witness statement, TRU-00765. At15paragraph 24 you explain that this issue concerned you16this week.17	12		Α.	Yes.	
15paragraph 24 you explain that this issue concerned you15:2416this week.1717"That is when I checked regarding bed pressures,19Mr. O' Bri en has no clinician priority noted on the20theatre list. He said that they are all urgent and21' they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O' Brien".2615:2527Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	13	238	Q.	You drew that to her attention - maybe briefly put it	
16this week.171818"That is when I checked regarding bed pressures,19Mr. O'Brien has no clinician priority noted on the20theatre list. He said that they are all urgent and21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".2627282829202021222324252627282829292929202021222324252627282929202021222324252526272829292929292920202122232425252627282929292020 <td>14</td> <td></td> <td></td> <td>up - in your witness statement, TRU-00765. At</td> <td></td>	14			up - in your witness statement, TRU-00765. At	
17181819Mr. O' Bri en has no clinician priority noted on the20theatre list. He said that they are all urgent and15:2421' they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O' Bri en".2627Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	15			paragraph 24 you explain that this issue concerned you	15:24
 18 "That is when I checked regarding bed pressures, 19 Mr. O'Brien has no clinician priority noted on the 20 theatre list. He said that they are all urgent and they will all be done'. We need to be able to 21 'they will all be done'. We need to be able to 22 prioritise patients when there are bed pressures so 23 we can know who can be cancelled if absolutely 24 necessary. The only person who knows the priority is 25 Mr. O'Brien". 15:26 26 27 Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some 	16			this week.	
19Mr. O'Brien has no clinician priority noted on the theatre list. He said that they are all urgent and they will all be done'. We need to be able to21'they will all be done'. We need to be able to22prioritise patients when there are bed pressures so23we can know who can be cancelled if absolutely24necessary. The only person who knows the priority is25Mr. O'Brien".2627Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	17				
20theatre list. He said that they are all urgent and 'they will all be done'. We need to be able to15:2421'they will all be done'. We need to be able to16:2222prioritise patients when there are bed pressures so2323we can know who can be cancelled if absolutely16:2424necessary. The only person who knows the priority is15:2525Mr. O'Brien".15:252627Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	18			"That is when I checked regarding bed pressures,	
 ' they will all be done'. We need to be able to prioritise patients when there are bed pressures so we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O'Brien". 15:25 Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some 	19			Mr. O'Brien has no clinician priority noted on the	
 pri ori ti se pati ents when there are bed pressures so we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O' Bri en". 15:25 Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some 	20			theatre list. He said that they are all urgent and	15:24
 we can know who can be cancelled if absolutely necessary. The only person who knows the priority is Mr. O'Brien". Can you help us with that. That's an issue you brought back to Dr. Chada. Subsequently you sent her some 	21			'they will all be done'. We need to be able to	
 24 necessary. The only person who knows the priority is 25 Mr. O'Brien". 26 27 Can you help us with that. That's an issue you brought 28 back to Dr. Chada. Subsequently you sent her some 	22			prioritise patients when there are bed pressures so	
25Mr. O'Brien".15:252627Can you help us with that. That's an issue you brought28back to Dr. Chada. Subsequently you sent her some	23			we can know who can be cancelled if absolutely	
 26 27 Can you help us with that. That's an issue you brought 28 back to Dr. Chada. Subsequently you sent her some 	24			necessary. The only person who knows the priority is	
27 Can you help us with that. That's an issue you brought 28 back to Dr. Chada. Subsequently you sent her some	25			Mr. O'Brien".	15:25
28 back to Dr. Chada. Subsequently you sent her some	26				
	27			Can you help us with that. That's an issue you brought	
29 documentation around that and then she would have	28			back to Dr. Chada. Subsequently you sent her some	
	29			documentation around that and then she would have	

referred that to Dr. Khan, the case manager, for his 1 2 information. Why is it significant for you? Well, I suppose it makes -- let me try to explain. 3 Α. Pre-COVID when patients required operating on, they 4 5 attended the day surgical unit. If they required an 15:25 in-patient stay, they went back to an in-patient ward. 6 7 At that time in Craigavon it was 3 South, 4 North, 3 8 South. But everybody knows there are more medical 9 patients in the system than we have beds for. It was not uncommon that the surgical wards were used to 10 15.2511 accommodate the overflow of medical patients. So not 12 infrequently we would come in in the mornings, thinking 13 we had X amounts of beds to accommodate the post-operative surgical patients only to find out that 14 the beds had been used to facilitate medical patients 15 15:26 16 admitted overnight.

18 What we would do is we would look at the operating 19 theatre list, see what specialists were using the 20 theatres. Then we'd run our eye down to see the type 15:26 21 of -- how the consultant had classified the patient. whether it was cancer, red flag, urgent, routine. 22 SO 23 then when we knew what we were dealing with in terms of 24 how many beds we would need, we would go to the consultant and say, you're operating today, 25 15.26Mr. O'Brien, you've got five patients and four of them 26 27 are red flag, one is routine, could we cancel the routine patient, and he would say yes or no. 28 29

17

1			But Mr. O'Brien didn't put that classification down.	
2			It just meant that we didn't know what the we could	
3			have made a guess, an educated guess in terms of the	
4			operational they were having, but it just made it more	
5			difficult when you went to the clinician, he would say $~_{15}$	5:27
6			to you nine times out of 10, or frequently, what	
7			classification did I put against the operation?	
8			Mr. O'Brien didn't do that. That's the point I was	
9			highlighting. Whereas all the other urologists	
10			evidenced how they clinically prioritised the patient. $_{15}$	5:27
11			It was just one small thing.	
12	239	Q.	A small thing that appears to have irritated you and	
13			had an impact on how you wanted to manage your service?	
14		Α.	Well, it just would have been helpful if Mr. O'Brien	
15			had been the same as the other four consultants. ${}_{15}$	5:27
16	240	Q.	Did you prevail upon him to change his practice?	
17		Α.	No. Did I pursue it? No.	
18	241	Q.	I suppose that's the point. We've observed in earlier	
19			stages of evidence, operational managers seeking	
20			informally to orchestrate change or remedial action in $_{15}$	5:28
21			terms of Mr. O'Brien's practice going back some years,	
22			triage being the most prominent example. But nobody	
23			actually, until 2016 in all of that, nobody actually	
24			sitting down with him, meeting and I suppose making him	
25			understand why these rules of practice are important	5:28
26			and requiring him to comply.	
27		Α.	I take your point, yes.	
28	242	Q.	Nothing had changed by reference to this particular	
29		•	example by the time you came into the role?	
-				

