



Oral Hearing

Day 46 – Wednesday, 24th May 2023

Being heard before: Ms Christine Smith KC (Chair)
Dr Sonia Swart (Panel Member)
Mr Damian Hanbury (Assessor)

Held at: Bradford Court, Belfast

Gwen Malone Stenography Services certify the following to be a verbatim transcript of their stenographic notes in the above-named action.

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1 THE HEARING COMMENCED AT 10:00 A.M. ON WEDNESDAY,
2 24TH DAY OF MAY, 2023 AS FOLLOWS:

3
4 CHAIR: Good morning, everyone.

5 MR. WOLFE KC: Good morning. It's Dr. Tracey Boyce.
6 To take the oath.

10:05

7
8 DR. TRACEY BOYCE, HAVING BEEN SWORN, WAS EXAMINED BY
9 MR. WOLFE KC AS FOLLOWS:

10
11 MR. WOLFE KC: Good morning, Dr. Boyce. Your doctorate
12 is not as a medical doctor; isn't that correct?

10:06

13 A. No, it's a doctor of pharmacy practice.

14 1 Q. I thought I'd clarify that at the outset. It arises
15 discreetly at a point in the evidence. Let's put up on
16 the screen, please, your witness statements the
17 Inquiry, starting with your primary witness statement,
18 WIT-87630. You'll recognise the first page of that
19 being. The Inquiry has annotated it on the top
20 right-hand corner to indicate that you also sent in an
21 addendum statement.

10:06

22 A. Yes.

23 2 Q. Let's go to the last page of this at WIT-87674. Again,
24 you'll recognise your signature dated 18th November
25 2023?

10:07

26 A. Yes.

27 3 Q. Subject to the additional remarks made in your addendum
28 statement, would you wish to adopt this statement as
29 part of your evidence?

1 A. Yes, I do.

2 4 Q. Your addendum statement then, which is signed off on
3 19th May this year, WIT-96617. Again, there are some
4 minor amendments, typographical errors and that type of
5 thing. Then as we scroll through it briefly, just down 10:07
6 to the third page, please. Keep going, right on to the
7 fourth page, is it. You set out more significant, more
8 major amendments which particularly relate to -- you
9 step through the chronology really in the build-up to
10 the Oversight Group meeting on 22nd December? 10:08

11 A. Yes. I must apologise, when I wrote it back
12 to November and then I reviewed it in May, I realised
13 that it had got the chronology slightly wrong so
14 I wanted to correct that. Apologies for having to take
15 that. 10:08

16 5 Q. Then if we go to the last page then, please. Scroll
17 down scroll down to 23 in this series. Again, your
18 signature dated 19th May.

19 A. Yes.

20 6 Q. Would you wish to adopt that statement as part of your 10:09
21 evidence?

22 A. Yes. Thank you.

23 7 Q. Now, let's deal with your employment background,
24 Dr. Boyce. Happily there's a copy of your CV; I think
25 it's up really to date? 10:09

26 A. It is, yes.

27 8 Q. I don't need the bring it up, but in ease of the
28 Inquiry's note it can be found at WIT-87677. In short
29 form, you were appointed Director of Pharmacy and

1 Medicines Management for the Legacy Trust --

2 A. That's correct.

3 9 Q. -- which predated the formation of the Southern Trust.
4 So, you were appointed in 2006?

5 A. Mm hmm. 10:09

6 10 Q. And then took up the same role in the newly formed
7 Southern Trust in 2007; isn't that right?

8 A. That's correct.

9 11 Q. You held that post, Director of Pharmacy, until the
10 31st January 2022, when you retired? 10:10

11 A. That's correct.

12 12 Q. You have explained in your witness statement that the
13 Director of Pharmacy role was at the same
14 organisational level as an assistant director role
15 within any particular directorate? 10:10

16 A. Yes, that's correct.

17 13 Q. We aren't particularly interested in your Director of
18 Pharmacy duties for the purposes of this Inquiry, but
19 the Panel will find those set out in your statement at
20 WIT-87633. You have explained that, for operational 10:10
21 purposes, your line management goes up through to the
22 Director of Acute; isn't that right?

23 A. That's correct.

24 14 Q. You set that out helpfully in a table. If you bring up
25 on to the screen, please, WIT-87636. That's Mr. McCall 10:11
26 was in place at the start of your employment, and then
27 we start to recognise and have familiarity with some of
28 the names that are further along the chronology, ending
29 with - if we scroll down - Mrs. McClements was the last

1 director in post as you retired?

2 A. That's correct.

3 15 Q. Professional issues, if they arose, you reported up
4 through the Medical Director's office and the Medical
5 Director him or herself; is that right?

10:12

6 A. That's correct. Then I was unusual, I had sort of line
7 management through the Director of Acute Services for
8 operation, like my leave and appraisal and so on. But
9 for professional issues, because I was also the Trust
10 accountable officer, I had a dotted line, as they
11 called it, direct to the Medical Director, who I would
12 have liaised with if they were investigating a drug
13 theft and there was professional staff involved and so
14 on. So, I had sort of a close working relationship
15 with both of them.

10:12

10:12

16 16 Q. Yes. If we could turn to paragraph 4.4 of your
17 statement at WIT-87633. I want to spend some time at
18 the start of your evidence, Dr. Boyce, looking at how
19 you fell into a governance role out with your pharmacy
20 duties, and I am also going to seek your observations
21 on the state of governance as you experienced it within
22 Acute Services, the Acute Directorate. What you say in
23 4.4 is that in October 2014 you were asked by the then
24 Director of Acute Services, Mrs. Deborah Burns, to
25 manage the Acute Governance Team for a few weeks while
26 the Acute Governance Lead post was being recruited.
27 This was because the previous post-holder, Margaret
28 Marshall, had moved into Corporate Governance Lead
29 role. You were asked to take this on as, out of the

10:13

10:13

1 six Assistant Directors in the Acute Directorate, you
2 had the most governance experience, and you set that
3 out.

4
5 You had set up the Northern Ireland medicines
6 governance pharmacy team in a previous post, and you
7 also had completed a postgraduate doctorate --

10:14

8 A. That's correct.

9 17 Q. -- of pharmacy practice on the subject of the
10 medication related to patient safety, hence Dr. Boyce.

10:14

11 A. Yes.

12 18 Q. In relation to that, your governance experience for
13 taking on what you thought was to be a temporary role
14 is set out there; it's in the context of pharmacy, it's
15 in the context of medicine management and patient
16 safety. Were these relevant experiences and relevant
17 skills for what you were being asked to take on?

10:14

18 A. Yes.

19 19 Q. Or, as you suggest there, is it the closest fit amongst
20 other Assistant Directors in Acute?

10:15

21 A. I think it was because -- I mean, basic governance,
22 understanding of clinical governance, is the same no
23 matter what speciality you are applying it to. So,
24 I think I was able to transfer the experience I had got
25 from setting up that team that run across all five
26 Trusts in Northern Ireland, each with a pharmacist. We
27 set up a governance process. So, I had that experience
28 of being proactive in governance as well as the
29 reactive bit. So I had those skills, understanding of

10:15

1 how clinical governance worked. I was also quite a lot
 2 of links to the various governance officers the Trust
 3 and also in other Trusts as well, so it allowed me then
 4 to step into that even though it was a very wide remit.
 5 I had those skills that I could then bring to that.

10:16

6 20 Q. You came into this role without much notice; is that
 7 fair?

8 A. Yes. It all happened quite quickly, the sort of
 9 reshuffle after Dr. Rankin left. Mrs. Burns, Debbie
 10 Burns, had been the Corporate Governance Lead and she
 11 moved into the Director of Acute Services, so obviously
 12 then there was a gap immediately at the Corporate
 13 Governance Lead, so Margaret Marshall of Acute
 14 Governance went to that, so then we had the gap in
 15 Acute Services with no governance lead. The intention
 16 at that point was it would have been recruited. It was
 17 almost like a sort of oversight keep an eye on, assist
 18 and facilitate until the new person came into post.

10:16

10:16

19 21 Q. You understood, and perhaps it was intended, that this
 20 would be a stop-gap?

10:16

21 A. Yes.

22 22 Q. As it transpired, as we'll see in a few moments, the
 23 post of Acute Governance Lead was not replaced --

24 A. No.

25 23 Q. -- until the spring of 2016?

10:17

26 A. April 2016 someone came into post, yes.

27 24 Q. Yes. Even after that, you continued to hold a
 28 governance interfacing role?

29 A. Yes.

- 1 25 Q. I suppose what I am struggling with here is were you
 2 ever given any formality around this role? were you
 3 given a job title; were you given a letter of
 4 appointment; were you given a job description?
- 5 A. No. At one point there was a move, in Mrs. Gishkori's 10:17
 6 time, to put it in my job description but I refused
 7 because I already had a massive job in terms of
 8 Accountable Officer and Director of Pharmacy. It just
 9 wasn't doable. My Director of Pharmacy post had been
 10 bandied under changes in Band 9, so I was at the max in 10:18
 11 terms of responsibility and remit; financial, clinical,
 12 all sort of things that a Director of Pharmacy sort of
 13 covers. To add, it was wrong to add in it because it
 14 just was not doable. There needed to be a proper post
 15 in Acute Services and more development of the 10:18
 16 governance team. So, all I could do was try to keep it
 17 ticking over and facilitate the guys, the team members,
 18 who were already in governance. So, just it was never
 19 a part of my remit.
- 20 26 Q. Yes. Hopefully I don't need to pull this up; I think 10:18
 21 your CV describes the role as Governance Coordinator?
- 22 A. That's what I call myself to try and -- I mean,
 23 basically I met the team; I think I explained that
 24 I met the governance team. I freed up my Tuesday
 25 morning in my diary because it was a day I didn't have 10:18
 26 regional meetings and other pharmacy-related stuff.
 27 They met me; initially the actual whole team I met
 28 them. Then later when we got the Governance
 29 Coordinator post reinstated in April 2016, I would have

1 met the Governance Coordinator mainly and almost helped
2 her facilitate. So, she would have told me issues, or
3 the team would have told me things they were up
4 against, you know, maybe a particular SA Panel wasn't
5 meeting. Because I had a good relationship with the 10:19
6 consultants and people, I would have maybe met them in
7 the corridor and said by the way, what's happening with
8 that Panel, you know, I tried to facilitate, and had
9 those sort of corridor conversations and smooth things
10 in the background or address things to try and 10:19
11 facilitate them.

12
13 Also at the beginning, the whole structure when I took
14 over in October '14, it wasn't just me coming into
15 role. There had been quite a bit of change. The lead 10:19
16 nurse role in the Trust had been - in Acute Services,
17 sorry - had been changed so there was displaced lead
18 nurses. Because we had a gap because some of the team
19 from Acute went to the corporate team, there was also a
20 gap in the services, so I was given two lead nurses, 10:20
21 Connie Connolly and Mr. Smith joined us as well. They
22 had no experience in governance. Part of my initial
23 work with them was trying to find training for them,
24 you know, guiding them in terms of what needed to be
25 done. 10:20
26

27 I also then realised that there was no real reporting
28 coming out of the Governance team to try and make it
29 easier for the other Assistant Directors. One of the

1 first things I did was work with the admin support.
 2 They were excellent, they were really good staff, David
 3 Cardwell and so on, who really understand the Datix
 4 system. I asked them to come up with a report to show
 5 the Assistant Directors how many ones they have, what 10:20
 6 hadn't been opened, that sort of thing; how SAIs are
 7 running. Very quickly we got weekly reports set up for
 8 the Assistant Directors. We were doing that sort of
 9 thing.

10
 11 I have to say at that initial stage, because Mrs. Burns
 12 herself was very experienced in governance, she was
 13 doing it with me. At that initial stage, it was sort
 14 of a joined effort between us --

15 27 Q. Yes. 10:21

16 A. -- which made it easier to cope with the lack of the
 17 Governance Lead role.

18 28 Q. You are describing, I think you are describing, some of
 19 the tasks that an Acute Governance Lead would have
 20 performed -- 10:21

21 A. Yes.

22 29 Q. -- had he or she been in post?

23 A. Yes.

24 30 Q. But what we have is a situation that, from October '14
 25 through to April '16, that person wasn't in post. Can 10:21
 26 you outline for us what the full range of duties -
 27 albeit do it in brief terms - what would be the full
 28 range of duties of the Acute Governance Lead, and, by
 29 dint of the absence of a post-holder, what wasn't being

1 done?

2 A. Okay. So the Acute Governance Lead, I think you can
3 the split Governance into two sections; there is the
4 reactive and the proactive. The reactive bit was being
5 done to a certain level. So, the IRIs were being 10:22
6 reviewed. The IRIs are your incident reports that go
7 on to the Datix system which manages the whole of the
8 governance data and so on. That was being done to a
9 certain level in that the incidents were being reviewed
10 by the members of the team. But obviously those 10:22
11 incidents, you need to keep an eye, are the ward
12 managers opening them in time. That was one of the
13 issues we had found at that very early stage; they
14 weren't being opened. So, the team are reactive that
15 way to the incident reports coming in. 10:22

16
17 As well as that, then there was the whole complaints
18 side of things. Obviously the complaints are coming in
19 as well. There is also reactive work in terms of
20 providing information up the system to the Corporate 10:22
21 Governance team. Obviously they were very small as
22 well, so there was all the reporting that had to be
23 done for them. Production of reports for like the
24 Corporate Governance meetings and so on. There was
25 also equipment control came under governance; controls 10:23
26 for sharing standards for various levels of risk
27 management. Risk management itself as well. There was
28 a lot going on, also with questions. Questions from
29 the MLAs came in; they all came in through the

1 governance services. Standards and guidelines came in.
2 That's starting to get into the proactive side of
3 governance. So, the Governance Coordinator would have
4 managed the standards and guidelines work. That was
5 massive in Acute. I think when I retired, there was 10:23
6 over 1,000 standards and guidelines listed on our
7 spreadsheet for the Trust, and about 75% of them were
8 Acute, so Acute had a massive piece of work. You would
9 have been proactively appointing one of the consultants
10 to be the change lead for each of the standard or 10:23
11 guideline came in, so they would have led then the
12 scoping and implementation of that new standard or
13 guideline the Trust or to Acute Services.

14
15 Equipment control was massive; it should be proactive 10:24
16 rather than reactive as well. You are making sure that
17 any new piece of equipment - and you can imagine how
18 much equipment is in Acute Services - proper servicing,
19 training, all that sort of stuff goes with it.

20 Proactively training all your staff. At that stage 10:24
21 there was no corporate programme for training staff on
22 incident reporting, risk management, because if you
23 don't train staff on how to report an incident, you get
24 a lot of unnecessary work later on, you know, if they
25 grade something either catastrophic that wasn't; or 10:24
26 vice versa, you can miss the importance.

27
28 The coordinator should also have a role in terms of
29 themeing your incidents that are coming in, and really

1 pushing near miss reporting. We just weren't doing any
2 near miss reporting at that stage. You want to get
3 your near misses reporting done because that's your
4 opportunity to fix systems before there's harm done.
5 That wasn't happening; there just wasn't the capacity
6 to do it.

10:25

7
8 Then off those themes then, you should be developing
9 proactive governance initiatives. A couple of things:
10 Towards the end of my involvement we were starting
11 to -- when Trudy Reid came into post, I was lucky
12 enough to be able to pull the pharmacists, my
13 governance pharmacists, to help, so we were starting to
14 see themes of insulin incidents coming through, so we
15 were able to set up a safe use of insulin programme,
16 trying to be a bit more proactive, and doing brief
17 interventions with staff on wards and things to try and
18 get in their heads key themes. It is a massive post if
19 it is being done well, but unfortunately with a half
20 day a week, all I could do was try to do my best to
21 smooth and keep things going, and direct and sort of
22 facilitate the staff that were in the governance team.

10:25

10:25

10:25

23 31 Q. If we scroll down to just the next paragraph of your
24 statement where you reflect the fact that you were told
25 that the post of Acute Governance Lead was not going to
26 be replaced?

10:26

27 A. Mm-hmm.

28 32 Q. The salary had to be given up as a cost-efficiency
29 saving.

1
2 "I was not happy about this decision as I had been told
3 that I would be managing the team on a temporary
4 basis. "

5
6 You reflect that you had an extremely large workload as
7 Director of Pharmacy. Who would take the decision to
8 not to replace this post? Was this a Trust Board
9 decision or was this a local decision in the Acute
10 Directorate?

11 A. I think it was probably like a corporate. When you say
12 decision, at that time we were under severe financial
13 pressure, extreme financial pressure as a Trust.
14 I mean, I remember even back if you had funded
15 pharmacist posts, if you want to replace them if they
16 moved or were promoted, you had to make a case why you
17 were replacing them, why you couldn't do without them.
18 So, because we were under such significant financial
19 pressure, to get a post replaced you had to not only
20 make a case, but finance had to agree because you
21 couldn't recruit unless, on the recruitment system,
22 they had to tick a box to say, yes, the money is there.

23
24 I think it was the actual corporate pressure, the
25 extreme -- obviously the statutory duty to break even
26 for the Finance Director was real. I don't think if
27 there was an actual decision, it was more we just
28 couldn't afford it at that point in time. If we had
29 funds, it had go on patient-facing because the Acute

1 Services can never say no; our door is always open.

2 When you are in such financial pressure, the money that
3 there was had to go direct to patient-facing services.

4 33 Q. If we go to WIT-87672 and go down to -- maybe just back
5 one page please, sorry. You say at 43.5:

10:28

6
7 "The fact that the Governance Lead post had been given
8 up as a saving in 2014 demonstrated a lack of
9 understanding of the importance of good clinical
10 governance in my opinion."

10:28

11
12 Before I brought you to that, your answer suggested
13 that really because of the financial climate, the Trust
14 had no choice but to eliminate the post to make the
15 saving?

10:29

16 A. Hmm.

17 34 Q. Here you suggest, and perhaps I'm reading too much into
18 it --

19 A. No.

20 35 Q. -- that there was a choice to be made, either
21 understand and respect the important tenets of good
22 clinical governance, or save the money. Your
23 suggestion is that people just didn't understand that
24 there was a lack of understanding of the importance of
25 good governance.