1		Α.	No.	
2	243	Q.	Why is that? What is the culture that is existent at	
3			the time? I suppose you may say this is a relevantly	
4			small issue, and I'm sure the Inquiry might accept	
5			that, but what is the difficulty that causes you to	15:29
6			fail to sit down with the clinician and say listen, you	
7			have to do something different?	
8		Α.	I suppose it's complex. If it was easy, we would fix	
9			it.	
10				15:29
11			In terms of the life of anybody working in hospitals,	
12			there are many, many, many challenges that you face on	
13			a daily basis. I suppose everybody is guilty. If the	
14			issue isn't sorted there and then, it tends not to be	
15			completed, the loop tends not to be completed because	15:30
16			there's something else very quickly falls in behind it.	
17			If Mr. O'Brien was the only thing that occupied my	
18			life, that would be one thing, but it wasn't. There	
19			were many other challenges, not least, you know,	
20			Dr. McAllister's state of the nation was only a small	15:30
21			part of the challenges which we faced on a daily basis	
22			in the health service.	
23				
24			Two months of my working life each year was taken up on	
25			the role of the Assistant Director of the Week, where	15:30
26			I stopped doing my AD of the week role and I was	
27			occupied looking after flow. That was one full week	
28			every six weeks. So, if you didn't sort out the	
29			problem at source there and then, unfortunately to get	

back to it, sometimes it never happened because there 1 2 was just so much more to be done with the resources 3 that we had. Every morning we came in and we were faced with overwhelming patients in ED, trying to find 4 5 the beds for them. Part of my role in finding the beds 15:31 6 was, well, what surgery can we proceed today with. 7 That is endless and draining. So, plenty of 8 challenges. Thank you for that perspective. 9 244 Q. 10 15.3111 Now let's move to the monitoring arrangements. You 12 said that while unsighted on the minutes themselves, you did receive an email in relation to this. 13 I think you are copied into this email. Let me bring it up and 14 TRU-267575. Scroll up so we can see the 15 we'll see. 15:32 16 full timestamp. This is Gishkori to Siobhán Hynds, you 17 copied in. 18 19 "Ann McVey has fully briefed Mrs. Gishkori and she has 20 a number of questions. Is there a timescale for the 15:32 21 development of the monitoring process which Ronan and 22 her will assume responsibility for? Is it okay to 23 involve other clinicians in developing this", and she 24 suggests some options around that. 25 15:33 who did develop the monitoring plan? 26 27 Α. Following this email, we met on 6th February, myself, Mrs. Gishkori and Mrs. Hynds, and Mrs. Hynds then made 28 29 a first draft of it. I think she sent it the next day

-				
1			out for review. I had asked her would she include	
2			Mrs. Corrigan in it for her expertise, which she did.	
3			There was a few iterations back and forth in a chain of	
4			emails. Ultimately then it was shared on 9 February by	
5			Mrs. Hynds to ourselves and Dr. Khan and Mr. Weir for	15:34
6			their approval.	
7	245	Q.	Then there was a meeting that day	
8		Α.	With Mr. O'Brien.	
9	246	Q.	with Mr. O'Brien. You didn't attend that meeting?	
10		Α.	No, no.	15:34
11	247	Q.	Just to observe it, put it up on the screen, please.	
12			TRU-00732. Just at the top of the page, please. It	
13			remarks in the second paragraph, "Urgent job review	
14			plan will be undertaken to consider any workload	
15			pressures". How did you interpret that? Was that to	15:35
16			be 'let's work up a new job plan', or was it something	
17			like his current responsibilities have to be looked at	
18			to ensure appropriate supports are in place?	
19		Α.	Yes. So, he would undertake the full role of a	
20			consultant urologist as detailed in his job plan, and	15:35
21			then that would be surrounded by the monitoring plan.	
22	248	Q.	There's an expectation, it suggests here, of a review?	
23			The last sentence in that paragraph.	
24		Α.	Well, I suppose that came out of the 26th Oversight	
25			meeting.	15:36
26	249	Q.	Yes. What was your understanding of what should be	
27			done about that?	
28		Α.	I think Mr. Weir was tasked with that undertaking, that	
29			action, so Mr. Weir was supposed to deal with it.	
			•••	

I didn't see myself as dealing with it. 1 2 250 In terms of the monitoring plan itself, obviously - and Q. 3 we'll look briefly at them in a moment - there were flare-ups, if I can put it in those terms, of apparent 4 5 noncompliance with the job plan in the summer of 2017, 15:36 and you attended a meeting in early 2018, autumn of 6 7 2018, and in late 2019. 8 9 when you think about the monitoring arrangements that were drafted and then put in place, what's your 10 15.37reflections on how well they worked and how well 11 Mr. O'Brien complied with them? 12 13 As you articulated, there were deviations in the length Α. of time that we had been monitoring Mr. O'Brien. 14 They varied in terms of which aspect of the monitoring plan 15 15:37 16 Mr. O'Brien fell down in. But were they effective? I would say not, in that there were deviations over 17 18 that four-year period, three to four-year period. But did we sit down again and say, look, this monitoring 19 20 plan needs to be reviewed, this monitoring plan is not 15:38 fit for purpose? That wasn't done. 21 22 Obviously the mischief which the monitoring plan served 251 Q. to address was the question of can exclusion be 23 24 avoided, can Mr. O'Brien be brought back to work and enabled to work his job plan in a way which the Trust 25 15:38 considers to be safe. From that perspective, did the 26 27 monitoring arrangements allow yourself and Mrs. Corrigan to have confidence that things were going 28 in a relatively safe direction? 29

Yes, I think that would be fair to say. I think in the 1 Α. 2 length of time -- and I would say we monitored him far too long, but in the length of time we did monitor him 3 4 Mr. O'Brien, for those aspects that we were monitoring, 5 largely they were kept in check. So yes, I would 15:39 say -- and where there was deviations, bar the one 6 7 in October, on October 18th, they were picked up 8 relevantly quickly and dealt with and escalated to the case manager. 9

10252Q.You say he was monitored far too long. What do you15:3911mean by that?

A. Well, I suppose to have anybody on a monitoring plan
 from 2017 until you retire in June 2020 - although
 we stopped monitoring him when COVID hit in around
 March of 2020 - it has never been my experience that 15:40
 you monitor them essentially for three years, you know,
 and you are monitoring the same thing all the time.

18

19 Again, with hindsight and 20/20 vision, I think we 20 should have brought the Oversight Committee meeting 15:40 back, we should have met more regularly. There should 21 22 have been greater oversight from the Oversight Committee in terms of, you know, what position we were 23 24 at six months in, 12 months in. I know the parallel 25 process was going on in terms of Dr. Chada and 15.40Dr. Khan, but I can't help but think, sitting here now, 26 27 it may have been helpful and we could have brought about another way of monitoring Mr. O'Brien. 28 I don't 29 know what that was but I think to monitor someone, just

simply monitoring someone for three years can't be seen
 as a success.

What were the aspects of it? You say we didn't meet to 3 253 Q. review the arrangements at any point. Thinking about 4 5 how it did work in practice, what are your thoughts on 15:41 it? If you had been given the tools to change it or 6 7 revise it in any way, what would you have introduced? 8 Well, I suppose the first thing - and you've made Α. 9 reference consistently today to it - we didn't communicate with Mr. O'Brien. That's the first thing. 10 15.4111 This was consistently poor. We should have. I think 12 there should have been, particularly after the 26th meeting, again there should have been oversight as to 13 have all those actions been completed; and that wasn't 14 done. Then I suppose just in terms of meeting with 15 15:42 16 Mr. O'Brien, see how he was getting on. Then continue to monitor those aspects that we felt still remained 17 18 clinically important. Also asking him, you know, his 19 concerns, his stresses, his worries and what we could 20 do as an employer to help along that. We didn't do any $_{15:42}$ 21 of that. 22 I don't intend to take you to -- in the interests of 254 Q.

23 time --

26

A. That's just my reflection.

25 255 Q. Yes, and that's very helpful.