10:29

26 A. I think it was a lack of understanding. I mean,
27 certainly I suppose from my pharmacy background, in
28 pharmacy it's very much all the safety drives
29 efficiency. If you get it right first time, if it's a

1 safe system, you actually are more cost-effective in
2 the long-term. I suppose that's where I am coming from
3 in that. I understood the financial pressure the Trust
4 was under, you know, it was extreme. If I had been
5 making the decision, I'd probably have gone at the risk 10:30
6 and appointed the post because I think in the long-term
7 it would have paid for itself.

8 36 Q. So, what you are suggesting is this was a post that was
9 fundamental to the ability of the directorate to
10 provide good governance across its operations? 10:30

11 A. Yes.

12 37 Q. I think at some point you say that, at the point of
13 retirement on the pharmacy side of your role --

14 A. Mm hmm.

15 38 Q. -- you were managing circa 250 members of staff, 10:30
16 I assume it was little different in 2014/2015 when you
17 had taken on this role?

18 A. Yeah. It would have probably been maybe 220, or
19 210/220, yes. But it was above 200 at that point, yes.

20 39 Q. That gives an indication of the scale of your main job? 10:31

21 A. Yes.

22 40 Q. As you have said, you could only commit a small amount
23 of time to this additional role?

24 A. Yes.

25 41 Q. You paint a description sometimes of corridor 10:31
26 conversations, taking a chance to nudge and cajole and
27 counsel in these kind of informal ways to keep staff
28 properly directed and interested?

29 A. Yes.

1 42 Q. As opposed to sitting behind a desk or being in that
2 governance environment at all relevant points?

3 A. Yes, that's correct, because I mean obviously with the
4 financial pressure the Trust was under, a big part of
5 my role was obviously the financial side of pharmacy. 10:31
6 I have maybe a £50 million budget to procure drugs,
7 specialist drugs, oncology, haematology. So, the heads
8 of pharmacy worked together to contract. In
9 those years I would have been given a target of maybe
10 saving £1 million out of the pharmacy budget. 10:32
11 I couldn't not do that; my pharmacy had to come first.
12 Particularly obviously I am a registrant, I had to make
13 sure the pharmacy was safe as the Superintendent
14 Pharmacist.

15 10:32
16 The only opportunity for me was then was to -- it also
17 afforded me an opportunity because I would have been in
18 meetings with maybe the oncologists and haematologists
19 about our contracting for cancer drugs. So it then
20 gave me that, by the way, we were having a coffee, how 10:32
21 is that SAI going, what's the issue. It did afford me
22 opportunities. I was sort of peppered throughout the
23 week. Once I had had that Tuesday meeting, I knew what
24 the issues were for the team. It allowed me then, if
25 I had met someone in the coffee queue in the morning, 10:32
26 I could have had that, you know, almost off the record
27 conversation which then allowed. So it was sort of
28 very much an official catch-up with the team on a
29 Tuesday morning, and then using the influence that

1 I could during the week to try and make things happen
2 for them.

3
4 Also then my one-to-ones, monthly one-to-one with the
5 Director of Acute Services and also the Medical
6 Director, those were opportunities to discuss issues as
7 well.

10:33

8 43 Q. Yes. Did you make the Director aware of the concerns
9 that you have related to us today about how this was
10 impacting on the safety of the operations if we
11 couldn't do governance as well as we should?

10:33

12 A. Yes, obviously in my one-to-ones. As I said earlier,
13 initially it wasn't as bad because obviously Deborah
14 Burns was very experienced and she was doing it too.
15 She was part of helping because of her experience with
16 corporate governance. Obviously when Ms. Gishkori came
17 along, it was much more obvious that it just wasn't
18 doable just with me that half day a week. So, very
19 much in my one-to-ones with Esther I would have raised
20 it. Then obviously she then realised, come late 2015
21 I think it was, that Easter then agreed that we could
22 recruit the post. Sorry, maybe it is December/January.
23 Then in 2016, Trudy Reid, we were able to recruit Trudy
24 into the governance post.

10:33

25 44 Q. Yes. So, you made a pitch to Mrs. Gishkori that this
26 post had to be replaced?

10:34

27 A. Yes.

28 45 Q. Before Mrs. Gishkori comes in, I think it was September
29 2016, a bit earlier --

1 A. Yes.

2 46 Q. -- before that, Mrs. Burns was the Director?

3 A. Yes.

4 47 Q. You have said that her experience in particularly

5 corporate governance -- 10:34

6 A. Yes.

7 48 Q. -- meant that, in combination with you, the problems

8 was less --

9 A. Less.

10 49 Q. -- acute, if I can use that word, than it was to be 10:35

11 become when Mrs. Gishkori came in?

12 A. Yes.

13 50 Q. Nevertheless, did you have conversations with

14 Mrs. Burns about the need to replace the post and

15 reinstate the budget, or did those conversations not 10:35

16 take place at that point?

17 A. No, I think they did. It became obvious quite quickly

18 that we had a backlog situation, which again added to

19 the pressures. When I came into the post and we set up

20 the reports I mentioned, we realised that there were 10:35

21 I think 300 from memory plus IR1s that hadn't been

22 opened at all by the teams.

23 51 Q. I am just going to come and deal with that issue

24 separately.

25 A. Yes. So, that added to the pressure at that point so 10:35

26 we had to do a backlog -- a catch-up exercise. You

27 know, I think there was a lot going on in the Trust at

28 that moment in time as well, not just the financial,

29 there was a lot of movement. I think there was a

1 change of Chief Executive and so on at that point as
2 well.

3 52 Q. Yes. Going back to your statement to WIT-87634,
4 Mrs. Gishkori agreed to replace the Acute Governance
5 Lead, we can see at 4.6, and Trudy Reid was recruited 10:36
6 into the role and started in the role on 4th April.
7

8 You say in the next paragraph that Mrs. Gishkori was
9 not prepared to take back direct responsibility for
10 interfacing with the Acute Governance Lead despite it 10:36
11 being part of her remit. Just help us out with that.
12 Mrs. Gishkori is obviously the top of the pyramid
13 within Acute, being the Director. In this context, you
14 are saying she should have been, as per her job
15 description, interfacing with the Acute Governance 10:37
16 Lead. What does that interface involve and why is it
17 necessary?

18 A. That interface would have been regular meetings with
19 the Director, so the Acute Governance Lead would have
20 had a personal one-to-one with the Director of Acute 10:37
21 Services. That was the opportunity for the Governance
22 Lead then to brief the Director in terms of what was
23 happening. That would have been through the official
24 part of the briefing in terms of what our risks were,
25 what issues the governance team were covering, what new 10:37
26 SAIs had been screened in that month, particularly key
27 ones. But there would have also been then a very
28 reactive -- so if something very serious had happened
29 in Acute Services, the Governance Lead would have

1 immediately contacted the Director so that they were
2 never blindsided to anything. It was really important
3 that that happened. Obviously the Acute Director sat
4 at the Trust senior management team at Trust Board, so
5 they needed to be over their governance risk and their 10:38
6 governance activity because they were going to have
7 questions. So, they really needed to be in the game in
8 terms of what was happening, so that was a very direct
9 link.

10
11 Also, those meetings should have been sort of a safe
12 space for the Governance Coordinator to discuss, to get
13 advice and guidance from the Director in terms of
14 issues they were facing, or thrash out an issue that
15 they could then move forward on jointly. They were 10:38
16 very important in terms for both sides, both for the
17 Governance Coordinator but also for the Director of
18 Acute Services so they knew what was happening in their
19 section.

20 53 Q. You describe a situation where you're told that. What 10:38
21 you're reflecting is that one consequence of
22 Mrs. Gishkori electing not to take back direct
23 responsibility meant that you had a continuing role in
24 this arena, whereas it had been your expectation that
25 before Trudy Reid's appointment, you would step back 10:39
26 into your normal world and leave these responsibilities
27 behind?

28 A. Yeah. Well, I understood Trudy Reid came into the post
29 and she hadn't been in a governance role before, so

1 I understood there would have been a period of me
2 facilitating, helping her, handing over, and then
3 I would gradually step back once she was up to speed.
4 But, as I say, I continued then to do that sort of
5 discussion space for Trudy every Tuesday morning. It 10:39
6 came down to maybe an hour, an hour and a half on
7 Tuesday morning at that point once Trudy got up to
8 speed where she could bring what do you think we should
9 do with this, or this isn't happening, do you think you
10 could help me with this. We had that conversation that 10:40
11 I would have had -- in fact, when Mrs. McClements took
12 over, it immediately stopped. So, Melanie wouldn't,
13 she wanted to know what was happening in governance and
14 had that direct. So I then was able to step back
15 completely at that point because Melanie couldn't see 10:40
16 doing the Director post without that direct... I'm
17 jumping ahead, sorry.

18 54 Q. I think you are a bit and maybe confusing the Panel.
19 A. Sorry.

20 55 Q. Let me steer it back. What you have just said is that 10:40
21 when Mrs. McClements came into post, so she replaced
22 Mrs. Gishkori as Acute Director in June 2019?

23 A. Yes.

24 56 Q. It was at that point she took on, I think you are
25 suggesting appropriately, the interface role with the 10:41
26 Governance Lead?

27 A. Yes.

28 57 Q. Which Mrs. Gishkori had decided wasn't for her?
29 A. Yes.

1 58 Q. what were Mrs. Gishkori's reasons, to the best of your
2 understanding, for deciding that she wouldn't take on
3 this direct interfacing role?

4 A. From what I observed and understood, I think
5 Mrs. Gishkori, Esther, was overwhelmed with the post. 10:41
6 It was a massive post, the Acute Director post. Also
7 maybe a level of inexperience in terms of the
8 governance, leading governance in a very big, very vast
9 wide-ranging directorate. I think the fact that I was
10 there and had already been doing it sort of allowed her 10:41
11 not to maybe take it back fully. It did make me
12 nervous on her behalf because obviously then Esther was
13 then going into the senior management team, the
14 corporate governance meeting and so on, without that
15 interface, so I was always nervous about how she could 10:42
16 then represent, talk about her risks and so on.

17
18 I started with, put a short briefing meeting in her
19 diary every Tuesday morning for half an hour first
20 thing, like at half eight in the morning before the day 10:42
21 started. I would have went with Trudy if I could, or
22 one of us made sure we went to try and brief Esther on
23 what had happened in the week past, because on Tuesdays
24 at that point, the senior management team was on
25 Tuesday morning, the corporate senior management team, 10:42
26 so it meant then that Esther could have gone briefed to
27 that and the senior management team had a rolling
28 programme. So, once a month their agenda was fully
29 governance. It was to make sure that Esther knew what

1 was happening. There was an attempt to try and keep
2 her in the loop as best we could.

3 59 Q. Okay. Just to recap slightly. The appointment of
4 Trudy Reid reduced your level of involvement in this
5 governance arena?

10:43

6 A. Yes.

7 60 Q. But because of Mrs. Gishkori's, the busyness of her
8 post, perhaps, coupled with her lack of comfort or
9 experience in the governance world, as you perceived
10 it --

10:43

11 A. Yes.

12 61 Q. -- she wouldn't take on the responsibility of
13 interfacing, and that did require activity on your part
14 to ensure that governance worked as well as it could in
15 those circumstances?

10:44

16 A. Yes.

17 62 Q. Is it fair to say, and we'll go on to talk about your
18 description of governance as not being fit for purpose,
19 but is it fair to say that notwithstanding Mrs. Reid's
20 appointment, the governance within Acute was and
21 continued to be fragile and difficult?

10:44

22 A. Yeah, that's fair. I mean, there was a lot of movement
23 in the team as well. There was obviously an admin team
24 behind Governance that managed all the complaints and
25 so on. They were pretty static. Then in terms of the
26 Band 7 staff you'd have had who were the ones to
27 interface with the ward managers and did the training
28 and so on, they moved quite a bit. So, we had a high
29 level of inexperience amongst that team as well. It

10:44

1 was almost a few times maybe people left or retired or
 2 went elsewhere, and we were given people, like I think
 3 at one stage -- I mean, they were really good staff
 4 just didn't have the experience. Maybe a ward manager
 5 who had a health issue was displaced, so because I had 10:45
 6 a gap, the team had a gap -- and don't get me wrong,
 7 they were very good but they just didn't come with the
 8 experience or they maybe didn't necessarily want to do
 9 governance; not everybody is comfortable in
 10 investigation and so on, and you are having to ask 10:45
 11 awkward questions. It was always sort of a bit of a
 12 shoestring team what we had and what we could use to
 13 make it work.

14 63 Q. You have said in your statement that notwithstanding
 15 your attempts to ensure that there was a mechanism 10:45
 16 there by which Trudy Reid could interface with
 17 Mrs. Gishkori, so you put meetings in the diary, and
 18 that was for the purpose, was it, of ensuring that
 19 Mrs. Gishkori was well-briefed on governance
 20 developments so that she could then go to Trust Board 10:46
 21 committees and Trust Board itself --

22 A. Mm hmm.

23 64 Q. -- and properly and accurately reveal the full picture.
 24 But you have said those meetings were unfortunately
 25 often cancelled by Mrs. Gishkori. Again, was that 10:46
 26 because she didn't have an appetite for governance
 27 issues or was it just because she was overwhelmed,
 28 running to standstill elsewhere in her portfolio?

29 A. I think it was probably being overwhelmed. It was

1 probably because it was sort of an informal briefing,
2 it was probably the first thing to go in her diary if
3 it was under pressure. I don't know that maybe the
4 understanding was there of the importance of that.

10:46

6 Around the same time I remember being shown one of the
7 non-executive directors came on a visit to pharmacy at
8 the point she was getting ready to take over the
9 chairmanship of the corporate governance. At that
10 stage I would have attended corporate governance in my
11 Director of Pharmacy role. The first item of the
12 agenda was to present the Medicines Governance report,
13 which was a report of my work and the team and my
14 accountable officer's role, and then I left corporate
15 governance, I wouldn't have been present for the rest
16 of the meeting. But at that time Mrs. Mullan asked me
17 during that visit would I mind --

10:47

10:47

18 65 Q. Mrs. Eileen Mullan?

19 A. Eileen Mullan. That she would like me to attend the
20 full meeting from then on. I was then after that
21 actually able to assist Esther at that meeting with
22 Acute Governance, even though I was there for pharmacy,
23 because I was sort of involved still. If a question
24 came up around the governance issues for Acute, I was
25 able to assist Esther in terms of answering it.
26 Obviously I wasn't there at the other meetings like
27 Trust Board and SMT and so on.

10:47

10:47

28 66 Q. Yes. Mrs. Gishkori, in her evidence - and her evidence
29 is part-heard - she said a number of things around this

1 area which I just want to clarify with you. If we
2 could have her, this is Mrs. Gishkori's transcript or
3 an extract from her transcript on the screen, please.
4 It is TRA-03070. Just at the bottom of the page,
5 please. She's explaining that when she came into post: 10:48

6
7 "Governance was the only thing that I didn't have an
8 Assistant Director to report to me on. I felt that was
9 very important because I wanted to keep all of my
10 services the same. So actually, Kieran Donaghy, 10:49
11 Director of -- who was the previous director, told me
12 that Tracey Boyce, who was the Director of Pharmacy,
13 had just done a Diploma in Governance, a post-grad
14 diploma, I think, I am sorry, it may have been a post
15 grad, but it was a post grad anyway qualification in 10:49
16 governance, and he said "You know, you should use that
17 as a starting point". So I spoke to Tracey and I was
18 happy enough to do it" - just scroll back - "she was
19 happy enough to do it based on the fact that hers was a
20 very busy job as well, but she was then able to appoint 10:49
21 a Band 8B and then, more importantly, three Band 7s who
22 did the legwork, if you like, of the governance team.
23 They were the people who went and gathered the
24 information and brought it together and got the Review
25 Team sorted out et cetera, and then there was a team 10:50
26 below that."

27
28 She explains the 4, 5 and 6s, and they were admin and
29 all those people.

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Is there anything in that evidence that you disagree with?

A. Yeah. That's not how - certainly from me doing the role - that was already -- I was already in the coordinating role for governance before Esther came into post.

10:50

67 Q. So was she --

A. That's not correct.

68 Q. She seemed to suggest - and maybe we'll go back to her on this when we hear from her again - she seemed to suggest that when she came into post, she saw a gap, spoke to Mr. Donaghy and then approached you to fill that gap, and because you had a Diploma in Governance et cetera you were content, notwithstanding your other duties, to take that role.

10:50

10:51

You are saying that you were already in that role, as you have described already this morning?

A. Yeah. October '14 was when I started, when Mrs. Burns was the Director, who was before Esther Gishkori. When Esther came into post, I was already in the middle of that in terms of...

10:51

Also three Band 7s, we didn't recruit three Band 7s, certainly in my time. There were people displaced who were already on the team. We did get -- Esther did get the funding for the 8B to be reinstated, but no other posts at that time.

10:51

1 69 Q. Do you have a Diploma in Governance?

2 A. No. I assume she's referring to the doctoral research
3 I had done on governance and medication safety, I had
4 done when I was in my Medicines Governance role.

5 I sort of finished it off. My last year of that was 10:52
6 when I joined the Trust in terms of my finding stuff
7 for my research. Yes, most of the work had been done
8 previously but it wasn't a diploma.

9 70 Q. She makes the point you were able to appoint an 8B.
10 That is Trudy Reid, she was an 8B; is that right? 10:52

11 A. Yes, yes. There was almost a year into Esther's, when
12 I had petitioned that year to get that post reinstated.

13 71 Q. There is another aspect of Mrs. Gishkori's evidence
14 that I want to look at with you; we'll do it in
15 sequence a little later. 10:52

16
17 Let me turn now to what you have said in terms of the
18 governance arrangements and the Acute Directorate not
19 being fit for purpose. If we go to WIT-87671 at 43.1,
20 you say that overall in your opinion, the governance 10:53
21 arrangements in the Acute Directorate were not fit for
22 purpose.

23
24 "This was because the Acute Governance team was
25 chronically underresourced for the size of the tasks 10:53
26 expected of them."

27

28 You say:

29

1 "Clinical staff did not have protected time for
2 governance activities. When they were under severe
3 patient role bed correctors, the governance activity
4 had to be put on hold.

10:53

6 When I was asked to look after the Governance Team for
7 a period of time, I realised there was then a backlog
8 of unopened incident reports."