15:42

You say you didn't meet with Mr. O'Brien. Of course,
I know that's a general observation, there were
meetings with him along the timeline that I've just

1 sketched out. There was a meeting with him, for 2 example, in July 2017. In the early summer of 2017, issues were identified by Mrs. Corrigan in respect of 3 retention of charts in his office which seemed to build 4 5 up to 90 on 11th July 2017. Then, at or about the same 15:43 time, a problem with triage. 6 If we can just, by way of 7 example, look at that interaction. TRU-25877. That is not what --8 9 CHAIR: 8877, perhaps? TRU-258877. MR. WOLFE KC: That's it. 10 Thank you. 15.4411 Down the page, please. 12 13 Obviously you are copied in, Mr. Carroll. That is the It sets out for Mr. O'Brien the condition of his 14 July. work plan, including that red flag referrals must be 15 15:44 16 completed daily. 17 18 Scroll down, please. 19 20 "He has been advised by the booking centre that there 15:45 21 are 30 paper outpatient referrals not returned from 22 your week on-call and this must be addressed urgently, 23 pl ease". 24 25 Then let's go to Mr. O'Brien's response. TRU-268995. 15.45Scroll down. Just pause a moment. 26 Sorrv. 27 CHAIR: Might it still be the original page of 258877? MR. WOLFE KC: I beg your pardon, AOB-01646. Another 28 29 malfunction. Yes, sorry, it is right at the bottom of

1 that page. Hiding at the bottom.

Mr. O'Brien has just read Mrs. Corrigan's email in 3 4 respect of the paper triage that hadn't been returned, 5 and he tells her he finds this demoralising and 15:47 provides an explanation. 6 Scroll down, please. He says 7 that he's deferred returning these referrals as each 8 day's bundle included patients who needed to be 9 contacted so that the appropriate triage decision could He says that's proved difficult for a number 10 be made. 15.47 11 of reasons. He gives an example.

13 "One such was a female patient who has a stone in her 14 ureter, who returned my calls this morning to say she 15 was in pain, which I had expected her to be. I had 15:48 16 returned her triage referral to have an urgent 17 appointment at a new clinic whenever that would have 18 However, I have arranged her admission today happened. 19 for that procedure on the emergency list. By virtue of the returned referrals not having been collected today, 20 15:48 21 12th July, I have been able to amend the triage decision. I came into the hospital today to review a 22 23 couple of patients admitted since the referrals. 24 Having done so, I thought I would do some work in my office, then I read your emails". 25 15:48

26 27

2

12

He then says:

28 29

"I know how referrals are triaged and returned on time.

1 It is most certainly not by taking the time to ensure 2 that each patient's current state is most appropriately 3 and expeditiously assessed and managed. As a 4 consequence of my doing so, I have dictated letters to 5 the referring doctors, and to the patients if I have 15:49 6 been unable to speak to them by telephone, in over 7 50 cases, requesting scans, having conditions treated 8 appropriately and so forth. By doing so, investigation 9 is progressing and patients are hopefully deriving 10 Having done all of that, benefit from treatment. 15.4911 I personally would have been better off ticking the 12 box, being at home on my leave, and the patient, she 13 would be at home with persistent colic, awaiting the 14 urgent outpatient point." 15 15:49 16 What you see there, and I know you are not copied in this email, but from Mr. O'Brien's perspective, he is 17 18 saying in this email there has to be some trade-off or 19 accommodation to enable him in appropriate cases to do 20 adequate triage to deal with the patient's real needs, 15:50 21 even if that is at the expense of him devoting extra 22 time and, I suppose, consequentially triage being done 23 outside of the time limits expected by the 24 return-to-work monitoring plan. 25 15:50 Is that a refrain that you understand? 26 You're right, I wasn't copied into it. 27 Α. I suppose my take on it is a sense of frustration from Mr. O'Brien. 28 29 I mean. this type of triage, I don't know what term you

would apply to it other than maybe super triage. 1 It is 2 almost like an outpatient appointment. I think Mr. Haynes referred to it as a virtual outpatient 3 undertaken by Mr. O'Brien. Whilst for the patient, 4 5 it's an excellent service, there are other patients on 15:51 Mr. O'Brien's waiting list who may not get the same 6 7 level of advantage triage. I don't know, I'm just 8 surmising.

I suppose I would also say, Mr. Wolfe, that he was met 10 15.51 11 with by Dr. Khan on 9th February and the monitoring 12 plan was shared with him, and I'm guessing he agreed or 13 accepted in principle that he was now on a monitoring plan which required him to comply with that. 14 Here we 15 have, you know, several months down the road and he's 15:51 16 slipping back into bad habits -- well, slipping back into his previous practice. 17

9

18

19I can understand his frustration because that's the way20he always done it but I don't know if he appreciated21this was a new world he was operating in. He still22wanted it to be the old world. That would be my take23on it.

24 256 Q. Just to move along. In July you attended with 25 Mr. Weir. The issue at this stage, the issues around 15:52 26 triage having resolved during that period of time, was 27 the question of charts in his office. This is, as 28 I understand it, the first meeting with Mr. O'Brien to 29 discuss a deviation from his work plan?

1		Α.	That's correct.	
2	257	Q.	Is that your understanding of the meeting?	
3		Α.	Yes, that's correct.	
4	258	Q.	It's not a meeting that was recorded by you or anyone	
5			else from the Trust. Mr. O'Brien has surreptitiously	15:53
6			recorded it, and we have the transcript from that.	
7			Why, in circumstances where this monitoring arrangement	
8			is supposed to be a serious effort to avoid excluding	
9			Mr. O'Brien and when serious issues are referred to	
10			within it, why is this meeting not granted the	15:53
11			formality of a record?	
12		Α.	It absolutely should have. The purpose of the meeting	
13			was to understand why the volume of notes were in his	
14			office, and if we understood, we could help. But yes,	
15			absolutely a record should have been made of the	15:53
16			meeting, and that shared with Mr. O'Brien.	
17	259	Q.	He said at the meeting very briefly, if we can pull	
18			up AOB-56212, which is the transcript that's produced	
19			from the recordings. Essentially in that paragraph	
20			just below B, he's saying I don't ask for these charts,	15:54
21			they are brought to my office by the secretarial teams,	
22			and while the numbers are decreasing as of last Friday,	
23			the number is still 25. But the rule is, according to	
24			the return-to-work monitoring plan, charts are not to	
25			be stored in his office, they're to be kept for the	15:55
26			minimum period feasible.	
27				
28			This explanation that he has given to you, is that	
29			tested by you? Is it something to do with secretarial	

-				
1			preference or convenience?	
2		Α.	Was it tested by me, no. When Mr. O'Brien provided	
3			this explanation, I think Mr. Weir replies, well,	
4			that's good, we'll get the notes and you have no need	
5			to get the notes. I think Mrs. Corrigan says that	15:55
6			she would communicate with the service administrator,	
7			Marie Evans, in regard to the notes and the need for	
8			the notes to be there.	
9	260	Q.	2017, the issue of triage arises again. TRU-275137.	
10			Martina is writing to Siobhán Hynds. She's explaining	15:56
11			that red-flag triage was to be done within 24 hours and	
12			all other triage by the Friday. She, that is Martina	
13			Corrigan, has agreed with you that she is going to meet	
14			Mr. O'Brien to discuss this with him. This is,	
15			I suppose, the second incident of	15:56
16		Α.	Deviation.	
17	261	Q.	deviation from triage, at least as perceived by the	
18			Service in a little over six or seven months.	
19				
20			Do you think, looking back on it, knowing what	15:57
21			Mr. O'Brien is saying about how he is still doing	
22			triage - you call it super triage or virtual	
23			outpatients - do you think that issue wasn't well	
24			understood or well responded to by you and	
25			Mrs. Corrigan to try and nip it in the bud?	15:57
26		Α.	So, this incident was highlighted by the cancer team,	
27			which we would not be monitoring as part of	
28			Mr. O'Brien's four elements. This was a separate	
29			team	

262 I understand. 1 Q. 2 -- who were alerting Mrs. -- I think the email came to Α. 3 the urology team, there were seven red flags outstanding, and then it worked its way down to 4 5 ourselves. It was resolved within a very short period 15:58 of time. Within days it was fixed. I had asked 6 7 Martina to share it with Siobhan - I think it was the 8 end of January the red flags came - again, so that they were aware. In my mind my role and Mrs. Corrigan's 9 role was to be the monitors of the action plan and 10 15.58 11 then, where there was a deviation, to escalate that up to the manager and HR. So, that's why I asked Martina 12 13 to share it with Siobhán, Mrs. Hynds. Is the sense to be gained from your evidence that 14 263 Q. overall - and we will come to some of the incidents 15 15:59 16 later in 2018 in a moment - these instances are short-lived, they are identified, which is important 17 18 from a safety issue, and when Mr. O'Brien is challenged 19 or addressed in respect of them, they are resolved in a 20 relevantly speedy fashion? 15:59 Yes, yes. So all the deviations, 17 and 18 and 19, 21 Α. they were resolved within a short period of time. 22 In termination of accuracy, can I just bring one issue 23 264 Q. 24 up with you? TRU-258902. Martina Corrigan is 25 communicating with Siobhán Hynds, copying you in. 16.00We see in the Chada report reference to Mr. O'Brien, 26 27 I suppose I think the word was "robustly" complying with the action plan. I draw a link between this email 28 29 and the content of Dr. Chada's report in that respect

because what is being described here is: 1 2 "Apart from one deviation on 1st February when 3 4 Mr. O'Brien had to be spoken to regarding red-flag 5 triage, which he immediately addressed, I confirm he 16:00 6 has adhered to his back-to-work action plan, which 7 I monitor on a weekly basis". 8 9 Of course that's leaving out of account, to be absolutely accurate about it, the events of the summer 10 16.01 11 of 2017, when we saw there was deviations in respect of 12 referrals and you had to meet with him to discuss 13 storage of charts in his office. I accept that, that Martina's return is inaccurate in 14 Α. 15 that since the monitoring started in February 2017, 16:01 16 there had been two deviations, one in the summer of '17 and this one in February of '18. 17 18 265 Is my point in respect of that pedantic in your view, Q. 19 or is this --20 No, no. No, no. No, I think it's a fair point. Α. 16:01 21 But does this reflect perhaps - we can obviously ask 266 Ο. 22 Mrs. Corrigan about it - does this reflect perhaps a sense on the part of you and that team that things are 23 24 going along relatively well in this respect? 25 I think you probably need to ask Mrs. Corrigan in terms 16:02 Α. of what her thought processes were. Maybe she misread 26 27 it, I don't know. I suppose I would say in fairness to Mrs. Corrigan and myself, the July 2017 and 28 the February 2018 were escalated to Dr. Khan and 29

2 deviations because we'd esca	alated them to them.
3 267 Q. You said earlier that you th	nought it was, in a sense,
4 regrettable that this monito	oring plan continued for so
5 long right through into 2020). You know now, and 16:02
6 I wonder did you know in lat	ce 2018, that Dr. Khan's
7 determination following the	MHPS investigation was that
8 there should be, with NCAS i	nput, a further action plan
9 developed to ensure that Mr.	O'Brien continued to work
10 safely. Did you appreciate	that at the time? 16:03
11 A. No. I was not aware of Dr.	Khan's the outcome of
12 Dr. Khan's recommendations i	n 2018. I didn't get to
13 see them until much later.	
14 268 Q. In terms of what was happen	ing in the summer of 2018
15 which affected the monitorin	ng plan, Mrs. Corrigan was 16:03
16 off work for some time; isn'	t that right?
17 A. Correct.	
18 269 Q. By the 4th October, it was a	lrawn to your attention that
19 Mr. O'Brien was not complian	nt with the monitoring
20 arrangements.	16:04
A. That's correct.	
22 270 Q. In that respect, concern was	s drawn to your attention
23 about dictation and triage?	
A. Dictation and notes.	
25 271 Q. That's right, sorry. I'm ju	ist checking my note. Notes 16:04
26 being held in his office and	dictation.
27	
28 The incident, if I call it t	hat, generated quite a lot
29 of correspondence. In summa	ary, this was a situation

1			where, with Mrs. Corrigan going off on leave, there had	
2			been a failure on the part of the Service to recognise	
3			the gap created by her absence in terms of the need to	
4			monitor Mr. O'Brien; isn't that right?	
5		Α.	That's correct.	16:05
6	272	Q.	Do you accept responsibility for failing to fill that	10.00
7	272	۷.	gap?	
8		Α.	Yes.	
9	273	Q.	In terms of what emerged from that, we know that	
10	275	ų.	Dr. Khan wrote to Mr. O'Brien in October to ask him was	10.05
11			he adherent to the monitoring plan, but it doesn't	10.05
12			appear that anybody at that time met with Mr. O'Brien	
13			to reinforce the need for compliance. Is that fair?	
14		Α.	Again, that's fair. Yes.	
15	274		Now, these events were happening as the determination	
16	274	Q.		16:06
17			from the MHPS process was being published, if you like, as Dr. Khan reached his conclusions.	
18			as Dr. Khan reached ins concrusions.	
18			The monitoring provides, in the preamble if you like,	
20			that it would be in place for the duration of the	16:06
21			formal investigation. Again, leaving aside	
22			Mrs. Corrigan's absence and what happened in relation	
23			to that, did you have a sense that it was felt that	
24			this monitoring plan had served its purpose and was no	
25			longer live, or did you understand that it remained in	16:07
26			place?	
27		Α.	Do you know, I think in my Section 21 I said that	
28			I understood from, as you say, the preamble, that it	
29			would remain in place until the completion of the MHPS	

I think in 2018 I did ask Dr. Khan was it to 1 process. 2 continue and he came back firmly and said yes, it was 3 to continue. So I abided -- we complied with that. In terms of your own responsibility for ensuring that 4 275 0. 5 it was monitored during Mrs. Corrigan's absence, how do 16:08 you explain the failure, I suppose, to deal with that? 6 7 Mrs. Corrigan went off on sick leave for a planned Α. 8 operation, and her and I discussed it. She was advised 9 by her consultant that she would be off six to eight weeks, give or take. In terms of getting a replacement 16:08 10 11 in, if you've ever tried to make an appointment in the 12 Health Service, it takes much longer than six weeks to 13 get someone in post. So, there was no opportunity to bring in someone to backfill her post. 14

16 I suppose two things: Mindful of keeping it within a small set of staff who knew the full totality of what 17 was happening with Mr. O'Brien's monitoring exercise, 18 I did ask the two other heads of service would they 19 keep an eye on Martina's work. But I didn't ask them 20 21 to continue on the monitoring exercise because in my 22 head, Martina was coming back in six to eight weeks. Then genuinely it was -- and I suppose I was also 23 24 mindful that Mr. O'Brien, bar the escalation from the red flag team in February, Mr. O'Brien had been 25 compliant for over a year. That was also in my mind. 26 27