10 we'll look at that in a moment. Scrolling down:

10:54

12 "The fact that the Governance Lead post had been given
13 up in 2014 demonstrated a lack of understanding of good
14 clinical governance."

10:54

16 You have explained that already. You explain that:

18 "The two Band 7 Governance officers on the team were
19 very inexperienced and I had to identify training for
20 them."

10:54

22 Over the page. You raised a number of numbers with the
23 Director of Acute Services throughout the period as did
24 other Assistant Directors within the Acute Services
25 team, and you submitted a number of proposals to
26 augment the team.

10:54

27 A. Yes.

28 72 Q. And we'll look at that. In terms of how governance was
29 done structurally, there was a monthly Acute Governance

1 meeting; isn't that right?

2 A. That's correct.

3 73 Q. There was a monthly Acute Clinical Governance meeting;
4 there was a fortnightly standards and guidelines group?

5 A. Mm hmm. 10:55

6 74 Q. So, those structures were in place --

7 A. Yes.

8 75 Q. -- and they met regularly. We can see from some of the
9 papers that have been exhibited to your witness bundle
10 that they tended to be fairly full agendas? 10:55

11 A. Very.

12 76 Q. People were getting through the work and seemed to
13 touch on a lot of the issues of importance to the
14 operation of the Trust.

15 10:55

16 In terms of what you say, that the governance
17 arrangements were not fit for purpose, what was
18 missing? In terms of activities, what was not being
19 done which, to your trained eye, meant that it looked
20 and felt as if it wasn't fit for purpose? 10:56

21 A. I suppose everything we were doing at the time was
22 reactive. We were acting where patient harm had
23 occurred. The serious incidents were coming through.
24 But even with that, when those were screened -- so each
25 division within the Acute Directorate had a screening 10:56
26 group, so we set that up to try and get consistency of
27 approach as well. Debbie and I got involved because
28 obviously one division within Acute might have not
29 something forward as an SAI whereas another would, so

1 we set up screening groups in each. There would have
2 been the AMD, the Associate Medical Director, the
3 Assistant Director, and they were supported by a member
4 of the governance team to be the consistent voice
5 through each of the screening groups. They would have 10:57
6 looked at the serious IRIs, the incident reports that
7 had come in, and looked at them. We also set up as
8 well that the governance team would have done a very
9 brief timeline, because you can't really screen; an
10 incident report might look innocent but actually 10:57
11 underneath it's not. So, you had to go to the
12 screening meetings. The Governance team, I got them to
13 do a brief timeline and the Trudy and I worked on that
14 so when the AMD and AD were screening, they had
15 something more meaty to look at and understand what was 10:57
16 happening. They would have screened that, and off that
17 went then to become an SAI.

18
19 One of the issues which we faced at that time was
20 firstly getting Chairs which had to be from the 10:57
21 consultant body. In the Trust by and large it was the
22 consultant's team who led the review group. Obviously
23 in their very busy workloads, there was no protected
24 time for them to do governance as such. Once you'd
25 secured a Chair then, there had been very little 10:58
26 training of the consultant body in terms of how to
27 Chair an SAI. So, towards the end of my involvement
28 there were like standardised training courses available
29 regionally that we could send staff on who were going

1 to be Chairs. It meant you had a very inconsistent
2 approach to how the SAIs were being done.

3
4 There was also a real nervousness amongst some of the
5 Chairs and panels to actually interview people. There 10:58
6 is this reticence to actually get in. A lot, from what
7 I had obviously previously, was being done by note
8 review. So they would have got the notes and
9 just instead of -- when you are doing an SAI properly -
10 I am sort of doing them in retirement now - actually 10:58
11 you need to talk to the people because you need to
12 understand the situation they were in, what was
13 happening around them when they made particular
14 decisions and so on. Otherwise, you don't really get
15 to the root cause. From what I observed, there was a 10:59
16 reticence in terms of some of the staff to get in there
17 and talk to people and interview. Again, it was time
18 pressure, you know, that takes time.

19
20 Trying to get Chairs, trying to get a consistent 10:59
21 approach. Then getting SAI reports that maybe needed
22 revision because they weren't really quite right in
23 terms of they were too technical, that you couldn't
24 have shared them with the family.

25 10:59
26 The other thing we really weren't doing at that stage
27 was the proper family engagement piece around those
28 SAIs. You really need to meet the family at the
29 beginning of an SAI to understand what they want to

1 know. There is no point writing a report for a family
2 if you don't answer their questions. Again, we hadn't
3 the resource to really engage with the family. There
4 was that whole side of doing dealing with the reactive
5 piece. As I mentioned earlier, we should have been 10:59
6 much more proactive, themeing our incidents or
7 complaints as well, because quite often complaints are
8 a good way to spot an emerging issue before real harm
9 happens. Then, developing proactive things.

10 11:00
11 The other thing that came under governance at that time
12 was audit. Clinical audit had completely collapsed
13 within Acute Services in terms of there used to be an
14 excellent audit committee led by one of the
15 anaesthetists, Gail Brown, which was really good. 11:00
16 I think there was some confusion as well because
17 quality improvement had come along and there was sort
18 of where does audit fit, you know, and it had sort of
19 lost support. Then, because the consultant team who
20 were running it weren't getting the buy-in, then it 11:00
21 just sort of petered out. That's a shame because audit
22 is really useful in governance as your assurance piece.
23 So, if you had done a piece of work and you've decided
24 on your recommendations, then you should be able to use
25 your audit capacity. So maybe your junior medical 11:00
26 staff, or like my pharmacists or whatever, you would
27 have directed them to audit something for you because
28 they need to, they have to do audits as part of their
29 job and their training. So, you use that resource if

1 you are doing it properly to then assure yourself that
2 a recommendation either (1) is fit for purpose but (2)
3 continues to be followed.

4
5 It was very much we weren't doing anything well. It 11:01
6 was doing the basics but not really doing the stuff
7 that meant long-term things were going to be safer.

8 77 Q. Of course, the concern from those kinds of
9 shortcomings, ultimately it is in and around the safety
10 of clinical practice -- 11:01

11 A. Yes.

12 78 Q. -- and risk of harm to patients. Is it fair to infer
13 from what you have said that the absence of these
14 activities in the governance arena led you to be
15 concerned that the Trust didn't have available to it 11:01
16 the full picture?

17 A. Yes, I think so. I think that would be a fair point.
18 I mean, I would say the lack of governance wasn't
19 making anything more dangerous, I think it was more we
20 could have be making it safer. Also protecting the 11:02
21 staff because, I mean, staff are very traumatised if
22 they are involved in an incident. If we get it right,
23 they don't have to go through that. Obviously, patient
24 safety is the key priority but it is assistance to the
25 staff as well in terms of their experience at work. 11:02

26 79 Q. Your description just now suggests that there was no
27 lack of appetite --

28 A. No.

29 80 Q. -- and no lack of knowledge --

1 A. No.

2 81 Q. -- in terms of how to do this properly, it was
3 primarily a resource issue?

4 A. Yes. I mean, particularly -- well, just the Governance
5 team but also the consultant body. I had seen models, 11:02
6 and I proposed it at one point, that we could have
7 tried to offer maybe a half PA to a number of
8 consultants.

9 82 Q. I'm going to bring you through that.

10 A. Yes. 11:03

11 83 Q. Just before I do - maybe just scroll up to the top of
12 this page again - you say you raise concerns with the
13 Director throughout the period, as did others Assistant
14 Directors within the team. Help me if you can just
15 through this, perhaps, snapshot in time reflected in a 11:03
16 series of emails which involved you and the Assistant
17 Director, Mr. Carroll, and Mrs. Gishkori. You can help
18 to guide us perhaps in terms of what was going on.
19

20 If we go to WIT-14748. Sorry, I've got this the wrong 11:03
21 way around. If we go to WIT-14751, please. You can
22 see that the first email in this series is from Ronan
23 Carroll to Esther Gishkori, and a number of people,
24 including yourself, copied in. Mr. Carroll is perhaps
25 highlighting something that you have indirectly touched 11:04
26 upon in one of your recent answers; it is what we're
27 doing with SAIs. You talked more specifically about
28 the lack of resources to engage properly with families.

29 A. Mm hmm.

1 84 Q. Here is maybe another aspect of the problem. He is
2 saying:

3
4 "Please find attached three, there are possibly more,
5 SAIs where there is no evidence that the
6 recommendations have been actioned. "

11:05

7
8 He said:

9
10 "We agree to have three governance managers working to
11 each"

11:05

12
13 and the particular departments within Acute. He names
14 the staff and he asks for an update on the above
15 subject. So he is pointing out, is he, that it is an
16 important part of the SAI programme of work --

11:05

17 A. Mm hmm.

18 85 Q. -- that appears to be unfinished, or at least there is
19 no evidence that it has been finished; we need staff to
20 do this. Is that it?

11:05

21 A. Yes. What he is referring to there, so that is
22 finished SAIs, so they have been completed, the Panel
23 has made a number of recommendations. It's then over
24 to the team to action plan those recommendations; how
25 are they going to implement them. Ronan is obviously
26 following up there, as his responsibility as the
27 Assistant Director for Surgery. He's checking, and
28 found that that hasn't happened. Obviously he can't --
29 he's overwhelmed as well, he can't do that personally.

11:06

1 The governance team, it should have been part of their
 2 role to work with his ward managers, or whoever the
 3 recommendations were pertinent, to implement them. It
 4 wasn't peculiar to Surgery. What we did, we started a
 5 spreadsheet of all our recommendations, ,obviously 11:06
 6 something that might have happened in Surgery doesn't
 7 mean it couldn't have happened in Medicine. So, Trudy
 8 Reid and the team set up a spreadsheet that would have
 9 come to Governance of all our recommendations so that
 10 the other divisions in the Acute could look across and 11:06
 11 think, well, that could happen to me. They could then
 12 take that recommendation, even though it wasn't their
 13 SAI, and implement the learning. Ronan is referring
 14 there to, you know, we just didn't have the -- you
 15 could ask the ward managers, but again some of them 11:07
 16 were inexperienced, they needed somebody who knew what
 17 it would look like and help them through it, and also
 18 to assure that it had happened.

19 86 Q. If we just go up then to the previous page. It's now
 20 into September. He says he has received no update on 11:07
 21 the issue. I think he means more directly to staffing
 22 --

23 A. Yes.

24 87 Q. -- issue. He's proposing to bring in somebody to
 25 replace somebody else? 11:07

26 A. That's right.

27 88 Q. The circumstances of that are somewhat complex. Was it
 28 the case sometimes of trying to make the best of it and
 29 grab staff, if that's not too aggressive a verb --

1 A. No.

2 89 Q. -- where you could find them?

3 A. Yeah. I refer to them as ■■■ there; they had

4 unfortunately quite serious ill health and had had to

5 go off. They were already a displaced person who had 11:08

6 been given to the Governance team to fill a gap. They

7 then had ill health. Ronan had a sister, an ACR, who

8 due to family circumstances couldn't return to her full

9 post. Ronan was even suggesting that she could then

10 plug the gap in the Governance team to keep us going. 11:08

11 In that period that's what it was like, who was

12 available could do it. But again, no experience, not

13 necessarily comfortable in a governance role, but they

14 had been displaced.

15 90 Q. Yes. Just scrolling up, I think this is the flavour. 11:08

16 You come back on that in September, agreeing, delighted

17 to have her. I'm noting this subject title to these

18 emails, it's "Governance Structure within Acute

19 Services". You are saying we currently don't have a

20 budget for governance? 11:09

21 A. No.

22 91 Q. How would the funding work. Is that the funding in the

23 context of this particular staff member?

24 A. Yes. That staff member was actually a member of

25 Ronan's team. What I am probably alluding to there was 11:09

26 would he keep paying for the person even though they

27 were coming into a governance role, because there

28 wasn't a budget line that would have covered them

29 moving into the Governance team.

1 92 Q. Yes. Just scrolling up. Mr. Carroll says:

2
3 "We're 18 months into the restructuring. It would be
4 great to get this finally bottomed out with the
5 Assistant Directors clear who they have reporting to 11:10
6 them."

7
8 Again, was there a restructuring initiative, and is he
9 right to suggest that the progress of it was being
10 hampered or delayed? 11:10

11 A. In 2016 I'd worked with the other Assistant Directors
12 to come up with a proposed what we thought it should
13 look like at that point. We put that proposal to
14 Esther, and then obviously Mrs. Gishkori's role would
15 have been to fight our corner at SMT to get that 11:10
16 funded, to get the funding into Acute so we could move
17 forward. It didn't happen; we weren't able. This is
18 obviously Ronan saying 18 months later we are still no
19 further on, basically I read that as. The plan was at
20 that point, the proposal was to give each of the 11:10
21 divisions one/two, depending on their activity,
22 governance activity, of the Band 7s so they were
23 embedded in their team but yet they reported -- sort of
24 a bit like me, they had two bosses - they worked within
25 the divisional team but they reported as well to the 11:11
26 Governance lead - so they had that tied up, tied
27 together. They could embed training and things within
28 the division and help the ward managers with their
29 governance activities, at the same time being part of

1 the Acute Governance team. That's what we were trying
2 to get to at that point.

3 93 Q. In order to make governance fit for purpose?
4 A. Yes.

5 94 Q. If we scroll up, I think you can sense Mr. Carroll's 11:11
6 increasing frustration perhaps?
7 A. Yes.

8 95 Q. "Three months further on, we're now in January, the
9 structure we all signed up to has not materialised",
10 and he is unsure of what the structure is. 11:11
11

12 Then if we scroll up again, he refers to very specific
13 engagement with Mr. McGurgan, a coroner, and the
14 coroner's view was that, "Trusts regularly fail to
15 document comprehensively, communicate openly and with 11:12
16 an understanding of patients or relatives, and train,
17 update and provide evidence of learning."
18

19 He, that is Mr. Carroll, assumedly recognises some of
20 those coronal concerns in practice the Trust. He says: 11:12
21

22 "This again brings me to the concern with regard to the
23 above; approximately 19 months now into restructuring
24 and no further forward."
25 11:12

26 Again, just scrolling up, Mrs. Gishkori responds to
27 that, saying:
28

29 "Governance is everyone's business, especially

1 documentation, communication and communication with
2 relatives and patients."

3
4 "Training has to be initiated at operational level."

5
6 She agrees everyone does need some help with the whole
7 process of information of learning "which I feel we
8 could get better at". Then she says that a recruitment
9 process is under way to bolster the Governance team,
10 but there would only be "one of them per division.

11 There would still be responsibility for the operational
12 teams to deliver."

13
14 Can you help us with that? Can you remember what that
15 is speaking to?

16 A. My recollection of that was that it was replacement of
17 an existing member of staff. I don't remember in my
18 time having any major recruitment apart from the
19 replacement of the Governance Lead during Esther's
20 time.

21 96 Q. This wasn't new structure, new staff, this was filling
22 an existing vacant post?

23 A. Yes. Now I think, it was to be fair, it was filling
24 the post officially rather it being someone displaced.
25 It was advertised as a governance role with a job
26 description and someone actively applied for it, rather
27 than the team being given someone who maybe had been
28 displaced from another role. It was a recruitment
29 process but it was to firm up what was there with

1 people who actually were interested in being part of
2 the Governance team.

3 97 Q. Yes. Just scrolling up, Mr. Carroll says he is totally
4 unaware of any recruitment to these positions, and as
5 this person would be part of the same -- sorry, will be 11:15
6 part of the surgical division, he would want to be part
7 of the process.

8 A. Yes.

9 98 Q. Maybe he was at cross-purposes with Mrs. Gishkori?
10 A. I think so. I mean, I certainly don't remember. It 11:15
11 was more, as I say, firming up the team that was
12 already there.

13 99 Q. Yes.
14 A. The IWMH, that was the Integrated Women in Maternity
15 Services, they had appointed Band 7 midwife, which was 11:15
16 sort of along the model that we wanted for all the
17 other divisions. That's why Ronan could see that was
18 working for them, and wanted...

19 100 Q. Yes. We can see, and part of the reason I brought you
20 to this snapshot in time through the lens of 11:15
21 Mr. Carroll primarily, was that within a couple of
22 months of this you had put on paper an enhanced
23 governance structure proposal?

24 A. Yes.

25 101 Q. If we could just look at that. It's at WIT-14755. 11:16
26 It's dated 31st May 2018. If we just scroll up one
27 page, it might be easier for you to talk us through
28 this by reference to this organogram or structure. The
29 red posts, so those labelled red in terms of your

1 proposal --

2 A. Yes.

3 102 Q. -- would be new money, new posts, and blue is the
4 existing structure?

5 A. Yes. 11:16

6 103 Q. First of all, you talk about a proposal being made in
7 2016 to enhance governance, and it was away being
8 discussed, Mrs. Gishkori had to sell it. We have seen
9 how Mr. Carroll was bemoaning the lack of progress on
10 that. Is this more of the same in terms of what had 11:17
11 been proposed in 2016 --

12 A. Yes.

13 104 Q. -- and if so, why the timing, why now?

14 A. That's me having another go at it in terms of enhancing
15 what we need. We hadn't got really anything. We maybe 11:17
16 had a couple of people join the team at that point
17 because, yes, if you see on the very left it says,
18 "Patient safety, quality, and equipment, point of care
19 testing", POCT. Between the labs and ourselves, we
20 managed to go at risk. So that was a new person. They 11:18
21 weren't new to the Governance team. There was a whole
22 new role had to be covered, so that's where they came
23 from. But the rest of the team hadn't really changed.

24

25 At that point I suppose I had another go at it because 11:18
26 it was becoming increasingly difficult to get -- the
27 Governance team were finding it difficult to get
28 consultant time either to lead the standards and
29 guideline changes or to investigate the SAIs.

1 I enhanced it by not only having the red posts that
2 Ronan - and the other ADs, it wasn't just Ronan at our
3 meetings, they were all pushing for this - but that was
4 to try and get... If you see the nurse, the right-hand
5 red box, and it's nurse, three whole time equivalents 11:18
6 Band 6. It was pretty obvious we weren't going to get
7 Band 7 funding, so as Assistant Directors we talked
8 about it and thought, well, if we could embed
9 governance nurses into the team, that might be more
10 practical. So, we'd gone for that. Then at the same 11:18
11 time, the audit we were trying to -- Esther was very
12 keen on trying to get to audit back up and running.
13 She had seen a model - from memory, it was Esther was
14 really keen on that - seen a model in the South Eastern
15 Trust where she'd come from, and felt that would have 11:19
16 been very useful. We were keen for that as well.