28 29

15

But when Martina's eight weeks became 18 weeks, it just -- I had forgotten about it is the only

118

16:08

16:09

16:09

1			explanation I can give. It was forgotten about in just	
2			the activity of working, of work.	
3	276	Q.	We can see that Dr. Khan, when he becomes appraised of	
4			this issue, describes this as unacceptable practice by	
5			both the clinician, Mr. O'Brien, and responsible	16:10
6			managers. In Mr. O'Brien's case, the information as of	
7			4th October was that he had 74 sets of notes in his	
8			office and 91 letters undictated dating from 15th June	
9			2018.	
10				16:10
11			If it was unacceptable practice on his part, that's	
12			Mr. O'Brien's part, can you explain why no one saw fit	
13			to meet with him to reinforce the need for compliance?	
14			There had been a meeting in July 2017, as we saw. It	
15			was to discuss the issue of notes in his office. Here	16:11
16			was, I suppose, a more serious issue, perhaps, because	
17			it involved the issue of dictation.	
18		Α.	Well, I did ask for the assistance of my senior medical	
19			colleagues, could Mr. O'Brien be spoken to.	
20	277	Q.	That's Mr. Young, Mr. Haynes and Mr. Weir?	16:11
21		Α.	Yes. Then Mr. Weir wrote to Dr. Khan saying what is it	
22			you want me to do; I'm happy to go with Ronan to speak	
23			to him. But the meeting never happened.	
24	278	Q.	Can you explain why?	
25		Α.	I don't know why the meeting I can't explain why the	16:12
26			meeting didn't take place. I think we were busy trying	
27			to bring particularly the dictation back into line.	
28			That was our focus. Which we did in a short period of	
29			time.	

279 Q. Turn briefly to 2019 then. TRU-279848. Just at the 1 2 bottom of the page, please. 3 16th September, Martina Corrigan's writing to 4 Yes. 5 Dr. Khan. we're into late 2019. By this stage, as 16:13 we know, MHPS has reported the year before, Mr. O'Brien 6 7 continues to be monitored. Here again, we have 8 Mrs. Corrigan spotting a difficulty. It doesn't appear that you are copied into emails by this stage? 9 I seem to be excluded out of guite a number. 10 Yes. Α. 16.13 11 280 Q. Yes. Is that because there had been some kind of step 12 change in how monitoring was to be regarded, or had you 13 fallen out with Mrs. Corrigan? The only explanation is I wasn't in the first 14 Α. No. no. one and then reply-to-all, I'm not in the subsequent 15 16:14 16 ones. That's what I'm thinking. Again, do you have a knowledge nevertheless of this --17 281 Q. I mean, I subsequently -- I'm sure Mrs. Corrigan 18 Α. Yes. 19 then did share this with me or discuss it with me. Μv understanding for this deviation in September 2019, and 16:14 20 it was acknowledged by Mr. Haynes, was that 21 Mr. O'Brien's mother-in-law passed away in around this 22 time, and he was preoccupied -- him and his wife were 23 24 preoccupied by caring for Mrs. O'Brien's mother, and that was the reason for this deviation. 25 16.14Nevertheless, it was regarded as something that 26 282 Q. 27 necessitated a meeting between the new clinical director, Mr. McNaboe, and Mr. O'Brien. You were aware 28 29 of that?

1 Again, I'm aware of it now. In terms of real-time back Α. 2 then, I wasn't aware of it, that Mrs. Corrigan was meeting with Mr. McNaboe. I also know that the 3 4 meeting didn't happen. 5 283 Yes. I think there's two views on that, but certainly Q. 16:15 I think from Mr. McNaboe's view there was an encounter 6 7 in a corridor as opposed to a formal meeting. 8 9 By this time there was discussion about the process of monitoring dictation used by the Trust. That was to 10 16.15 11 give rise to a meeting at the direction of the Medical 12 Director in January, the New Year, 2020. As we can see 13 WIT-55822. You were in attendance at this meeting on 24th January. The purpose of the meeting is set out 14 15 here. what's your reflections on that meeting, 16:16 16 Mr. Carroll? It seems to arrive at a conclusion that the Trust's policy, if it had one, and its processes 17 18 around dictation were not sufficiently fit to permit 19 any challenge to Mr. O'Brien in terms of his compliance 20 with the monitoring arrangements in that respect. IS 16:17 21 that a fair comment? 22 I mean, I think I read an email in the Α. Yes, yes. evidence bundle between Dr. O'Kane and Mr. Haynes in 23 24 early November where Mr. Haynes is describing his 25 concern about the whole backlog report to Dr. O'Kane, 16.17

26and about the robustness of it, and the fairness of it27in terms of holding Mr. O'Brien to account to a certain28standard and not holding any other consultant to the29same standard, and basically we don't have a standard.

1			I think that may have helped - again, I'm only	
2			surmising - may have helped why Dr. O'Kane wanted this	
3			to be resolved. I could be entirely wrong.	
4	284	Q.	But a standard, whether it was inconsistent with what	
5			was expected of others, a standard had been arrived at	16:18
6			for Mr. O'Brien, hadn't it? That was set in stone in	
7			the monitoring plan from February 2017, dictate	
8			contemporaneously with your clinical encounter. So,	
9			why was there this degree of	
10		Α.	Concern?	16:18
11	285	Q.	internal concern or deliberation about something	
12			that had been made clear to Mr. O'Brien and he wasn't	
13			always compliant with?	
14		Α.	I think again - again, this is just my view -	
15			Mr. Haynes always shared or harboured concern about the	16:19
16			robustness of the monitoring. There were emails in	
17			2017 and 2019 and so forth, where he had written to the	
18			RBC asking how it was done, etcetera, etcetera.	
19			I think it was his concern - again I'm just repeating	
20			myself - in terms of the backlog report and the	16:19
21			monitoring of the backlog report and compliance with	
22			dictation if there was no standard available. Again,	
23			I could be entirely wrong, I just think Mr. Haynes felt	
24			it was unfair to hold Mr. O'Brien to a standard	
25			that didn't exist except	16:19
26				
27			Obviously in the cold light of day and with retrospect,	
28			a standard for Mr. O'Brien had been set in terms of the	
29			issues which we now know, in terms of his dictation,	

1 2			his contemporaneous dictation and relating that to Patient Safety. But again, that wasn't connected	
3			the dots weren't connected to the monitoring report in	
4	200	•	2017 and this meeting in 2020.	
5	286	Q.	Yes. One further area of questions for you,	16:20
6 7			Mr. Carroll, you'll be pleased to know. The MHPS determination resolved that there should be an	
8			independent review of administrative processes. I want	
9			to ask you about that. If we could have up on the	
10			screen, please, AOB-01923. Just scrolling down the	16:20
11			page, please. Under Final Conclusions/Recommendations,	10.20
12			it said that:	
13				
14			"The investigation highlights issues regarding systemic	
15			failures by managers at all levels, both clinical and	16:21
16			operational, within the Acute Services directorate.	
17			The report identifies that there were missed	
18			opportunities by managers to fully assess and address	
19			the deficiencies in practice of Mr. O'Brien. No one	
20			formally assessed the extent of the issues or properly	16:21
21			identified the potential risks to patients".	
22				
23			Is that a conclusion with which you agree?	
24		Α.	Yes. I don't think that's unfair.	
25	287	Q.	Arising out of that and what he says there at the	16:21
26			bottom of the page, he says:	
27				
28			"I recommended the Trust carry out an independent	
29			review of the relevant administrative processes with	

1 clarity on roles and responsibilities at all levels 2 within the Acute Directorate and appropriate escalation 3 processes". 4 5 Now, we know that recommendation had not been addressed 16:22 by 2020 and then there was, I suppose, a flurry of 6 7 activity in the summer of that year to get the process 8 moving. When did you first become aware of this recommendation? 9 It certainly was in 2020. Maybe early 2020. 10 Α. 16.22 11 288 Q. In terms of your contribution to it, can you help us understand what role you played in the review? 12 13 It was towards the latter end of probably 2021. Α. This recommendation was picked up by Dr. O'Kane in terms of 14 progressing it forward. Dr. Donnelly and 15 16:23 16 Dr. McCullough were asked to take this forward and to draft a model or a proposal which would meet this 17 18 recommendation. So, I have to say what was done by 19 Dr. McCullough and Dr. Donnelly, and subsequently by everybody else, did not, in my view - I haven't 20 16:23 21 listened to Dr. Khan - did not capture the essence of 22 what Dr. Khan wanted to happen, even though despite 23 many eyes and many fingers over it. 24 We can see, just to bring it up, an email of 289 Q. 25th October 2021, pressing your input into it. 25 16.24 TRU-293812. Martina Corrigan is telling Siobhán Hynds 26 27 that: 28 29 "As discussed at our last urology Oversight meeting,

Ronan and I have revised the administrative review
 process to anonymise/make it more generic to all
 areas".

4

5 What had brought this review to a stage where the 16:25 managers, and you were one of them, who perhaps 6 7 Dr. Khan thought your activities should be the subject 8 of this review, looking back at what had happened in 9 the context of Mr. O'Brien's work. What had brought this to a situation where an independent review, 10 16.2511 so-called independent review or requirement for an 12 independent review, allowed you to be, on the face of 13 it, contributing to it in this way? So, nowhere in my thought processes or indeed anybody 14 Α. involved in this processes other than Dr. Khan viewed 15 16:26 16 his recommendation as what he had intended. I suppose it wasn't helped where he used the word 17 "administrative" and the whole way along we had 18 19 referred to Mr. O'Brien's practices as administrative 20 practices. So, I think there was an association 16:26 21 between what Dr. Khan was writing in his recommendation 22 to the history of Mr. O'Brien's administrative 23 practices, and those were put together. Because the 24 work done by Dr. Donnelly and Dr. McCullough was one of administration process, referrals in, how they were 25 16.26 managed, etcetera, etcetera. And then that was viewed 26 27 to be not a robust piece of work. Then it was assigned, I think, Steven Wallace. 28 So I suppose it 29 went from being Dr. Khan's macro written branch review

1			of administration in acute services, it had become a	
2			very micro specific urology review service.	
3			I understand Dr. Khan signed off on. I could be wrong	
4			on that.	
5	290	Q.	I think he challenges that.	16:27
6		Α.	Okay.	
7	291	Q.	He gave certain observations in respect of the terms of	
8			the reference and clearly set out his view that	
9			he didn't accept that. Leaving that aside	
10		Α.	Okay, leaving that aside.	16:27
11	292	Q.	I suppose the question is did this, as it was	
12			produced, satisfy the review of independence?	
13		Α.	No. I suppose did it satisfy Dr. Khan's review that he	
14			had in his mind when he wrote the recommendations, no,	
15			clearly not. Because was it independent? The Trust	16:27
16			did obtain independent input from a member of the	
17			senior administrative staff in the Belfast Trust,	
18			Denise Lynne in regard to the administrative processes,	
19			not the, I suppose, root and branch disciplinary review	
20			of senior managers. But the four aspects of	16:28
21			Mr. O'Brien's administrative practices, it was	
22			independent advice from Denise Lynne; she helped shape	
23			it. Then Martina was asked to progress with it and	
24			I helped her with it. But never in my contemplation	
25			could I read Dr. Khan's recommendation as one of	16:28
26			discipline, if that's not too strong a word.	
27	293	Q.	Yes. He doesn't use that word. He has explained	
28			himself what he anticipated would be done.	
29				

1		Who was leading on this from the Trust perspective, in	
2		your view?	
3	Α.	It started off with Dr. O'Kane. Then, when it became	
4		under the work of Mr. Wallace, then it migrated into	
5		Acute Services and Mrs. McClements was involved in it	16:29
6		and Mrs. Corrigan and Mrs. Carroll, and the referring	
7		booking centre also tried input into it and shaped it.	
8		I suppose at that stage the referring booking centre	
9		was part of Acute Services. When it became a micro	
10		urology issue, I suppose it was taken on by	16:29
11		Acute Services, and not independent.	
12		MR. WOLFE KC: Thank you. I have no further questions	
13		for you, Mr. Carroll.	
14		CHAIR: Mr. Carroll, we can't release you just yet. I	
15		appreciate we haven't had a break this afternoon,	16:30
16		ladies and gentlemen, but if you can bear with us, I'd	
17		rather we just continued on and get finished. I think	
18		if anyone does need to leave the room, then please do	
19		so, but I'm going to ask Dr. Swart, first of all, for	
20		some questions.	16:30
21			
22		THE WITNESS WAS QUESTIONED BY THE INQUIRY PANEL	
23		AS FOLLOWS:	
24			
25		DR. SWART: Thank you very much for bearing with us	16:30
26		today. These are general questions really based on	
27		your experience as a manager in the Trust.	
28			
29			

1			Just to start with, in your role as Assistant Director	
2			did you get any regular reports that provided any kind	
3			of range of metrics about the performance of	
4			outpatients generally? So I'm thinking about time to	
5			first appointment, time to follow-up, dictation times,	16:31
6			workload by consultant, complaints, patient feedback.	
7			Was that ever pulled together so that you could look at	
8			it by speciality and see what was going on?	
9		Α.	I suppose the short answer is no. That level of detail	
10			we didn't was not provided.	16:31
11	294	Q.	Would that have been helpful?	
12		Α.	Absolutely, yes.	
13	295	Q.	A general question about private patients. Now, you	
14			have said that there is a form, which we have seen,	
15			that requires a consultant to indicate when a patient	16:31
16			is transferred from private status to NHS status. You	
17			haven't said this but you've implied that perhaps isn't	
18			always done and you certainly don't know what happens	
19			to the forms. I think you said that.	
20				16:31
21			Are you aware of any Trust guidance on the transfer of	
22			patients to and from the private sector? In other	
23			words, a patient who is seen in the private sector	
24			transferred to the NHS for an operation or a test, then	
25			seen again in the private sector. Is that common	16:32
26			practice at the Southern Trust, do you know?	
27		Α.	Some years ago the Trust made the decision not to offer	
28			operating time to private patients because it was so	
29			complex, it couldn't be tracked, a lot of purposes,	