17
18 Then the little boxes down the right -- the left-hand
19 side, sorry, were a proposal that I had seen elsewhere,
20 I think in our Mental Health Directorate, where they 11:19
21 had a number of consultants who had protected, I think
22 it was a half PA, and that's what I had proposed. They
23 had a half PA protected for governance. The way it
24 would work, I proposed that we would take these
25 consultants in Acute, train them through the available 11:19
26 regional programme to be Chairs of SAIs and Governance,
27 and it would be a system where the next SAI came up,
28 unless they had a conflict of interest, they did it so
29 they got a lot of experience. That's how it worked in

1 Mental Health, from my understanding. That way we had
 2 -- we were building their governance experience for the
 3 whole team not, just for the SAIs. We thought that
 4 would be a good way. Also, if you had a half PA,
 5 you're able to hold to account in terms of delivery, 11:20
 6 whereas if it is not someone in someone's job plan,
 7 it's not fair to ask them, you know, they are doing it
 8 as a favour or goodwill on top of their already full
 9 role.

10 105 Q. That proposal - sorry to cut across you - perhaps 11:20
 11 dovetails quite nicely with some of the evidence that
 12 the Inquiry has heard about the difficulties around
 13 SAI; first of all, getting somebody prepared to do it?

14 A. Mm hmm.

15 106 Q. The time commitment in the context of an otherwise busy 11:20
 16 clinical practice. Perhaps some issues around
 17 independence.

18 A. Mm hmm.

19 107 Q. Some issues around getting the right person in terms of
 20 expertise for the areas. Were those the kinds of 11:21
 21 problems that you were aware of?

22 A. Yes, certainly. I mean, although the consultants would
 23 have helped, but they just didn't have the time; they
 24 knew they didn't have the time. A lot of them didn't
 25 want to do it halfheartedly. If you were going to do 11:21
 26 it, it had to be done well. Particularly, the reports
 27 are being -- you are the advocate for the family when
 28 you are leading an SAI, so they had to be fit for
 29 purpose. So, that's what we were facing.

1
2 That, plus then we couldn't get -- increasingly we were
3 having to go back corporately and said we couldn't get
4 any of the consultants to lead the implements on new
5 standards in guidelines. We just couldn't do it. 11:21

6 108 Q. Thank you. I'll just point this out to the Panel.
7 Below this table is a two-page report, quite a concise
8 report, which speaks to much of what Dr. Boyce has just
9 said orally. I don't think I need to go to it directly
10 but it is there for the Panel to read. 11:22

11
12 I am almost afraid to ask this question: Was this
13 delivered during your time?

14 A. No. I think that was around 2018 when Mrs. Gishkori
15 had various periods of ill-health. The plan was that 11:22
16 Esther was taking this. That's why the two-page
17 briefing note was with this, so that Esther could take
18 it to the Chief Executive at her one-to-ones and pitch
19 to get it funded. I understand from the timings that
20 Esther was off for periods of time. At one point 11:22
21 during that phase, Anita Carroll was acting into the
22 role with other Assistant Directors. To be fair,
23 Anita, she chased it up; she realised we didn't know
24 where it was because Esther was off. You know, was the
25 Chief Executive, I think it was Mr. Devlin at the time, 11:23
26 aware of it or not. So then Anita took it to Shane, I
27 understand, to check. But it was never funded
28 certainly in my time.

29 109 Q. You were able to step away from these extra governance

1 duties in June 2019 --

2 A. That's right.

3 110 Q. -- when Mrs. McClements replaced Mrs. Gishkori on a
4 permanent basis?

5 A. Yes. 11:23

6 111 Q. And, in fact, into interfacing role with the governance
7 lead.

8

9 was there any development between the date of this
10 paper, which I think I have said already was May '18 11:23
11 through to June '19 --

12 A. No.

13 112 Q. -- were there any developments to ease the burden in
14 governance?

15 A. Not that I recall. Now, Trudy might be better in terms 11:24
16 of her being hands-on but certainly not... No.

17 113 Q. Okay. One of the symptoms, I think, or one of the
18 incidents that emerged, as you have been describing in
19 your evidence already, because of the resource issues
20 in governance was you found a series or the team found 11:24
21 a series of incident reports that had not been opened?

22 A. Mm hmm.

23 114 Q. You mention this in your statement. Maybe it's
24 convenient to go to that.

25 CHAIR: will we take a short break or do you want to 11:24
26 deal with this issue first?

27 MR. WOLFE KC: Five minutes and then deal with this.

28 Thank you. WIT-87671. At 43.4 you describe the issue.

29 When you took over this governance role in October '14,

1 you realised there was a backlog of unopened incident
2 reports.

3
4 "This backlog had not been estimated before and was
5 unknown to the Director, Debbie Burns. These incidents 11:25
6 once reviewed led to a backlog of SAI reviews."

7
8 what had happened? Was there some technical mishap or
9 was it a case of staff not opening what had been sent?

10 A. So, the IR1s had come in and obviously the IR1 system, 11:25
11 the Datix system, when an incident report is made,
12 there is an automatic email based on what the staff
13 have ticked. You know, if it is surgery, if it is
14 medicine, it automatically e-mails. It is then
15 incumbent on, say, the ward manager to open the 11:26
16 incident, look at it, escalate if necessary. When
17 I started to help out in October '14, I mentioned I
18 think previously one of the first things we did was try
19 and get some rigour into reporting of data so that the
20 Assistant Directors could see what they were dealing 11:26
21 with every week. When we did that and we started to --
22 I wasn't an expert on Datix and I never was, but the
23 admin team, I found, had really good working knowledge
24 so I left them to develop a report, weekly report, that
25 showed the IR1 reports in terms of what was unopened, 11:26
26 and when it was opened, it was called "under review"
27 and then closed. Every week the Director started to
28 get like a little table that showed how many IR1s in
29 their area, their division, were unopened, under review

1 and so on. Once we ran that -- we ran that in the
2 first couple of weeks and immediately came to notice
3 that I think there was over 300 sitting unopened. When
4 you think it wasn't just one person, it was spread
5 across the whole. So it might have been each ward 11:27
6 manager, maybe they had five or six or something
7 different departments, but the total was 300 or three
8 something, three hundred and... Yeah. Obviously
9 that's a risk you don't know what's in there. There
10 could have been obviously some very serious incidents 11:27
11 in there. Once we realised that - and it had been
12 building up over a period of time, maybe six/nine
13 months some of them, looking back - so we had to decide
14 at that point we needed -- so myself and Mrs. Burns,
15 Debbie, had a plan with the other Assistant Directors 11:27
16 to get those opened. Once they were opened, i think
17 approximately around 10%, maybe around mid-20s, SAIs
18 came out of that.

19 115 Q. Yes.

20 A. Obviously that immediately put us on the back foot in 11:28
21 terms of it was already challenging getting the SAIs
22 done, but to add 20 in a matter of weeks was a big
23 challenge. I would say it probably took a number
24 of years, maybe two years, to get back, get those done
25 and get back to the point that we were doing the ones 11:28
26 that were coming in, you know, reviewing them in a more
27 contemporaneous position.

28 116 Q. I don't need to bring it up on the screen but this was
29 the subject of discussion at the Acute Directorate

1 meeting?

2 A. Yes, very much so.

3 117 Q. It was that meeting, if you like, superintended the
4 process of bringing a solution to this; isn't that
5 right? 11:28

6 A. Yes.

7 118 Q. The reference, just for the Panel's note, is WIT-88169.
8 It's agenda item 9. Reports were generated to ensure
9 that members of that meeting were appraised of what was
10 going on and how it was being progressed? 11:29

11 A. Yes.

12 119 Q. What had happened to cause it in the first place? You
13 say it was spread across different wards, different
14 units, it wasn't just one place that wasn't opening
15 these. 11:29

16 A. Mm hmm.

17 120 Q. Was it a lack of supervision for the reasons that are
18 now well-rehearsed in your evidence? You didn't have
19 enough governance people on the ground to push this?

20 A. I think so. I think as well it was almost hidden 11:29
21 because we didn't have that suite of reports that make
22 it immediately visible, because as soon as it was
23 visible, all the Assistant Directors -- so we had,
24 first Tuesday of the month in our Acute meetings was
25 our governance focus. Once we started to bring those 11:29
26 reports, obviously the Assistant Directors saw, they
27 took on board their sections and with their team then
28 addressed it and got them opened. But I think it had
29 just built up gradually. Again, because there was

1 that -- (1), the lack of visibility but also the lack
 2 of resource to prod from the Governance team, to go
 3 what's happening and to do that.

4
 5 I think the biggest thing was the lack of visibility, 11:30
 6 we didn't have those reports regularly running. After
 7 that, they ran every week. The administration team,
 8 and Governance and the Acute were excellent, they were
 9 very, very good. They took those reports on and
 10 developed them themselves, and they became even better. 11:30
 11 They've developed different reports for us as well.

12 121 Q. Yes. Beyond the delay that you have spoken of in
 13 ultimately finding the resources to progress the twenty
 14 something SAIs that emerged from that 300 case backlog,
 15 apart from the delay were there any other implications 11:30
 16 arising out of this shortcoming?

17 A. I don't think so at that time because we caught it.
 18 I mean, obviously 300 was a lot. Obviously one of the
 19 things would have been obviously maybe it could have
 20 been a six-month delay in a family being told that 11:31
 21 their loved one, or their own case, was going to be a
 22 SAI, which isn't -- that's not good in terms of family
 23 engagement. If someone has maybe dealt with an issue
 24 emotionally and then we come back and tell them
 25 actually something had gone wrong in their loved one's 11:31
 26 care, that's not good.

27 122 Q. Sorry, finish your answer.

28 A. You're okay.

29 123 Q. I was going to ask did the Trust learn any particular

1 lessons as a result of discovering this?

2 A. I couldn't say on behalf of the Trust but certainly
 3 I think at the time it was a particular acute problem.
 4 It wasn't, the other Directorates of the Trust, their
 5 governance, maybe apart from Mental Health but the 11:31
 6 others were much smaller. They didn't get anywhere
 7 near the number of complaints and IRIs that Acute does.
 8 I think it had just been a backlog that Acute had
 9 developed. I think in the other directorates, the
 10 governance was much easier to keep on top of with the 11:32
 11 resource.

12 124 Q. Can I beg the Panel's indulgence and completely finish
 13 this off? I know that Mrs. Gishkori has provided some
 14 evidence around this and if I can have your response to
 15 that in much the same way as you responded to the 11:32
 16 earlier Mrs. Gishkori evidence I raised to you. It's
 17 the transcript at TRA-03071. If we just go down, she
 18 is here talking about different governance issues that
 19 she had to face when coming into the post. If I can
 20 take it up at line 17: 11:33

21
 22 "...for example, when I came into my position there
 23 were more than 200 Serious Adverse Incidents that
 24 hadn't been reported on, more than 200. But this team
 25 began very quickly to look at those serious adverse 11:33
 26 incidents to get teams together. It was difficult
 27 because there had to be one of the surgeons or
 28 physicians or whoever it was on the team, so by the
 29 time I pulled the team together and then they sat, they

1 looked into it and they followed the SAI procedure, and
2 by the time I left most of those SAIs had been reported
3 or were being dealt with."

4
5 She goes on to deal with another issue.

11:33

6
7 were there 200 SAIs not reported on?

8 A. No. I think maybe she's got a little confused.

9 I think she maybe is harking back to the fact that
10 there was the 300 plus unopened incidents which then
11 led to a number of SAIs, and those SAIs obviously we
12 had -- the backlog would have still been in Esther's
13 time. So, we discovered the 300, and then Debbie and
14 the team came up with a plan to get them opened. Then
15 I think it was an additional 21, 22. Sorry, I can't
16 remember exactly.

11:34

17 125 Q. Yes.

18 A. It's always approximately 10%, 8 or 9% will convert to
19 something more serious. I think maybe Esther has got
20 it little confused there and it was actually the
21 backlog from the 200 IRIs that were dealing with. We
22 had a backlog of approximately 20 SAIs that we were
23 still working through. Yes, by the time Esther left
24 the Trust, we had that cleared. We were back on to
25 doing current SAIs.

11:34

26 126 Q. I think you suggested that it was an issue that was
27 known about and well known about and being dealt with
28 before Esther Gishkori took post. It was an issue
29 during the time of Mrs. Burns, for example?

11:35

1 A. That's correct.

2 127 Q. She says, as I have read out, at line 21, "It was
3 difficult because there had to be one of the surgeons
4 or physicians or whoever it was on the team."

5

11:35

6 She is suggesting she pulled the team together to
7 address these issues.

8 A. That wouldn't have been my understanding. The way we
9 did it at the screening meeting -- remember I mentioned
10 that we set up screening meetings in these divisions. 11:35
11 When the screening team decided that it was an SAI,
12 they decided on the level of the SAI, whether it was
13 going to be Level 1 or 2, or it needed to be referred
14 corporately if it looked as though it was going to be a
15 Level 3 which is the most serious that maybe had other 11:36
16 Trusts involved and so on. They would have decided the
17 level, but also they would have proposed the team at
18 that point who needed to be on. With the AMD present,
19 they would have allocated the Chair from one of the
20 consultant body, obviously taking into account 11:36
21 conflicts of interest and so on. The AD quite often
22 would have suggested the other Panel members. We
23 always try to keep it three/four; not let the Panel get
24 too big.

25 MR. WOLFE KC: Okay. We can close that issue here and 11:36
26 take our break.

27 CHAIR: Come back at 11:55, everybody.

28

29 THE INQUIRY BRIEFLY ADJOURNED AND RESUMED AS FOLLOWS

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CHAIR: Thank you, everyone. Mr. Wolfe.

MR. WOLFE KC: Dr. Boyce, could I bring you to your witness statement at WIT-87673 and at paragraph 41. Just scroll back. Paragraph 41. Sorry, let me just check the reference. 44. The proper reference is paragraph 44.1, WIT-87673. Here you detailed what you say was the inadvertent witnessing of a telephone conversation between Mrs. Gishkori and the then Chairman of the Trust Board, Mrs. Brownlee. I think in your addendum statement, just looking at the use of the word "investigate" in that last line of 44.1, you have changed that to "addressed" or "address".

A. Yes.

128 Q. What this sentence should read as:

"I would like to add information about a telephone call that I inadvertently witnessed as I think it may be evidence of some level of pressure on one of the Acute Services Directors who did not fully address Mr. O'Brien's practice."

That Acute Service Director was Mrs. Gishkori?

A. That's correct.

129 Q. Why did you change the word "investigate" to "address"?

A. When I re-read it, I just felt I'd picked the wrong word, you know. It wasn't really her role to investigate but obviously to address. You know, I felt it was more appropriate. It was just when I read it

1 again, I felt uncomfortable with what I'd written the
2 first time.

3 130 Q. Yes. Just in terms of your sense that she didn't fully
4 address Mr. O'Brien's practice, you are writing this
5 statement in 2022, I believe? 11:59

6 A. Yes.

7 131 Q. What was your sense of her failure to address or fully
8 address Mr. O'Brien's practice? Where did that come
9 from?

10 A. I think now that I have become more aware of other 11:59
11 issues that were happening certainly that I wasn't
12 aware of around the time I was involved in maybe
13 escalating to concerns I had, there was other stuff
14 going on obviously in the background that I was unaware
15 of. Now I am aware of that. From just looking at it, 11:59
16 it was almost as if nobody really took charge of what
17 was going on and led it. I think it was just
18 circumstances at the time, changes of personnel. It
19 was just -- I think I have explained my understanding
20 later on. 12:00

21 132 Q. Yes.

22 A. But, you know, that was sort of looking at it now.
23 Last year, that was sort of the impression I formed.

24 133 Q. So it's an impression you formed, not in realtime,
25 certainly not at the time of this witnessing of a 12:00
26 telephone call?

27 A. No. No.

28 134 Q. But looking at all of the papers, you have formed the
29 conclusion, with the benefit of those papers, that

1 Mrs. Gishkori did not fully address Mr. O'Brien's
2 practice?

3 A. Yes.

4 135 Q. On to the nub of what you are saying here. You say
5 you cannot remember the date of the meeting, you didn't 12:00
6 make a note of it.
7

8 "However, I note that it must have been after the
9 concern in relation to Mr. O'Brien's triage practice
10 was identified." 12:01
11

12 Let me see if we can help place this in chronological
13 order. We know that the Oversight Group, which
14 included Mrs. Gishkori amongst its membership, met for
15 the first time in September 2016, 13th September 2016, 12:01
16 to consider a screening report which had been prepared
17 by Simon Gibson which addressed aspects of
18 Mr. O'Brien's practice. That's one temporal pillar.
19 Another might be a 22nd December Oversight Group
20 meeting, 2016 again, that you attended -- 12:02
21 A. Mm hmm.

22 136 Q. -- at which concerns about Mr. O'Brien's practice were
23 clearly further discussed and a decision made to
24 commence a formal MHPS investigation. Doing your best,
25 you think it was sometime between those two pillars or 12:02
26 after? Have you any sense of what is more likely,
27 reflecting upon it?

28 A. It would have definitely been after, because when,
29 obviously inadvertently, I was in the room when the

1 conversation happened on the phone, and then Esther
2 Gishkori said what she said to me afterwards, because
3 obviously I didn't hear both sides of the conversation,
4 I understood the context in terms of the situation with
5 Mr. O'Brien's triage at that point. So, I only became 12:02
6 aware of that in November 2016 --

7 137 Q. Mm hmm.

8 A. -- when the Patient 10 SAI was brought to my attention
9 and the action I took after that. So the first
10 Oversight meeting I was in attendance at - I wasn't a 12:03
11 member - was December 22nd, and then I was at a second
12 one in attendance in 10th January 2017. Then that was
13 me out of the process.

14 138 Q. Yes.

15 A. But certainly in my trying to get it in the chronology, 12:03
16 it would have been after that second oversight, so
17 maybe sometime in the spring.

18 139 Q. We'll come in moments to look at what was said or what
19 you understood was said during that telephone
20 conversation that you witnessed. Are you telling the 12:03
21 Panel, in terms of trying to date-stamp it, that what
22 you became aware of during that conversation was
23 something that you had some knowledge of because of
24 your involvement at the Oversight Group meetings?