1 etcetera. 2 I suppose to answer your question, the Department of 3 Health before - it could be six months ago, could be a 4 5 year ago - they issued recent guidance on how private 16:32 patients should be treated moving in and out of the 6 7 Health Service. That is now available. Is that enforced in the Trust? 8 296 0. 9 Sorry? Α. Is it enforced or was it, in 2016, guite common for 10 297 Q. 16.32 11 people to transfer back and forth? 12 I would say it was uncommon. We're not a big hospital Α. 13 for private patients. 14 298 Q. Okay. 15 16:33 16 There's very detailed monitoring arranged for Mr. O'Brien, and the standards are guite specific. 17 18 were there standards applied to the other consultants 19 in urology in any way, or did you receive any data 20 concerning things like numbers of notes in offices, 16:33 21 numbers of notes at home, dictation times, any of those 22 things? Did we actively monitor the other consultants in that 23 Α. 24 respect, the answer is no. You were, in effect, applying a standard to him that 25 299 Q. 16.33 you couldn't apply to the other consultants? 26 27 Α. It's not that we couldn't, we didn't because they weren't the source of the review. I suppose, if 28 there's any consolation, in October of 2018, 29

1			Mrs. Corrigan, when she was reported an update of the	
2			amount of notes in the offices, she reported that there	
3			was zero notes in the offices of the other four	
4			consultants.	
5	300	Q.	With the benefit of hindsight, what is your view on	16:34
6			this in terms of monitoring one individual in this way?	
7		Α.	Well, as I said, I thought the monitoring was far, far	
8			too long. People were exhausted. I'm sure Mr. O'Brien	
9			was exhausted. But people were exhausted doing it.	
10	301	Q.	No, in terms of fairness, I mean.	16:34
11		Α.	Is it fair to have someone on a monitoring action plan	
12			for three years? No, I think it's unfair. As I said,	
13			I think the Trust should have - and I'm part of the	
14			Trust - we should have sat down and consciously at set	
15			points thought and revised and updated ourselves as to	16:34
16			have we reached a threshold for which we could stand it	
17			down.	
18	302	Q.	Was any of the data that you were looking at obtainable	
19			automatically in some way. This required conscious	
20			monitoring but it would be much easier if your business	16:35
21			objects and other systems could just generate this	
22			information for all consultants, actually.	
23		Α.	I'm no expert in business objects but I'm sure it can	
24			be done. Digital dictation can be, I understand, run	
25			through a report. Triage also. But yes, our ability	16:35
26			to audit is very poor, in my view, and we need to	
27			strengthen that. We have processes in place, but do	
28			we audit them regularly to provide assurance? I think	
29			the answer to that, to be fair, would be no.	

1 303 Q. That's kind of what I'm getting at.

If you were talking to a layperson, say you were at a 3 dinner party and someone said, look, there are very 4 5 long waiting lists for everything in Northern Ireland, 16:36 and particularly long waiting lists in the Southern 6 7 Say someone asked you that, what Heath care Trust. 8 would you say about what the Trust is doing to minimise 9 the chance of harms to patients or in fact to assess whether patients are coming to harm? How would you 10 16:36 11 explain that to the man in the street? What I will say is that in terms of triage, we now have 12 Α. 13 E triage so that the referral now won't be lost; it's not paper and can monitor that. I would say that in 14 terms of your outpatient point, if and when you are 15 16:36 16 seen by the consultant, we have made great inroads in our interactions, our contracts with the independent 17 18 we are sending large volumes of patients out sector. 19 to the independent sector. New patients. They stay 20 out there for the whole patient journey as appropriate. 16:37 We have made significant roads in reducing the waiting 21 22 volume. I don't know what they are now but we had made significant volumes. 23

24

2

I suppose we could always do more in terms of educating 16:37
GPS in terms of the referral pattern, identifying GPs
which are high referrers and understanding why that is,
etcetera, etcetera.

29 304 Q. How do you ensure that people on these waiting lifts

1			aren't coming to harm? Do you have a way of assessing	
2			that?	
3		Α.	No, we don't.	
4			DR. SWART: That's all from me.	
5			CHAIR: Mr. Hanbury.	16:37
6			MR. HANBURY: Thank you. Just a few clinical things.	
7			Thank you very much for your evidence today.	
8				
9			I'll try the MHPS, the dictation ones first. Just to	
10			clarify, the original 668 patients alleged not to have	16:37
11			letters, did I understand that you said that that	
12			number came down to 189 in the end? Did you agree with	
13			Mr. O'Brien's assessment?	
14		Α.	I haven't looked in much detail at what Mr. O'Brien has	
15			said so I wouldn't be in a position to say I agree with	16:38
16			it or not.	
17	305	Q.	Okay, thank you. Also, when the urologist went through	
18			those undictated patients and identified 35 needed to	
19			go on the waiting list, were they disadvantaged, do you	
20			think?	16:38
21		Α.	NO.	
22	306	Q.	How were they not disadvantaged?	
23		Α.	Because we put them on we put the patient on to the	
24			waiting time that they should have been put on. But	
25			our waiting times are so huge, the patients would not	16:38
26			have missed their slot, would not have missed their	
27			operating time.	
28	307	Q.	On the same theme, going back into the early part of	
29			the witness statement and looking at capacity for	

operating time which Mr. O'Brien and his colleagues
wrote to you about. You used a phrase when discussing
expansion, you said "The physical theatre capacity
would not be able to accommodate more sessions". When
you were thinking about more urologists and whether 16:39
that would help, how would having more surgeons help if
you didn't expand the theatres?

A. Well, they could have done -- I suppose I was thinking
two things. We have a huge emergency surgery. So, you
know, they could help -- if we had more surgeons, they 16:39
could help with the emergency side of the work because
still there's always an emergency theatre.

we had a urology ward which had unscheduled urology 14 patients, so having more consultants would help with 15 16:39 16 that. They could also help with the outpatient work, and also they could help backfill. If we have five 17 18 consultants, they're all taking leave, the additional 19 person could use, for example, Mr. Haynes' operating list, Mr. O'Brien's operating list, Mr. Glackin's 20 16:40 21 outpatient list if he's on leave. So, there was never any downtime in the urology because, as you know, each 22 23 consultant works his job plan for 42 weeks, when 24 you take out the emergency work, they actually only provide elective work 36 weeks. If we had more 25 16.40consultants, then we could have used the theatres more 26 27 productively.

28

13

16:41

We did try extending the day, so a three-session day,
 but that has problems. Consultants didn't like it,
 people got tired, and the utilisation of the list went
 down.

- 5 308 Q. Thank you.
- 6

29

Α.

7 There was also something about the discrepancy between 8 different departments in terms of waiting times. Did you sort of allocate sessions to the more needy 9 departments? How did you respond to those sort of 10 16.4111 figures? That is if, say, urology had a longer waiting 12 time and other specialties a shorter one, was there a 13 mechanism to reallocate either temporarily or --14 Α. No, no. We did always speak about that, so that the 15 surgical specialist who had the longest wait got the 16:41 16 most operating sessions. We would have been faced with lots of resistance from the other surgical specialists 17 18 who wanted to operate. They want their fair share of operating time. So no, we did talk about it but 19 we never, I suppose, were brave enough to say, for 20 16:41 example to ENT, your waiting list is less. Apology, 21 22 that would be unfair. Gynaecology, your operating time, your waiting time is less, we're going to take 23 24 two sessions off you and give it to urology. We didn't do that. 25 16:42 Should you have, do you think? 26 309 Q. 27 Pardon? Α. Should you have? 28 310 Q.

134

Yes, if we're -- I'm not saying we weren't serious but

in terms of giving all patients the best service, then 1 2 clinically to have one speciality waiting X amount of time and another surgical speciality X times three, 3 then those patients waiting longer were disadvantaged. 4 5 16:42 But I don't know any theatre in Northern Ireland who 6 7 operates like they do on the mainland. I remember 8 going to a conference in London and I remember a 9 hospital in Luton, and they operated on that principle. So, the longest waiters got the most operating theatre. 16:43 10 11 311 Q. Just one thing on outpatient times in the same sort of 12 You elegantly displayed, I think it is 2016 we line. 13 were talking about, that there was an average of between 300 and 425 new outpatient referrals a month 14 but only capacity for about 300. So there was 15 16:43 16 approximately about 100 extra patients a month that you couldn't find slots for. Again, what was your response 17 18 to that?

19 Again, we would have met with the HSCB. Well, we would Α. have met with our own internal performance team and we 20 16:43 21 would discuss how we would have done that, how we would have managed it. We would have discussed it -- we also 22 would have met with the HSCB, which is now the SPPBG 23 24 and they wouldn't have given us nonrecurrent monies to run additional waiting lists -- sorry, additional 25 16.44outpatient clinics. So, the consultants would have 26 27 undertaken that additional outpatients waiting list. But it wasn't a permanent solution, it was 28 29 nonrecurrent, so you couldn't do anything substantive

1			in terms of making substantive appointments based on	
2			nonrecurrent monies. It was a risky move. Some Trusts	
3			did it but our Trust, we didn't do it.	
4	312	Q.	Thank you.	
5				16:44
6			Just one thing on the PP analysis. A slightly unusual	
7			situation that, from my reading, almost all the	
8			patients start as NHS patients and were put on a	
9			waiting list and then saw Mr. O'Brien in his private	
10			rooms. Were they already on a surgical waiting list?	16:44
11			That wasn't well demonstrated in the analysis. This	
12			has caused confusion, I think.	
13		Α.	I am not sure. I don't know the answer, Mr. Hanbury,	
14			in terms of patients were initially seen as an NHS	
15			patient and subsequently went on to see Mr. O'Brien	16:45
16			privately, or they only saw Mr. O'Brien privately and	
17			then were transferred into the Health Service. I don't	
18			know the answer to that.	
19	313	Q.	But that would make a difference if they were?	
20		Α.	Yes.	16:45
21	314	Q.	Thank you.	
22				
23			Just lastly referring to Patient 16, sort of waiting	
24			list issues, especially for scheduled patients, stent	
25			change is delayed and that.	16:45
26		Α.	Yes.	
27	315	Q.	What mechanism did you have to oversee the waiting list	
28			of a patient who has to wait a certain length of time,	
29			but not shorter or longer, which is an unusual thing in	

1			the case of a lot of urology patients?	
2		Α.	So, Patient 16 required to have a stent removed in	
3			order to have his chemotherapy. I suppose, as	
4			Mr. Wolfe said, there was lots of miscommunication or	
5			noncommunication between the Regional Oncology Centre	16:46
6			and the Trust. It was simply capacity to allow Patient	
7			16 to allow to have the stents removed. He was caught	
8			up in our inability to provide timely stent removal.	
9	316	Q.	Is that rather than having waiting list, clerical	
10			office support-type factors in your thoughts?	16:46
11		Α.	I'm not too sure. Sorry?	
12	317	Q.	I was getting at the fact that Mr. O'Brien used to run	
13			a lot of his waiting lists himself rather than relying	
14			on clerical colleagues who may have assisted. Do you	
15			think that was a problem?	16:47
16		Α.	Well, I know consultants obviously do if they don't	
17			have the capacity and they're concerned about a	
18			patient, they would speak to their colleague and say	
19			would you operate on this patient for the following	
20			reasons, and I'm sure nine times out of ten that	16:47
21			happens. I'm not aware that Mr. O'Brien made that	
22			request of one of his colleagues for Patient 16.	
23	318	Q.	Thank you.	
24			CHAIR: Just a couple of questions.	
25				16:47
26			In one of your answers to Mr. Wolfe, you said that you	
27			felt it was better that operational managers could	
28			investigate the issues of concern regarding	
29			Mr. O'Brien's practice if he were excluded rather than	

1			him being there. Why was that?	
2		Α.	I suppose and Mrs. Corrigan referred to that.	
3			Mr. O'Brien is a big presence. It was not uncommon	
4			that Mr. O'Brien would be in the hospital for many,	
5			many, many hours, you know, well into the evening.	16:48
6			I met him when I was on-call sometimes walking the	
7			corridors at maybe nine o'clock at night. So it was	
8			generally felt his presence would just make things	
9			easier.	
10	319	Q.	His absence, not his presence?	16:48
11		Α.	Sorry. His absence, yes.	
12	320	Q.	I suppose the corollary of that is why do you think	
13			nobody sat down and talked to Mr. O'Brien about all of	
14			this that was going on in the background, the MHPS	
15			investigation?	16:48
16		Α.	I don't think anybody took ownership of it. I suppose	
17			the management of Mr. O'Brien was through the MHPS	
18			vehicle. That's a medically driven vehicle with	
19			support of HR. I suppose from my perspective, I was	
20			looking for senior clinicians to take the lead on it.	16:49
21			As I said, my knowledge of it was limited, very	
22			limited. It's been my experience, working in the	
23			Health Service many years, that senior clinicians	
24			always interact better and communicate better with	
25			their peers. Whilst I'm a senior manager and a nurse,	16:49
26			it's much more powerful and meaningful if an AMD or CD	
27			or the Medical Director, but that didn't happen. I	
28			suppose to answer your question why did that not	
29			happen, I don't know.	

was one of the factors perhaps the changeover of staff 1 321 Q. 2 in those roles? In 2016? 3 Α. 4 322 0. Hmm-mm. 5 Yes. well, I think myself as one. Mrs. Trouton going Α. 16:50 6 the other way. 7 I'm thinking on the medical management side. 323 I think **Q**. 8 it went beyond 2016. There was a large turnover of 9 staff on the medical management side here. I think losing Dr. McAllister so early in 10 Yes. ves. Α. 16:50 11 his tenure was unfortunate. I think that whilst we're 12 never know, my knowledge and experience of 13 Dr. McAllister would have made a difference or could have made a difference. Then Mr. Weir being off sick 14 for extended periods of time over the next two years 15 16:50 16 again didn't help. Mr. Haynes coming in as the MD in October 2018, and then his -- I think the term he 17 18 used is "Mr. O'Brien is a challenge". So, you know, 19 again he was a new MD finding his feet in the role. So 20 yes, I agree with you, the turnover of senior medics. 16:51 Also Dr. Khan, Dr. Wright, and the medical 21 22 directors didn't help. Dr. O'Kane coming in lately didn't help either. 23 CHAIR: Thank you very much. I have no further 24 25 questions for you. I'm sure you will be very relieved 16:51 to know that we will hopefully not be asking you to 26 27 come back and talk to us on another day. Can I also 28 say I'm very sorry on your very recent bereavement as 29 well.

1	
2	Ladies and gentlemen, tomorrow morning, 10 o'clock.
3	
4	THE INQUIRY ADJOURNED TO 10:00 A.M. ON WEDNESDAY 19TH
5	<u>APRIL 2023</u> 16:51
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	