25 A. Yes. My involvement in escalating a concern from an 12:04
26 SAI Panel that then resulted in me being invited to
27 attend two of the Oversight meetings. I was actually
28 unaware -- I actually, I think, in my statement called
29 the December 22nd the first Oversight meeting because

1 I didn't realise there had been one in December. I was
 2 totally blinded to that. My first experience or
 3 knowledge of an Oversight meeting was late
 4 December 2016.

5 140 Q. Mm hmm.

12:04

6 A. To me, that conversation, if I place it at all, had to
 7 be after that point.

8 141 Q. Yes. Let me just test that recollection or that, it's
 9 probably fair to call it an approximation, that it
 10 happened after that second Oversight Group attendance
 11 by you.

12:04

12 A. Mm hmm.

13 142 Q. There is a document which comes from one of
 14 Mrs. Gishkori's red book notebooks. We can find it at
 15 WIT-164694. Sorry, it should be TRU-164694, I beg your
 16 pardon. This is an entry from Mrs. Gishkori's
 17 notebook. We found it in this notebook located between
 18 a dated note of 5th September 2016 and another dated
 19 note of 13th September '16, so it is an entry in the
 20 notebook between those two pillars. This entry isn't
 21 dated, but of relevance we can see that the name
 22 Roberta is mentioned, the use of the
 23 word "inappropriate", and we can see that your name,
 24 Tracey, is included. Your meeting with Mrs. Gishkori
 25 where Mrs. Brownlee, you said, said something
 26 inappropriate to Mrs. Gishkori as witnessed by you,
 27 could it have taken place between 5th September and
 28 13th September 2016, or can you otherwise help us by
 29 way of explanation as to what the entries on this

12:05

12:06

12:07

1 notebook might mean?

2 A. I don't think it is that record but I can help you
3 understand that note. After it was included in my
4 bundle last week, I done a bit of sort of looking at
5 dates and meetings and so on. That word 12:07
6 "omitted/delayed" is actually, you can see it there,
7 there is like circles, sort of slight circles around
8 it. That is the title of a required audit that Trusts
9 have to do. It is related -- it's a very pharmacy
10 driven audit, so I am over that a lot or was over it. 12:08
11 It came before the back of an MPSA report in 2010 about
12 the harm done by medicines being inappropriately
13 omitted and delayed. That ties in with the word
14 "inappropriate" as well.

15 12:08
16 Every year, the pharmacist and the ward managers
17 complete a large audit across the Trust of omitted and
18 delayed medicines. So they looked at patient/inpatient
19 prescriptions and records of administration, and look
20 for where patients hadn't received their medicine for 12:08
21 whatever reason, and looked at why they had not
22 received. It is actually a very complex audit to
23 understand because there are times where it's
24 appropriate not to give a medicine, and then there are
25 times where it's inappropriate because it's just been 12:08
26 forgotten or whatever, and it can have significant
27 consequences.

28
29 we were doing that audit every year, and when I checked

1 the dates around that time, I presented the high level
2 findings of the annual audit at the Acute Governance
3 meeting on 6th September in 2016. So, I would have
4 given the other Assistant Directors an acute heads-up
5 in terms of what was coming out of that audit, and 12:09
6 obviously Esther was in attendance that day. Later
7 that week, 8th September was Trust, the corporate
8 governance meeting. Now, I went on leave on the
9 Wednesday, so there was the Tuesday, then the
10 Wednesday. So I wasn't there on the Thursday, 12:09
11 8th September, to present my medicines governance
12 report.

13
14 So when that happened, it happened very occasionally,
15 Esther, as my director, would have introduced the 12:09
16 report and then asked the non-executive directors or
17 the other directors if they had any questions, to
18 e-mail them to me and I would deal with me when I came
19 back from leave. I checked, and with some of the
20 team's help, I believe the Chair was in attendance at 12:10
21 that. She wasn't a member of the meeting but she would
22 have attended in her role as the Chair of the Trust.
23 So, she was in attendance on 8th September.

24
25 Putting things together, I have checked the minutes and 12:10
26 it's not recorded in the minutes, and the delay and
27 omitted audit wasn't in my report to the meeting, so
28 I am assuming Esther maybe mentioned it at that meeting
29 and that's how the Chair heard about it around that

1 time, in early September. I don't ever remember Esther
2 ever mentioning it to me. As I say, it ties in with
3 the wording there because it is a tricky audit to
4 understand, there is a lot of detail in it.

5 143 Q. Very good. So this note doesn't purport to record -- 12:10
6 A. No.

7 144 Q. -- the meeting that you attended?
8 A. No.

9 145 Q. So far as you are concerned, you think it must have
10 been much later, and probably in 2017 -- 12:10
11 A. Yes.

12 146 Q. -- when you witnessed the telephone call.
13 A. That's correct.

14 147 Q. Let's go to the substance of the telephone call. If we
15 go back to your statement, please, at WIT-87673. If we 12:11
16 scroll down to 44.4. Just up a little bit. It was a
17 one-to-one meeting between yourself and Esther. In her
18 office?
19 A. Mm hmm.

20 148 Q. On the administration floor. You were updating her on 12:11
21 pharmacy responsibilities. The telephone rang and you
22 realised that Esther was speaking to Mrs. Brownlee.
23 You indicated that you would leave --
24 A. Mm hmm.

25 149 Q. -- to maintain privacy, but Esther said you should stay 12:12
26 or you could stay. So, you remained?
27 A. Yes.

28 150 Q. Did you remain throughout the duration of the telephone
29 call, to the best of your knowledge?

1 A. Yes. I mean, it wasn't a long conversation. Yes.

2 151 Q. Yes. You state that you couldn't hear what

3 Mrs. Brownlee was saying. However, you recall that

4 Mrs. Gishkori did not say very much in response to

5 Mrs. Brownlee during the call and that she became very 12:12

6 flustered. Is that she became very flustered during

7 the telephone call?

8 A. Yes.

9 152 Q. How was that manifested?

10 A. Hmm, when Esther became flustered, she was very red. 12:12

11 You know, she became very red in the face. Just

12 experience of working with her, you knew someone well,

13 you know, you knew that they were uncomfortable.

14 153 Q. She didn't say very much?

15 A. No. 12:13

16 154 Q. I'm not asking you to guess but can you remember what,

17 if anything, she said or the general gist of what she

18 said?

19 A. No. To be honest, she hadn't told Mrs. Brownlee I was

20 in the room, which if I was taking a call from someone 12:13

21 during a meeting, I would have told the person I was

22 taking the call from there was someone else in the room

23 out of courtesy to the person. So, she hadn't.

24 I almost purposely didn't take in, I think, what was

25 being said because it was private between them. 12:13

26 155 Q. Yes.

27 A. You know, it was only really after the call then that

28 Esther told me what it had been about.

29 156 Q. Yes. You pick that up in the next paragraph at 44.5:

1
2 "When the call ended, Mrs. Gishkori told [you] that the
3 Chair had asked her to leave Mr. O'Brien alone as he
4 was an excellent doctor and a good friend of hers who
5 had saved her life, the life of one of her friends." 12:14

6
7 Just in relation to that, was this volunteered to you
8 by Mrs. Gishkori?

9 A. Yes.

10 157 Q. Immediately after the call ended? 12:14

11 A. Yes. I mean, I obviously didn't ask what it was about
12 but Esther immediately told me that. That phrase has
13 always stuck in my head, the bit in quotes because
14 that's the actual bit I could remember in terms of
15 wording, because obviously it wasn't something I was 12:14
16 expecting to hear.

17 158 Q. There's a piece in quotes and the rest of it
18 "Mr. O'Brien being an excellent doctor and saving the
19 life of one of her friends" isn't in quotes?

20 A. No, it's more the gist of what I remember. Yeah. 12:14

21 159 Q. This part of the conversation about that call, how long
22 did that persist?

23 A. I mean, it was only literally a sentence or two. Then,
24 obviously it was quite an odd situation. To me, the
25 appropriate thing was that she needed to tell someone 12:15
26 that that conversation had happened in terms of her
27 line manager, which would have been the Chief Executive
28 obviously. It just didn't sit right with me that she
29 was getting a phone call like that. Obviously I only

1 have what Esther told me was said. I didn't hear any
2 of the conversation.

3 160 Q. Yes. She was flustered on the telephone call itself.
4 Did she remain ill at ease during her conversation --

5 A. Yes. 12:15

6 161 Q. -- with you?

7 A. Yes.

8 162 Q. Did you sense that she was taken aback about what had
9 just transpired?

10 A. Yes. I think, yeah, that would have been my 12:16
11 impression.

12 163 Q. But for your own part, you didn't listen to --

13 A. No.

14 164 Q. -- or take a particular interest in what she, if
15 anything, said back to Mrs. Brownlee? 12:16

16 A. No, I didn't.

17 165 Q. What view did you form yourself about what had been
18 reported to you?

19 A. It was inappropriate. Obviously, as I say, I didn't
20 hear both sides of the conversation and, as I say, 12:16
21 Esther didn't say very much in reply during the
22 conversation from my recollection. I mean, if that was
23 what was said, that's not appropriate. There should be
24 no outside influence on any. Obviously I was aware
25 there was a process at that point, that's why I can 12:16
26 sort of place it. I was aware of a context in terms of
27 the process going on around Mr. O'Brien's practice.
28 Any undue influence from outside would have been
29 inappropriate.

1 166 Q. Mm hmm. Depending on the timing of the call. It might
2 have been after the governance, so the Oversight
3 Committee, had taken a view that this needed to be
4 formally investigated within MHPS?

5 A. Yes. 12:17

6 167 Q. Did you form the view that this is what Mrs. Brownlee
7 was phoning in relation to? Did you join those dots or
8 how did you rationalise it?

9 A. I suppose those are the only dots I was aware of, if
10 you know what I mean. To me, that was my understanding 12:17
11 of the context because I was only had those two
12 Oversight meetings that I was at in attendance. Then
13 after that, I wasn't really aware. I knew, I suppose
14 from being representing or covering for Esther,
15 corporate governance, occasionally I would have seen -- 12:18
16 the agenda would have been the shared and there was a
17 confidential section on Corporate Governance. Because
18 I wasn't a Service Director, even though I was covering
19 for Esther, I wasn't present for the confidential
20 section but the whole agenda was shared and 12:18
21 occasionally you would have seen update on AOB.
22 I suppose I did know in the back of my head there was
23 still something happening but I wasn't privy to any
24 detail as to what it was.

25 168 Q. You told her, and we can see it at 44.6, to document 12:18
26 the call and speak to the Chief Executive. If we just
27 go over the page, you say you don't know whether that
28 was done --

29 A. No.

1 169 Q. -- by her, and it was never mentioned to you?
2 A. No, no, it wasn't.
3 170 Q. Did you mention it to anybody?
4 A. No. As I say, I shouldn't have been in the room, you
5 know, so it wasn't my place to mention it any further. 12:19
6 171 Q. We have not received an account from Mrs. Gishkori in
7 relation to that call and we'll no doubt hear her
8 recollections of it when she comes to give evidence
9 again.
10
11 Could I just put up on the screen Mrs. Corrigan's
12 recollection of how it came to her notice. WIT-26225.
13 She reflects in her statement two episodes where
14 Mrs. Brownlee is said by her, or she's heard that
15 Mrs. Brownlee has intervened. The second one is where 12:20
16 she says:
17
18 "I also understand that in mid-2016 Mrs. Gishkori
19 received a phone call from the then Chair of the Trust,
20 Mrs. Brownlee, and was requested to stop an 12:20
21 investigation into Mr. O'Brien's practice. Once again
22 I did not witness this but I was told later by
23 Mr. Carroll that it happened as my understanding is
24 that Mrs. Gishkori had told some of her team."
25
26 She has it in mid-2016, although she wasn't obviously
27 directly party to either the conversation or a direct
28 report from Mrs. Brownlee. She heard it from
29 Mr. Carroll.

1 must be wrong as well, Dr. Boyce. Your response to
2 that?

3 A. Well, I mean, I was in the room when that phone call
4 was received. Now, to be fair to Mrs. Brownlee,
5 I didn't hear what she said to Esther; I only was aware 12:23
6 of what Esther told me afterwards. But I do recall it,
7 definitely. As I say, it stuck in my mind and it was
8 something when I was asked was there anything else
9 I should disclose, in the interests of being open it
10 was something I witnessed during my time in that role. 12:24

11 174 Q. Yes. Very well. Thank you for that. You have
12 indicated within your witness statement that you had
13 two concerns, or two concerns concerning Mr. O'Brien
14 came across your desk metaphorically during your time
15 within the Trust. The first issue I want to explore 12:24
16 with you is a concern was drawn to your attention about
17 his prescription or use of an antibiotic known as
18 gentamicin?

19 A. Gentamicin, yes.

20 175 Q. Let's look at how this came to your attention. If we 12:24
21 go to WIT-87655. If we pick up at 27.2, you have said
22 that one of the experienced clinical pharmacists who is
23 based in Craigavon Area Hospital surgical wards asked
24 to speak to you about a clinical concern she had not
25 been able to resolve herself. She was aware of a 12:25
26 number of patients who had been admitted for five or
27 more days to receive an infusion of gentamicin at
28 Mr. O'Brien's request.
29

1 Doing the best, can you recall who this experienced
2 clinical pharmacist was?

3 A. I am 90% certain it was a pharmacist called Claire
4 Ward.

5 176 Q. Claire Ward? 12:25

6 A. Yes. She was based on the surgical wards at the time.
7 We didn't have a pharmacist for every surgical ward, we
8 just had one, and another pharmacist who would have
9 worked more on gynae surgery and so on who would have
10 covered. I would be 99% certain it was Claire Ward. 12:26

11 177 Q. You described her as experience?

12 A. She was an excellent pharmacist, clinical pharmacist.

13 178 Q. Her account to you was specifically in relation to
14 Mr. O'Brien's conduct; is that right?

15 A. That's correct. 12:26

16 179 Q. No other clinician or consultant was reported to you?

17 A. Not that I was aware of at the time.

18 180 Q. Did you subsequently gain an understanding that, in
19 terms of this practice, Mr. O'Brien and Mr. Michael
20 Young were engaged in it? 12:26

21 A. Yes. Obviously in the bundle of papers I received and
22 I have read, obviously I now understand that Mr. Young
23 may have been, or was, also admitting patients for
24 gentamicin.

25 181 Q. She says that, you recall -- if it was Mrs. Ward? 12:27

26 A. Yes.

27 182 Q. Hadn't been able to resolve the issue herself. Do you
28 recall what actions, if any, she may have taken to try
29 and resolve it?

1 A. Well, experienced pharmacists like herself based on the
2 ward would have addressed it directly with the
3 admitting consultant and their team. Obviously she
4 could see that the patients weren't ill at the time of
5 their admission, they had no underlying infection, and 12:27
6 they were also receiving subtherapeutic doses of
7 gentamicin. Obviously, that's a big risk from all
8 sorts of angles in terms of promoting future resistance
9 to that antibiotic, which, if the patient did admit get
10 admitted with a life-threatening infection or so on, 12:27
11 the antibiotic mightn't have worked at that moment they
12 needed it. Even though the patients weren't being
13 harmed at the time, they were being at risk.

14
15 Also having read the bundle, I understand some of the 12:28
16 antibiotics were being given by central lines as well
17 which I had no awareness at the time. Again, I don't
18 understand why a central line would have been needed.
19 Again, that's a big risk. But obviously that wasn't
20 part of my understanding at the time. 12:28

21 183 Q. Yes. Were you told that she tried to address it or
22 sought to address it with Mr. O'Brien but it wasn't
23 resolved? Was that your expectation of what she would
24 have done?

25 A. My expectation, and also that's why she was coming to 12:28
26 me, because that was our sort of escalation. If a
27 pharmacist was concerned about a clinical issue, they
28 were expected to deal with it directly themselves with
29 the consultant because that's where the relationship

1 was, they are part of the clinical team on the ward.
 2 If something that was concerning them persisted, then
 3 they escalated it to myself to try and address on their
 4 behalf.

5 184 Q. Tell me a little about gentamicin. Is this a regularly 12:29
 6 used antibiotic; is it particularly potent or toxic;
 7 what's the concerns around it?

8 A. It's quite an older antibiotic but it's still in use.
 9 It's an aminoglycoside antibiotic. It can have
 10 particularly nasty side effects in higher doses or 12:29
 11 prolonged doses. It can cause deafness, kidney damage.
 12 When we use it to treat an active infection, we
 13 actually monitor the blood level of gentamicin to make
 14 sure that it doesn't creep up, or the patient is not
 15 retaining it so it doesn't become toxic. It's in 12:29
 16 common use. It would be held as a stock item on most
 17 of the surgical wards.

18
 19 So, the way the front pharmacy works - or certainly in
 20 our hospital works - was all the wards had a basic 12:30
 21 level of stock that they kept in their medicines
 22 cupboards. We would have had experience in pharmacy,
 23 we knew what a general surgical ward needed every week.
 24 Rather than the nursing team having to order every item
 25 they needed on a daily basis up and down to pharmacy, 12:30
 26 we would have held -- stocked the cupboards on the ward
 27 for them. If they needed to start a gentamicin
 28 infusion, they didn't need to contact pharmacy, they
 29 had it available in the cupboard. Once a week then the

1 pharmacy technical team would have gone up and, as it
 2 is called, topped up their stock. They had an agreed
 3 level they would have held every week. My team would
 4 have gone up, saw what they used and replaced it,
 5 basically. Gentamicin would have been a stock item on 12:30
 6 a surgical ward.

7 185 Q. Yes. If we just scroll down a little. I think in 27.3
 8 you say in short form what you have just said. At 27.4
 9 you outline the pharmacist's concerns. You say that:

10
 11 "The dose was subtherapeutic. There was no sign of 12:31
 12 infection with the patient who was being treated with
 13 it. Patients appeared clinically well. She had spoken
 14 to staff and understood that the dose was to be used as
 15 specified by Mr. O'Brien." 12:31

16
 17 what does subtherapeutic mean in that context?

18 A. Obviously based on patient's -- an adult patient, their
 19 weight and so on, there is a dose that you would start
 20 at to make sure you don't overdose, but you also don't 12:31
 21 want to underdose, to make the antibiotic work. There
 22 would be a therapeutic dose in gentamicin that you
 23 would initiate with a patient. As I say, you would
 24 have done what's called a trough blood level so
 25 many hours later to see how that individual patient was 12:32
 26 managing the gentamicin so that the next dose could be
 27 tweaked if necessary to make it higher or lower. But
 28 these were below. From memory, and I can't remember
 29 exactly but from memory, they were well below what you

1 would start gentamicin at in an average patient.

2 186 Q. Now, I don't think we need to delve too much into the
3 rights or wrongs of this, but the Trust clearly took a
4 view, and we understand that Mr. O'Brien took a
5 different view and continues to take a different view, 12:32
6 as to the efficacy of this practice. In terms of his
7 rationale, as we understand it, the claim is that this
8 intravenous therapy can be beneficial for a carefully
9 selected patient with recurrent UTI.

10
11 In your experience, had you seen the drug gentamicin
12 used in this way at that time?

13 A. No. No. This was the first time I became aware that
14 that was happening. Certainly I wasn't aware of any
15 evidence base to support its use, you know, in terms of 12:33
16 published evidence. As pharmacists, obviously that's
17 what we would look for in terms of the evidence base to
18 support a practice such as that.

19 187 Q. If we scroll down, you said that, in your view, the
20 pharmacist concerned were valid, and you set out your 12:33
21 thinking - patients were being exposed to side effects
22 unnecessarily, being cannulated for no reason, and
23 being put at risk of acquiring an infection during
24 hospital stay. There was also the risk of
25 antimicrobial resistance could develop as a risk, as 12:34
26 you saw it?

27 A. Yes, I think so.

28 188 Q. There was also the issue of, unnecessarily as you put
29 it, using hospital resources.

1

2

3

4

5

To the best of your knowledge, did you come across any suspicion that patients who had been subject to this treatment had suffered antibiotic resistance, or are you just outlining risks here?

12:35

6

A. It was more the risk because obviously that would be in the future. I mean, resistance to gentamicin in certain parts of the world can be as high as 40%.

7

8

9

10

Obviously, we need to preserve the antibiotic stock that we have in the world. Basically because there aren't many new antibiotics coming on line, it's really important that we don't abuse them so that they are there for patients in the future if they really need it.

12:35

11

12

13

14

15

189 Q. If we scroll down to the action that you took. You escalated this to the then Medical Director, Dr. Loughran, and you did so verbally?

12:35

16

17

18

A. Yes. At one of my one-to-ones with him.

19

190 Q. You cannot say when this stuff was done but you give a date range, January 2008-December 2010. You didn't make any record of this?

12:35

20

21

22

A. No, unfortunately I didn't. My meetings with Dr. Loughran were very much him assisting me,

23

24

25

facilitating. As we talked earlier about the one-to-ones being a supportive meeting in terms of discussing issues and so on. It was a verbal discussion; I brought the issue to him and basically he said, okay, that sounds important, leave it with me.

12:36

26

27

28

29

191 Q. Given your concern about the issue, its implications,

1 it being out with conventional practice, as you saw it,
2 is this not a matter that ought to have been dealt with
3 more formally such as by raising an incident report, or
4 do you consider that raising it directly and verbally
5 with the Medical Director was the appropriate course? 12:36

6 A. I mean, looking back, yes, it should have been reported
7 formally. I think at the time I wasn't aware of any
8 harm having come to the patients. Yes, it wasn't
9 appropriate but like I certainly wasn't aware of any of
10 them succumbing to a line infection or anything like 12:37
11 that. I think, trying to think back, that was probably
12 my thinking, that nobody has come to any harm but it's
13 not right. It's a practice that needed to be
14 investigated further to see. Maybe there was evidence
15 but certainly I wasn't aware of any. I suppose that 12:37
16 was the sort of context that I took it to the Medical
17 Director as the sort of almost like the line manager
18 for the consultants in terms of.

19
20 Also, Dr. Loughran would have chaired the Drugs and 12:37
21 Therapeutics Committee at the time, and I would have
22 been sort of like a secretary to the committee.
23 Obviously that was starting to fall into our remit in
24 terms of drugs and therapeutics, in terms of the use of
25 the drug in that way. 12:38

26 192 Q. Yes. Then at 27.8 you record that a few weeks later,
27 Dr. Loughran gave you an update about the actions he
28 had taken, in informal conversation again. You have no
29 record of it?

1 A. No.

2 193 Q. But you recall him telling you that he had spoken to
3 Mr. O'Brien and told him that his practice of
4 prescribing an infusion of gentamicin to patients was
5 to cease immediately. He also advised you that he had 12:38
6 spoken to ward managers to make them aware that
7 Mr. O'Brien was no longer allowed to admit patients for
8 this purpose. So, the message you got back was your
9 concerns and the concerns of your pharmacist were
10 shared and that the Trust had responded? 12:38

11 A. Yeah.

12 194 Q. Were there any consequences for the patients that you
13 were aware of?

14 A. In terms of consequences clinically, not that I am
15 aware of. I do know from obviously Dr. Loughran 12:39
16 telling me the feature that there was a big patient
17 backlash. The patients weren't happy that the
18 treatment had been stopped, that they were no longer to
19 be admitted. I do remember that. In terms of harm,
20 future harm to the patients, not that I am aware of. 12:39

21 195 Q. Yes. I suppose I should have asked the question more
22 carefully. In terms of withdrawing this treatment from
23 patients, did you apprehend any adverse consequences
24 for patients in removing them from this regime?

25 A. No. Not that I was aware of, no. 12:39

26 196 Q. Now, your statement doesn't suggest that you were told
27 that there was a process in train, led by Dr. Loughran
28 but engaging a number of both external and internal
29 professionals in the examination of this issue. We

1 know, the Inquiry knows, for example, that the Trust
2 had sought advice from a urologist based in Great
3 Britain called Mr. Fordham; a microbiologist based in
4 GB called Dr. O'Driscoll that Mr. O'Brien was met with
5 and Mr. Young was met with in September 2010, and that 12:40
6 a confidential paper in relation to this was brought up
7 to the Board in September 2010 and again in November.
8 Was any of that drawn to your attention?

9 A. Not at all. I only became aware that other people
10 already maybe knew - I don't know if they knew before 12:41
11 me or after me - when I read the documents that had
12 been included in the bundle that I received. Certainly
13 Dr. Loughran, he hadn't mentioned that to me at all at
14 the time.

15 197 Q. Indeed, a protocol appears to have been developed? 12:41

16 A. I see that.

17 198 Q. If we just bring that up on the screen. It's a
18 document that I think the Inquiry has considered
19 previously. It's TRU-251143. It sets out the steps
20 required as part of a process to review all cases of 12:41
21 patients currently and intermittently receiving IV
22 fluids and antibiotics. It goes through a number of
23 steps, and I assume you have familiarised with that.
24 But again, not something that was drawn to your
25 attention at the time? 12:42

26 A. No.

27 199 Q. You were not a junior member of staff?

28 A. No.

29 200 Q. You were at Assistant Director level?

1 A. Mm hmm.

2 201 Q. This was an issue that you had escalated?

3 A. Mm hmm.

4 202 Q. It was clearly a parallel process that was taking
5 practical steps to address. It was drawn to the 12:42
6 attention of the Board. Can you think of any good
7 reason why you wouldn't have been told that this is an
8 issue that had come into the Trust separately through
9 the Commissioner?

10 A. No. I mean, unless maybe I raised it and then after 12:42
11 I raised it, because obviously I can't remember exactly
12 when I first said. The only thing I could think of is
13 maybe it came afterwards, but then you would have
14 thought maybe I would have been updated in the future.
15 It's a shame because obviously the pharmacists on the 12:43
16 ward are a resource to keep an eye out to make sure it
17 had stopped. I don't know why I was not updated or
18 included at that point in terms of -- nor why
19 Dr. Corrigan had become aware of it somehow as well.

20 203 Q. Could I ask you just a systems issue, a systems 12:43
21 question?

22 A. Mm hmm.

23 204 Q. You described gentamicin as a stock medicine. This is
24 surgical wards, so the stock would be there, without
25 the need for a prescription? 12:43

26 A. No.

27 205 Q. Is it written into the Cardex?

28 A. Yes, a prescription on the ward is made into what we
29 call the Cardex. It is the inpatient prescription.

1 So, one of Mr. O'Brien's team or one of the surgical
 2 junior doctors would have written the prescription
 3 according to Mr. O'Brien's instruction on the Cardex,
 4 and then that leaves the nursing staff to administer
 5 the medicine in accordance with that instruction. 12:44

6 206 Q. Yes. It seems to have been somewhat accidental, albeit
 7 you're an experienced pharmacist who clearly became
 8 alert to the problem. Would you agree with the
 9 analysis that this practice appeared to have been in
 10 place for some years and it was in a sense stumbled 12:44
 11 upon?

12 A. My staff stumbled upon it?

13 207 Q. Yes.

14 A. Yes. I think because back in that time we really only
 15 had one surgical pharmacist for three -- I think were 12:44
 16 there four surgical wards? Maybe three anyway.
 17 Obviously Claire was spread very thinly in terms of her
 18 role on the role. The pharmacist's role is, as best
 19 they can, to review all new prescriptions and make sure
 20 they are correct and appropriate, and obviously take 12:45
 21 the patient's medication history as well to make sure
 22 that, if they have come through ED, the history that
 23 was taken from the patient about what their existing
 24 medication is has been correctly translated onto that
 25 inpatient Cardex and reviewed. Obviously with only one 12:45
 26 pharmacist for three wards, that obviously didn't
 27 always happen, so Claire obviously wouldn't have seen
 28 any patient admitted for therapy, but she saw enough of
 29 them over a period of time that it became a concern for

her, which then came to my attention at that point.
 Nowadays we have a pharmacist for every ward so it
 would be much tighter surveillance.

208 Q. Again, the system for spotting what the Trust has
 called irregular prescribing, is it down to the alert
 pharmacist on the ward spotting the problem or is there
 a more sensitive way that these kinds of issues could
 be spotted if they were to occur again? 12:46

A. Unfortunately, at the minute it is still down to alert
 staff, whether it is the pharmacist or obviously the
 nursing staff or other medical staff. Our prescribing
 system in Northern Ireland based on wards and medicines
 administration system is paper-based, so there is no
 way of sitting back and having an overview. Now, I'm
 sure you have maybe heard already from other witnesses
 about Encompass that is coming. It's unfortunate. 12:46
 Back in 2015, I was sitting on a working group. They
 were going to introduce electronic prescribing and
 medicines administration system to all Trusts back, I
 think, 2015. In 2015/2016 that work was stood down
 because they thought at that point Encompass was going
 to come quite quickly and there was no point in
 investing in a standalone system when a bigger system
 was going to knock it out, you know, knock its
 position. So, that work was stood down. 12:47

Today, we still have a paper-based system until
 Encompass starts in the South Eastern Trust later this
 year. If you have a full electronic prescribing system

1 administration, you can sort of set safety alerts and
2 safety nets for your junior staff and your senior staff
3 as well into the system. If someone tried to prescribe
4 subtherapeutic gentamicin, it would either stop them or
5 they would have to put in a reason why. It would allow 12:47
6 you then to sit back in my role or my team's role to
7 run reports and overviews. There is an antimicrobial
8 monitoring team in Trust now; that would be very useful
9 for them. At the minute they have to hand collect the
10 data. There was no way of sitting back and having 12:48
11 alarms ringing, shall we say, that there was something
12 unusual happening.

13 209 Q. Let me come back to that in the context of the
14 Bicalutamide issue in just a second or two. Just to
15 finish off the gentamicin issue, could I bring up 12:48
16 AOB-10091. I said before I don't wish to delve into
17 the merits or the demerits of the use of gentamicin in
18 these particular cases. You have expressed your view
19 as to its propriety or conventionality, and you remain
20 of the view, is that right -- 12:49

21 A. That's correct.

22 210 Q. -- that it's not something you would endorse?

23 A. No.

24 211 Q. Mr. O'Brien, for his part at the top of the page, this
25 is an extract from his contribution to the MHPS 12:49
26 investigation. He's responding here to what Mr. Mackle
27 said in his statement, but it neatly encapsulates his
28 view of the propriety of using the practice. He said:
29

1 "This issue related to the practice of both Mr. Young
2 and I electively re-admitting patients who regularly
3 suffered from recurring urosepsis for intravenous
4 hydration and antibiotic therapy in order to minimise
5 frequency and severity of infection. "

12:50

6
7 You accept that it was both him and Mr. Young?

8 A. I understand now, yes.

9 212 Q. What you are dealing with is what came to your
10 attention, and it was simply Mr. O'Brien.

12:50

11
12 He goes on to say that:

13
14 "This practice was disapproved by the Trust. However,
15 our experience was subsequently published, having
16 proven to be successful in its purpose and without
17 emerging antibiotic resistance. "

12:50

18
19 He draws attention to the fact that it was published.
20 If we could just briefly look at that, bring it onto
21 the screen. WIT-82743, a thesis published in 2011 in
22 the journal Inspection. It runs to, if you scroll
23 down -- scroll down, please, to the next page.
24 Published in the names of Vincent Good, Michael Young,
25 Aidan O'Brien, 16th August 2011, just after these
26 issues had been addressed the Trust. Just scroll up
27 slightly. They record:

12:50

12:51

28
29 "From our preliminary results, we conclude that IVT is

1 beneficial for carefully selected patient with
 2 recurring UTI, and their treatment should be
 3 individually tailored. We do not claim to know the
 4 optimal duration of treatment."

12:52

6 Scroll right down to the next page, please:

8 "And regularity of IVT regime but suggest that it
 9 should be adapted to patient's condition."

12:52

11 Did you appreciate the rationale for the treatment when
 12 you reported in?

13 A. In terms of the rationale for the infusion?

14 213 Q. Yes.

15 A. No, because, I mean, it was well accepted that if
 16 someone maybe had recurring urinary tract infections,
 17 the oral route would have been the prophylactic route.
 18 Providing antibiotics, either low dose, even that
 19 wasn't really advised. Having patients at home with a
 20 supply of antibiotics, that if they started to get the
 21 early symptom of urinary tract infection, they could
 22 self-start. Certainly I wasn't aware of any research
 23 that supported the approach being taken with a low dose
 24 gentamicin infusion.

12:53

25 214 Q. Reflecting on all of this now from a governance
 26 perspective, do you think the systems of governance
 27 worked well or otherwise when addressing this issue?

12:53

28 A. I suppose in terms of how we identified it, it didn't
 29 work well because we were relying on that paper-based

1 system to spot unusual practice. In terms of
2 afterwards, certainly from what I was told, it was
3 addressed by Dr. Loughran, and then was fed back to me
4 that the practice was stopped. I was asked if the
5 pharmacist saw any more patients, I had to let him
6 know, which they didn't.

12:54

7
8 In terms of my reflection on it, as far as I was
9 concerned it had been dealt with, but I now know
10 obviously there was maybe some other stuff going on in
11 the background that I wasn't party to that maybe wasn't
12 as straightforward as Dr. Loughran led me to believe at
13 the time and what I was told at the time in terms of
14 addressing it.

12:54

15 215 Q. Yes. Could I briefly deal with the issue, if I could,
16 and perhaps a little out of sequence.

12:54

17 A. Okay.

18 216 Q. It is convenient to address it in light of what you
19 have just recently said about systems. If we go to
20 WIT-87665. At paragraph 8.1 at the bottom of the page,
21 you say that you are aware that Mr. O'Brien was
22 recommending the prescription of subtherapeutic doses
23 of Bicalutamide for men diagnosed with prostate cancer.
24 You became aware of this when Mark Haynes, Associate
25 Medical Director, asked you for Trust Pharmacy help in
26 auditing these prescription recommendations.

12:54

12:55

27
28 Over the page, please. You said, in summary, that you
29 weren't able to assist Mr. Haynes --

1 A. No.

2 217 Q. -- directly with his request. What you did do, at
3 38.3, was refer him to Mr. Brogan. He's the lead
4 pharmacist in the commissioning body?

5 A. Yes. 12:56

6 218 Q. It was there that Mr. Haynes was able to extract the
7 data concerning patients who had been through the
8 Southern Trust who had received prescription of
9 Bicalutamide; is that right?

10 A. That's correct. When Mr. Haynes, Mark, phoned me that 12:56
11 day, he, I think, thought that I would be able to run a
12 report on the pharmacy system to identify patients.
13 But in outpatient prescribing in Northern Ireland, we
14 don't dispense the outpatient prescription in a
15 pharmacy. It's slightly different than what happens in 12:56
16 the mainland in that a lot of outpatient prescriptions
17 come to pharmacy to be dispensed. In Northern Ireland
18 when the consultant sees a patient at outpatients and
19 once they instruct the GP to start the prescription, by
20 and large - there is a few exceptions, if it is a 12:57
21 life-threatening situation, of course they come to us
22 immediately - but by and large, they don't. I mean
23 very rarely they come to us.

24

25 So the prescription - it's not really a prescription, 12:57
26 it's called an advice note - the consultant would
27 complete it at the time and say please start
28 Bicalutamide. There is a duplicate copy. One copy is
29 ripped off and handed to the patient, the second copy

1 goes into the note, the patient's clinical notes. The
 2 patient takes that to their GP surgery and hands it in
 3 and then the GP creates a prescription for the patient
 4 to take to their community pharmacy. Anything
 5 prescribed in outpatients is sort of blinded to the 12:57
 6 Trust.

7
 8 Part of another piece of work I did, it was like an
 9 efficiency savings programme we were doing regionally
 10 in the last few years, I led on trying to audit 12:57
 11 outpatient prescribing, because there was some feedback
 12 we were getting in the Trust that maybe GPs were
 13 annoyed that the Trust were using expensive versions of
 14 drugs instead of the cheaper. I tried to audit it and
 15 it was extremely difficult; it just couldn't be done. 12:58
 16 So what I did was, I was aware that data was available
 17 in the community through the pricing, the payment
 18 system for community pharmacy, so that's why I put Mark
 19 in touch with Joe, because Joe could then authorise
 20 interrogation of the community pharmacy payment system 12:58
 21 to identify patients who were getting longer term
 22 prescriptions for 50mg Bicalutamide.

23 219 Q. Yes. The Inquiry is probably interested in this
 24 suggestion that an advice note is written by the
 25 consultant, taken away by the patient to the general 12:58
 26 practitioner and out through the door of the community
 27 pharmacist?

28 A. Yes.

29 220 Q. So your systems, the Trust systems are, as you say,

1 blinded to prescribing decisions?

2 A. Yes.

3 221 Q. I suppose that is potentially a worry, is it not,
4 because you could have, worst example, a clinician in
5 the employ of the Trust prescribing dangerously,
6 irregularly, unconventionally and placing patients at
7 risk?

12:59

8 A. Mm hmm.

9 222 Q. Is it right to say that you currently have no system
10 which would supervise that transaction?

12:59

11 A. That's correct. Until the new all-encompassing IT
12 system comes along, it's at that point that data will
13 become obvious because the outpatient prescribing will
14 be done through the Encompass system within a direct
15 link into the various GP systems. Our only failsafe in
16 the current situation and the situation we faced then
17 was the actual GP themselves. So, it did happen - now
18 it wasn't dangerous situations but occasionally we
19 would have maybe had a locum consultant who wasn't
20 aware of the agreed formulary between ourselves and the
21 GPS and would have maybe used an expensive brand of
22 medicine when there was a generic. Quite often the GP
23 would have lifted the phone or e-mailed me or the
24 consultant in charge or whatever to raise a concern.
25 I did think, when I saw this, I realised what had been
26 happening that well, maybe they did phone in, but, you
27 know, the GP was probably the only one who would have
28 realised that a long term of -- because obviously short
29 term 50mg Bicalutamide is used, you know, cover for

12:59

13:00

13:00

1 your LHRH implants and so on before and after, but
2 long-term you would have thought maybe they might have.
3 Maybe they did phone in and there was a reason given
4 that it was okay. Certainly, I wasn't aware of any
5 calls querying it. But that was our only sort of 13:01
6 safety mechanism for outpatient prescribing, because
7 the GP wasn't required to prescribe. It was known as
8 an advice note, because the way it works legally is the
9 consultant is advising the GP that I think this is the
10 right thing to do. Then it is the GP's professional 13:01
11 choice whether they follow that advice or not and write
12 the prescription.

13 223 Q. Yes. In the particular context in which the Inquiry is
14 interested, there may have been other safety nets or
15 there perhaps ought to have been other safety nets 13:01
16 within the parameters of the MDT discussions - if a
17 specialist nurse had been in place, if action was being
18 taken by, for example, the Oncology Department external
19 to the Trust. There were other safety nets which the
20 Inquiry is obviously looking at. 13:02

21
22 One query in this area emerges from what Mrs. O'Kane
23 has referred to in her statement, just to take your
24 comments on it. If we go to WIT-20088. She has
25 inserted into her statement the Bicalutamide audit 13:02
26 report. Just help me with the accuracy of this, if you
27 could. So she says - sorry, she doesn't say - the
28 Bicalutamide audit says:
29

1 "The following identification that patients have been
2 prescribed low dose - 50mg Bicalutamide - outside of
3 late licence indications or standard practice. Contact
4 was made with the Trust Director of Pharmacy,
5 Dr. Tracey Boyce, with a view to identifying the 13:03
6 patients currently receiving a prescription for that
7 Bicalutamide. The data was provided on 22nd October
8 2020. The data provided identified all Health and
9 Social Care Trust patients who received a prescription
10 for Bicalutamide, any dose between March and August 13:03
11 2020", et cetera.

12
13 Reading those two paragraphs, it rather suggests that
14 you provided the data on 22nd October. Am I right in
15 saying that's not correct? 13:03

16 A. No, that's not correct. I made the link for the team
17 to -- where the data could be sourced but I didn't.
18 I didn't see the data when it came back; I wasn't
19 involved in that at all. I think it would be more
20 correct to say that I facilitated them getting in 13:04
21 contact with the person who had the data in the
22 community.

23 MR. WOLFE KC: Okay. That brings us to lunchtime,
24 I probably have another hour or so after lunch.

25 CHAIR: Back again then at five past two, ladies and 13:04
26 gentlemen.

27
28 THE INQUIRY ADJOURNED FOR LUNCH AND RESUMED AS FOLLOWS:
29

1
2 CHAIR: Thank you. Mr. Wolfe?

3 MR. WOLFE KC: Good afternoon. Good afternoon,
4 Dr. Boyce.

5
6 I now want to turn to the events leading up to the
7 Oversight Committee meeting that occurred on
8 22nd December 2016 and to seek your observations about
9 the aspects of that you had some involvement in. If we
10 can start with your addendum witness statement at
11 WIT-96621. At the bottom of the page at 27.11, where
12 you're amending your earlier narrative, you relate for
13 us that on 9th November 2016:

14
15 "One of the lead nurses who had been transferred into
16 the Acute Governance team in 2014, Connie Connolly,
17 spoke to you at the weekly meeting which you held with
18 the Governance team about a SAI that she had been
19 working on. The SAI Review was considering the case of
20 Patient 10" - we'll call her Patient 10 - "and
21 Ms. Connolly is a Panel member in an investigation
22 which is being chaired by Mr. Anthony Glackin."

23
24 You believe that Connie informed you that the Panel had
25 the following concerns. You say:

26
27 "The root cause of the SAI was Mr. O'Brien's lack of
28 action in relation to the triage of Patient 10's
29 referral letter from her general practitioner. That

1 there were seven other patients general practitioner
2 letters that were not triaged that week by
3 Mr. O'Brien."

4
5 scrolling down please:

14:08

6
7 "That the secretaries appear to be aware that triage
8 not been completed and were putting patients into the
9 routine appointment list as a way of ensuring that they
10 were kept in the system. They had kept a record of
11 those patients which revealed that 318 letters had not
12 been triaged by a consultant urologist."

14:09

13
14 Then you delete the rest of that because that
15 information came to you later.

14:09

16 A. It did, yeah.

17 224 Q. Then, scrolling down:

18
19 "Connie informed you that the SAI Review was nearing
20 completion and because of the concern about the
21 implications of the finding that Mr. O'Brien had not
22 triaged any of the urology referrals that had arrived
23 during the relevant week in 2014, you asked
24 Ms. Connolly and Ms. Trudy Reid, the Acute Governance
25 Lead, to track the 17 patients other than Patient 10
26 from that week to ensure that they had not to harm",
27 and that afternoon you also e-mailed Mrs. Gishkori to
28 escalate the concern and to advise her of the action
29 you had taken.

14:09

14:09

1
2 Just a couple of things emerging from that. Was there
3 no awareness at your level, or indeed with
4 Mrs. Gishkori, that there was in place a system whereby
5 if triage wasn't performed, if the referral didn't go 14:10
6 back to the booking centre, that the booking centre was
7 using the general practitioner's designation?

8 A. I'm not sure what Esther's understanding of it was at
9 the time, but that basically was what -- I wasn't aware
10 of this issue at all until 9th November. When Connie 14:10
11 explained it to me - pardon me, my hayfever is playing
12 up today - when Connie explained it to me, the way she
13 explained it to me was like as a failsafe, everybody
14 was being put on routine if they weren't being triaged.
15 I now understand that wasn't quite right in that the 14:11
16 patient was put on at what the GP had triaged them at
17 or, you know, indicated on the referral, so that the
18 patient was put in the correct chronological place on
19 the waiting list to be seen. But at the time when it
20 was being explained to me by Connie on that day, it was 14:11
21 the fact that it was almost like the secretaries had
22 come up with a failsafe system. I didn't realise there
23 had been an issue before, and there was a plan to
24 manage it and the patient was put on chronologically to
25 allow the teams then to chase to get the correct triage 14:11
26 done so the patient could then be correctly triaged at
27 some point in the future. It wasn't that they weren't
28 going to be triaged. The Trust, from my understanding
29 now, was that it was being pursued to get the triage

1 done correctly, but that workaround was to make sure
 2 the patients didn't lose their chronological place
 3 because of the lack of triage, the lack of timely
 4 triage, shall we say.

5 225 Q. The evidence around this hasn't been fully related to 14:12
 6 the Panel because there's other witnesses still to
 7 come.

8 A. Okay. Sorry.

9 226 Q. But one position such as articulated by Mrs. Corrigan,
 10 in her contribution to the MHPS investigation, was to 14:12
 11 the effect that Debbie Burns, when she was Acute
 12 Director, had been involved with others, including
 13 Mrs. Corrigan, and Mrs. Trouton I think as well, and
 14 came to the view that this use of GP designation in the
 15 absence of timely triaging was a system that should be 14:12
 16 used so that the patient wouldn't lose their
 17 chronological place, but that wasn't something that you
 18 were aware of?

19 A. No, I wasn't involved in that.

20 227 Q. So far as you can recall, it's not something that 14:13
 21 Mrs. Gishkori discussed with you in the sense of
 22 telling you what she did or didn't know about it?

23 A. No. No.

24 228 Q. Plainly, something perhaps as significant as this
 25 should have been well known within the Governance 14:13
 26 environment and should have been known by the Acute
 27 Director?

28 A. Yeah. You mean in terms of Mrs. Gishkori or in
 29 general?

1 229 Q. Yes.

2 A. Oh, in terms of Esther. Now, I don't know if she did

3 know or not but it was certainly that was never

4 discussed at our governance meetings. It wasn't a

5 subject at the Acute Tuesday afternoon governance 14:13

6 meeting. It was never discussed, so I was totally

7 unaware that there was an agreement in the background

8 for these cases. To be honest, as Director of

9 Pharmacy, I wouldn't have been involved in triage

10 really. 14:14

11 230 Q. Of course. If the system knew that triage wasn't

12 coming back, and, as we know by the commencement of the

13 MHPS investigation, the count on non-triaged routine

14 and urgent referrals stood at several hundred --

15 A. Yes. 14:14

16 231 Q. -- positions might differ about what precisely it

17 amounted to, but it ran into several hundred on

18 anybody's count.

19 A. Yes.

20 232 Q. That was something which one of the governance forums 14:14

21 should have been the discussing and debating and

22 resolving?

23 A. I think certainly it should have come across the

24 governance table in terms of a known risk. It could

25 have been an item certainly on the Acute Governance 14:15

26 Risk Register, whether it would have made the corporate

27 register or not. Certainly, that way there would have

28 been an awareness and we would have been all been part

29 of the plan for it. I often found the discussion we

1 had at Acute Governance with all the ADs and their
 2 experience was very useful. Even when I was dealing
 3 with a pharmacy issue sometimes, the combined
 4 experience of that team was very strong because a lot
 5 of the ADs had not -- they'd stayed within Acute for 14:15
 6 the whole time I was the Trust. So, we had a good
 7 strong team ethic between us all in terms of helping
 8 each other debate problems and solve issues and so on.

9 233 Q. Because judged by your response to this, it was a -
 10 maybe earth-shattering moment is to exaggerate it too 14:15
 11 much --

12 A. Yes.

13 234 Q. -- but you said to yourself what's going on here, there
 14 were eight patients that week who had not been triaged,
 15 one is the subject of an SAI, I better go and 14:16
 16 investigate what's happening to the other seven.
 17 Whereas, in fact, the system had known for two to three
 18 years that this was the way things were being done?

19 A. I suppose my immediate reaction was instant concern
 20 because the way it was explained to me was every 14:16
 21 patient was being put on as routine, which we know now
 22 it wasn't. They were being put on... Still, when it
 23 was explained to me, it was a concern because I could
 24 immediately see the risk to Patient Safety. That's why
 25 I was very concerned to check immediately that those 14:16
 26 seven were okay. At that stage I didn't realise --
 27 I thought maybe this was a lost week because I didn't
 28 know of anything else at that point. Now obviously
 29 I learned more over the weeks after this. At that

1 point I thought the first thing we need to do is make
 2 sure the other seven are okay or being seen or do we
 3 need to find them. That's why I asked Trudy, who was
 4 the Governance in post, who was the Governance Lead,
 5 and then Connie, to go and find those patients and see 14:17
 6 what had happened to them to make sure they were okay.
 7 Then, obviously immediately that afternoon I let Esther
 8 know (1) what I had done with the issue, and what I had
 9 done in the immediate aftermath to get some sort of
 10 assurance that those patients were safe. 14:17

11 235 Q. Now, we know that Mr. O'Brien's practice had been the
 12 subject of some scrutiny earlier in the year, starting
 13 in March when his failure to triage routine and urgent
 14 was one of four items placed on the agenda with him in
 15 a meeting. Then the same four items were then 14:17
 16 discussed by the Oversight Committee in September, and
 17 a decision was ultimately taken to do nothing until he
 18 returned from sick leave, his sick leave commencing
 19 sometime in or around mid November. Were you alerted
 20 to those developments by Mrs. Gishkori? 14:18

21 A. No. Certainly any meetings I had been at, that wasn't
 22 discussed. I wasn't aware of it literally until
 23 9th December, until that discussion with Connie, when
 24 Connie raised the issue with me. That was my first
 25 awareness of this situation. 14:18

26 236 Q. It being known, plainly, from at least March by
 27 Mrs. Gishkori that triage was not being done, or at
 28 least that was the case being made?

29 A. Mm hmm.

1 237 Q. would you have thought that that was the time within
2 which to carry out an assessment and to gain a full
3 understanding of the implications of this triage gap
4 for patients and their safety?

5 A. Yes. I would have thought so in terms of certainly 14:19
6 reviewing the risk of the safety measures in place. If
7 previously thought it could be managed, why was it
8 building up now in terms of trying to get a handle on
9 how big the risk was?

10 238 Q. In other words, it shouldn't have needed the arrival on 14:19
11 your respective desks of Patient 10's SAI to trigger a
12 grappling, an assessment with this, to work out its
13 full implications. I don't mean you personally,
14 because you didn't know.

15 A. No, I didn't. I think with the benefit of hindsight 14:19
16 yes, definitely the risk of not triaging patients,
17 especially when we knew at that point our waits to be
18 seen at outpatients were so long, which obviously
19 intensified. If you were on the wrong triage list,
20 that would have a significant impact on your safety. 14:20

21 239 Q. Yes. We can see from the email that you say you sent
22 to Mrs. Gishkori on 9th November, WIT-88151. If we
23 particularly pick up on the last paragraph, you are
24 saying:

25 14:20
26 "Although this was an SAI but a single case, it has
27 come to light that the other seven received that week
28 are also missing".
29

1 As an initial action, you have asked Trudy and Connie
2 to try and track vis PAS, check that they have been
3 seen and pull their notes if necessary.
4

5 "I haven't asked the question yet whether we know of 14:21
6 that other consultant's weeks triage letters have been
7 lost but it's something we need to discuss."
8

9 You say in your statement you subsequently attended the
10 admin floor and you spoke to Mrs. Gishkori and 14:21
11 Mr. Carroll?

12 A. Yes.

13 240 Q. Was that around the same time?

14 A. It was either that afternoon or the next day.

15 241 Q. Yes. 14:21

16 A. Yes.

17 242 Q. What was the tone of the discussion in light of these
18 developments? Mrs. Gishkori had enabled the earlier
19 process to be parked awaiting Mr. O'Brien's return from
20 sick leave, as I have just said. Was that revealed to 14:21
21 you at that point at all?

22 A. No. The first time I knew there was a process ongoing
23 was an email Esther sent me on 23rd December in
24 response. When I got the - I think we call it the Dear
25 Tracey letter when people have been talking about it - 14:22
26 but when I received that, I obviously e-mailed Esther
27 immediately. Esther responded that day, I think.
28 Apologies, I think it was 16th December. So, Esther
29 replied to me to say as you are aware, there is an

1 Oversight process ongoing. I wasn't aware. That was
2 my first sort of introduction that there had been a
3 formal process happening around this situation.

4 I definitely don't recall anybody mentioning process
5 when I went upstairs to the admin floor to make sure 14:22
6 Esther had seen my email, and also make sure -- bring
7 Ronan in out of courtesy.

8 243 Q. What was the purpose of that conversation and how were
9 things left?

10 A. Well, I think it was more to make sure the email had 14:22
11 been seen. In the busyness I knew -- I mean, all of us
12 were getting hundreds of emails every day and emails
13 could be lost and not opened for days. I suppose my
14 urgency was either if Esther wasn't there in person --

15 I can't remember whether Esther was there in person; 14:23

16 I think she was when I went upstairs. Quite often
17 I would have used -- Esther's PA was excellent, so I
18 would have said you need to make sure Esther has seen
19 that email. So if Esther came back into the office, if
20 you needed something seen urgently, I would have walked 14:23
21 just from the back of the hospital up to the admin
22 floor and made sure it was brought to the fore.

23 Obviously I made sure that Ronan was aware of it as
24 well, because their offices were there, whereas the
25 pharmacy is at the back of the building, so my office 14:23
26 wasn't along the corridor where everybody else's was.

27 244 Q. Yes. What was the upshot of it in terms of action?
28 Was it a case of we'll wait and see what Connie and
29 Trudy produce and what the SAI produces?

1 A. Yeah. Well, they were facilitated. So, obviously
2 Ronan facilitated Martina Corrigan, helping them in
3 terms of tracking, as far as I remember. Obviously
4 Martina could... My memory is that Ronan then brought
5 Martina into track, making sure that they could find 14:24
6 those seven patients.

7 245 Q. Yes.

8 A. You know, helping Connie and Trudy do that for us.

9 246 Q. That was the immediate concern, to get to the bottom of
10 those seven cases? 14:24

11 A. Yes. Then obviously to start to look to see, as
12 I hinted at in that last paragraph, are there more.
13 Obviously we were immediately concerned, or I was
14 immediately concerned about those seven because we knew
15 already that week the team, the Patient 10 team, they 14:24
16 knew that week hadn't been triaged at all. That was my
17 immediate concern. Then obviously the conversation
18 about we need to look to make sure it's a one-off.

19 247 Q. Yes. Then if we look at some of the developments that
20 flow from that. If we go to AOB-01342. That's not 14:24
21 what I intended; if you allow me a moment. I may not
22 have the reference to hand but you will recall writing
23 to Dr. Wright at around that time, he was the Medical
24 Director, and you indicated to him that you discussed
25 the SAI with Esther, I think that morning? 14:25

26 A. Is that the email of 2nd December?

27 248 Q. 2nd December, yes.

28 A. I just saw that in my bundle.

29 249 Q. We'll try and find a reference when I'm on my feet. It

1 may allude us for now. What was this development?

2 A. I don't remember that email now at all, but when I read
3 it in the bundle, from what my interpretation of it
4 was, Esther, obviously after I emailed her and
5 escalated on 9th November, she obviously had told
6 Richard. 14:26

7 250 Q. Yes.

8 A. Or Dr. Wright, that this had happened and we were in
9 the process of starting to look further into it. I'm
10 assuming from that email, obviously I maybe had a 14:26
11 meeting with Richard and he knew I knew what was going
12 on, and he was checking to make sure things were
13 happening even in terms of the lookback and see what
14 was going on. My interpretation of that email was me
15 giving him assurance that we were working through it, 14:26
16 you know, urgent action was being taken to try and
17 track the patients. Obviously I can see in that the
18 team had already encountered missing notes. I think is
19 that the email where it mentions that there was notes
20 missing? 14:26

21 251 Q. We'll maybe have to come back to that. Could I just
22 put alongside that sort of sequence Dr. Wright and
23 Mrs. Gishkori's arrangement. TRU-251827. It is
24 6th December. Let's just go back and deal with this in
25 sequence in case it affects your answer to these 14:28
26 questions.

27 A. Okay.

28 252 Q. If we go to TRU-01342. This is the email to Richard
29 Wright, 2nd December. You had a chance to speak to

1 Esther that around about the SAI.

2
3 "She said that she got some assurances from Urology
4 team that notes had been returned. However, she asked
5 me to get the Acute Governance team to go through the 14:28
6 spreadsheets the secretaries have been keeping to make
7 sure every patient had been triaged and that all
8 missing notes are now accounted for."

9
10 I think what that must really mean is that there was 14:29
11 one of the seven patients, one out of the eight
12 patients --

13 A. Yes.

14 253 Q. -- where no account could be found of what had happened
15 to him or her -- 14:29

16 A. The notes couldn't be found.

17 254 Q. -- and the notes couldn't be found?

18 A. Yes.

19 255 Q. Nobody was able to say for sure whether he had been
20 seen or treated? 14:29

21 A. No.

22 256 Q. What appears to have transpired is that Mr. O'Brien was
23 dictating on that case during his sick leave at home,
24 and out of the blue, perhaps, the notes arrived back
25 and the dictation arrived back and the case was capable 14:29
26 of being closed. Is that what this means?

27 A. Yes, I think so. From the 9th, when I asked the team
28 to start looking on 9th November, my understanding is
29 that on 16th November, obviously they immediately found

1 that that set of notes for, say, the 8th patient or
 2 whatever - the eighth patient - were missing, so they
 3 couldn't assure themselves that that patient had been
 4 correctly treated and seen. They were obviously asking
 5 where were the notes, and the notes, I believe, were 14:30
 6 tracked to Mr. O'Brien. But then a week later, during
 7 that week of asking questions, they appeared back with
 8 a dictation dated, I think it was the 6th. Now
 9 I couldn't be sure but I think it was around
 10 16th November. So, a week following me asking the 14:30
 11 Governance team to start finding those people, that
 12 appeared back. But I think the patient's actual
 13 appointment had been made a number of months before
 14 that, so it wasn't that they had just been seen on
 15 16th November. I can't remember exactly, I am sorry. 14:30
 16 I think it was months previous that the appointment had
 17 been, but there had been no communication with anybody
 18 about what was to happen to the patient?

19 257 Q. Yes. That's a partial resolution --

20 A. Yes. 14:31

21 258 Q. -- of the issue. But the bigger picture, as alluded to
 22 here, was whether any other patients going back over a
 23 lengthy period of time for which there has been no
 24 triage and no further action.

25 A. Yes. 14:31

26 259 Q. So, that was work in progress?

27 A. It was. I mean, I think that was the start of the team
 28 that I had put in motion realising this was a lot
 29 bigger than just the eight patients, because they

1 asked. Then more information obviously became
2 available, about like the missing notes and delay in
3 dictation and so on, started to become obvious.

4 260 Q. Yes. If we go then to where I was going to,
5 TRU-251827. You can see, if we scroll down, 14:32
6 Mrs. Gishkori to Dr. Wright. She is indicating that
7 she's been having conversations in relation to
8 Mr. O'Brien's return to work interview. Whoever she's
9 having the conversations with isn't wasn't made clear.

10
11 "We thought this would be a good time to set out the
12 ground rules from the start." 14:32

13
14 First of all, were you having conversations with her
15 about when Mr. O'Brien would be approached about the 14:32
16 ground rules?

17 A. No. No, I wasn't.

18 261 Q. It does appear, if you scroll up, we'll see
19 Dr. Wright's response. He says:

20
21 "That sounds very reasonable. Any ideas when that is
22 likely to be." 14:33

23
24 In a context where you're reflecting a fear that there
25 may be unknown quantities of cases sitting out there 14:33
26 un-triaged and perhaps un-actioned, this might strike
27 the observer as a somewhat relaxed approach to the
28 problem?

29 A. Relaxed, or maybe premature in terms of -- certainly

1 I knew at that stage the Governance team were still
 2 working on trying to pull out the extent of the
 3 problem. So, it was only two weeks later on
 4 15th December when I received the letter from them when
 5 they were very concerned; obviously a panel member. So 14:33
 6 I think it was probably in my view premature to discuss
 7 a plan at that point.

8 262 Q. Yes. In other words, perhaps looking at it with the
 9 benefit of some hindsight, what it should have been
 10 saying is we're keeping the situation under careful 14:34
 11 observation, awaiting the results of the investigations
 12 to see whether there is something that needs to be done
 13 before Mr. O'Brien's is able to return to work?

14 A. I would have thought so. I mean, Esther would have
 15 been aware the team were still working on exposing -- 14:34
 16 not exposing but finding the extent of the issues that
 17 had been uncovered.

18 263 Q. If we go back to your statement then at WIT-96622. You
 19 see at the bottom of the page at 27.13 that on
 20 16th December, you returned to your office and found an 14:35
 21 envelope on your desk. Inside the envelope was a
 22 letter of concern dated 15th December about [Patient
 23 10] SAI and the outcomes of the additional actions that
 24 you had requested.

25
 26 The letter was unsigned. In other words, it lacked its
 27 third page, and this has been subsequently located.
 28 You emailed a copy of the letter immediately to Esther
 29 Gishkori and Ronan Carroll suggesting that you needed

1 to meet urgently to discuss "which I believe we did the
2 following week".

3
4 This was the Dear Tracey letter and we can look at
5 that. WIT-96627. If we just scroll through it to 14:35
6 observe its full form. Right down to the third page.

7
8 while we're doing that, have you any understanding of
9 why the third page containing Connie Connolly's
10 signature wasn't included in the pack that you 14:36
11 received?

12 A. No. I mean, I only found out maybe last week that
13 there was a third page. I almost took it as, not
14 anonymous because obviously I knew where it had come
15 from, I mean obviously now I know. I wrongly assumed 14:36
16 it was due to a level of uncomfortableness maybe with
17 the panel members about what they had found. I'm
18 guessing now it was just an administrative mistake,
19 that only one page had come out of the printer and the
20 third page was on another sheet and it didn't make its 14:37
21 way into the envelope. I obviously knew the context of
22 the letter when I read it and what it was about. So
23 I really didn't need a signature, it was serious enough
24 to take it as it was.

25 264 Q. Yes. If we could scroll back to the first page of the 14:37
26 letter and stop at the bottom. It is annotated. Is
27 that your writing?

28 A. No, that's not my writing.

29 265 Q. It says - could it be Esther's writing - "discuss

1 Ronan-Tracey-Esther 20th December".

2 A. I don't think so. I would imagine, if you check, it

3 may be Connie's writing. That's maybe, I believe, her

4 copy.

5 266 Q. Yes. 14:37

6 A. Obviously that would have been - the 16th - that would

7 have been the middle of the next week, "discuss with

8 Ronan and Tracey". I would have had another Governance

9 meeting with the team that Tuesday/Wednesday, around

10 that time. Obviously I came back into my office late 14:38

11 on a Friday and the envelope had been hand-delivered

12 and it was on my desk. So, by the time I opened it, it

13 was the close of play on Friday and I scanned it to

14 Esther and to Ronan. We weren't able to meet until --

15 wasn't in the hospital on the Monday because I had a 14:38

16 regional meeting, so I believe that the earliest we

17 discussed it, the three of us, was the Tuesday, which

18 would have been the 20th, I understand. Yeah, the

19 20th.

20 267 Q. It's your understanding that this was hand-delivered to 14:38

21 your desk by Connie Connolly?

22 A. That's right. I learned that afterwards, that Connie

23 had hand-delivered it to the pharmacy for my desk.

24 268 Q. Yes. The Panel is fairly familiar with this letter.

25 what, when you discussed with it the following week 14:38

26 with Mr. Carroll and Mrs. Gishkori, were the

27 implications of it as far as that triumvirate were

28 concerned?

29 A. Obviously the identification of the significant risks

1 that it identified in terms of the potential that there
 2 was patients out there that had potential to come to
 3 harm in terms of the extent of the triage that was --

4 269 Q. Just go to the second page, I think it is the
 5 summarised.

14:39

6 A. Yes.

7 270 Q. These are the themes that were emerging from the SAI?

8 A. So, they had gone to check on the other seven patients
 9 and then realised that this was much bigger. In
 10 checking and obviously talking to the secretaries in
 11 trying to track those seven patients, they obviously
 12 then found that there was, like, it says there 318
 13 patients' letters. When I say not triaged, well, they
 14 weren't triaged so they had been put on the system
 15 according to the GP referral.

14:39

16 271 Q. The first paragraph sets out the history to that
 17 process. It was formally - the default triage approach
 18 was formally implemented, it says, on 6th November
 19 2015?

20 A. Yes.

14:40

21 272 Q. We have had other evidence on that that it might have
 22 been earlier, but working with that. It says:

23
 24 "Currently the Trust can't provide assurance that the
 25 urology non-triage patient cohort are not being exposed
 26 to harm while waiting 74 weeks for routine appointment
 27 or 37 for an urgent."
 28

14:40

29 It goes on to say that a manual lookback had taken

1 place.

2
3 "After informed queries, it is understood the patient
4 notes are not being transported back the Trust and
5 there is sufficient cause for concern that Trust 14:40
6 documentation may be leaving the Trust facilities and
7 the process of recording the transportation needs to be
8 urgently addressed."

9
10 Then, thirdly, there is clear evidence that a 14:41
11 particular patient -- this is the eighth patient, if
12 you like --

13 A. Yes.

14 273 Q. -- hadn't been triaged. The matter arrived back, typed
15 15th November 2016, when in fact the patient had been 14:41
16 seen in clinic almost two years earlier in January
17 2015. It says that this has the potential to be
18 confounded if patient charts are leaving the facility.

19
20 What was the action that flowed from that? 14:41

21 A. So I obviously shared that. Scanned the letter,
22 emailed it to Esther and Ronan. We met. So that was
23 the first time then I was invited to the Oversight
24 Committee on 22nd December. Obviously I then -- Esther
25 emailed me back and said as you are aware there is an 14:42
26 Oversight Group, which I wasn't aware. But I was
27 then -- initially I don't think I was due to attend
28 that Oversight Group on 22nd December. Esther was
29 going to represent what had happened. So I prepared a

1 briefing note, which I think is in my documents, for
2 Esther to take with her. Then it transpired that
3 Dr. Wright invited me to come along, or the Panel
4 invited me to be in attendance to summarise what had
5 been happening. So the note went. The briefing note 14:42
6 was included within the documents anyway, even though
7 I was going to be present. That was the start of
8 certainly my understanding of the Oversight Group.
9 Then there was that meeting, and then there was a
10 subsequent meeting, I think on 10th January, and then 14:42
11 I wasn't involved after that.

12 274 Q. Yes. Let's just look at some of the developments
13 between those two pillars. You were in attendance --

14 A. Yes.

15 275 Q. -- at the meeting on 22nd December. I think you have 14:43
16 said that you were there to relate the concerns of the
17 Governance team. We have the minutes for that meeting,
18 if we maybe just bring that up while we're talking
19 about this. This is WIT-88153.

20
21 was there any particular reason, Dr. Boyce, why these
22 issues weren't brought to a head sooner than
23 22nd December? When answering that question, could you
24 try to explain what it was that drove the meeting of
25 22nd December? 14:43

26 A. I think what drove the meeting on the 22nd was the
27 information about the scale of the missing -- the notes
28 that were missing, the triage, the un-triaged. So, the
29 sheer volumes of what it stated in that letter drove

1 that meeting, from what I understand. It wasn't just
2 one or two, it was significant and was going to require
3 a significant lookback to make sure that those patients
4 were safe. This wasn't just something you were going
5 to be able to do within a small team, it was going to 14:44
6 require a reasonable resource to sort.

7 276 Q. If we just scroll down a little to the context. You
8 under issue 1 are describing some of the background to
9 this?

10 A. Yes. 14:44

11 277 Q. What were, in essence, the concerns from a Governance
12 team perspective that you were rehearsing?

13 A. Really the lack of correct triage. With the big
14 numbers, there was bound to be a number of patients
15 within the ones that the GPs had referred through as 14:45
16 routine who weren't routine. If they had not been
17 properly reviewed, there was a number in there who were
18 potentially red flag patients who were sitting on a
19 very long routine waiting list. Obviously, if they
20 were actual cancer patients, or significant disease, 14:45
21 they needed to be seen urgently and picked. That wait,
22 even that year and a half wait, could have been
23 catastrophic for them. Whereas if they had disease,
24 that maybe could have been treated early.

25 278 Q. So your focus was the triage and implications of that? 14:45

26 A. Well, obviously the dictation was equally as concerning
27 because, I mean, if a patient seen a clinic and needed
28 referred to another service or to the Cancer Centre in
29 Belfast, Oncology, I mean was that eighth patient,

1 nearly a two-year wait for that again would have the
 2 same impact on the patient's risk of disease
 3 progression.

4 279 Q. As we can see, if we just scroll down to the summary
 5 section on the second page. Just there, thank you.

14:46

6
 7 "Concerns crystallised around the strong possibility
 8 that patients may have come to harm and a decision was
 9 made that Mr. O'Brien should be excluded for the
 10 duration of a formal MHPS investigation."

14:46

11
 12 Did you speak to the need for that or was that out with
 13 your role?

14 A. No, that was out with my role. I was obviously
 15 presenting the situation that the Patient 10 SAI had
 16 led to the subsequent exposing that it was a big issue.
 17 After that, I really was after the meeting, I wasn't
 18 contributing after that. As I say, it was the members
 19 of the Oversight Group that were having those
 20 discussions.

14:46

21 280 Q. Obviously, at that time the SAI report in virtually its
 22 final form was available, and it spoke to Patient 10
 23 having a probable cystic renal tumour. In a sense was
 24 that development - an awful expression - was that the
 25 game-changer here in terms of this matter coming
 26 forward? One could make the argument that the risk to
 27 patients because of this process was as obvious as the
 28 nose on your face and should have been obvious from a
 29 long way out. Certainly, by the middle of that year

14:47

14:47

1 when Mr. O'Brien was being tasked with these questions,
2 that was the time to do the deeper dive.

3
4 Can you explain, and I know you were unsighted on this
5 until relatively late in the chronology, but can you 14:48
6 explain or help us to understand why what appears so
7 obvious now wasn't obvious to the likes of Dr. Wright
8 and Mrs. Gishkori? Was it a case of waiting to see if
9 harm developed?

10 A. I don't know. I don't think so. I don't think it was 14:48
11 a waiting. To be honest, obviously the decision to
12 maybe use that method of triage was before their time.
13 So, there had been a turnover in the Director of Acute
14 Services and a change of Medical Director potentially.
15 Maybe not Medical Director. 14:48

16 281 Q. There certainly had. Dr. Wright came in in the middle
17 of '15, I think.

18 A. Yes, it could have been a decision made before they
19 both were in post. So I don't think it was anything --
20 I just think they were unsighted to the risk that was 14:49
21 there. Patient 10 was unfortunately, like, proof that
22 actual harm could happen and did happen to patients.
23 Significant harm.

24 282 Q. Yes. The description in front of us suggests that
25 Dr. Wright was then to contact an organisation called 14:49
26 NCAs to seek advice in relation to all of this. Have
27 you ever used the services of NCAs?

28 A. I am aware of NCAs because pharmacy started to have the
29 option of using NCAs a number of years ago so I am

1 aware of the NCAs service. I've never used it myself.
 2 I never had a need to.

3 283 Q. Do you have reflections to offer on the fact that
 4 advice has been taken after a decision - one might call
 5 it a decision in principle perhaps - after a decision 14:50
 6 has been taken?

7 A. In hindsight, I mean it would be better -- obviously
 8 I think I think Mr. O'Brien was still off sick.

9 284 Q. Yes.

10 A. So there was opportunity to gather more advice in terms 14:50
 11 of the way forward because he imminently -- maybe he
 12 was imminently due to return, actually.

13 285 Q. Early in the new year?

14 A. Yes, sort of thing. But certainly if I was making that
 15 decision, I think I would have gathered as much 14:50
 16 information as I could and advice before me, and then
 17 come up with a formal plan as to what to do next.

18 286 Q. Yes. If we scroll back up the page, we can see just
 19 there that a particular action was directed for your
 20 attention. 14:50
 21

22 "It was agreed to consider any previous IR1s and
 23 complaints to identify whether there were any
 24 historical concerns raised."
 25

26 The suggestion that this would be done. why does this
 27 need to be put in a historical context; what is the
 28 purpose of gathering this information?

29 A. I assume -- I mean, I actually don't remember that

1 action, but it was there. My interpretation of that
2 was they were checking to see was there anything else
3 in the system going back in terms of IRIs or complaints
4 that could have given a heads-up as well as to what was
5 happening.

14:51

6 287 Q. Yes. We've looked at the minutes for 10th January, the
7 next Oversight Committee meeting. The minutes for
8 that, just for the Inquiry's note, is WIT-88160.

9
10 They don't pick up on this action; it's not recorded
11 that anything was done --

14:51

12 A. No.

13 288 Q. -- around it. Was this issue, this action, forgotten
14 about by you and no steps taken?

15 A. I doubt it. I wouldn't be in my nature not to act to
16 complete an action, particularly -- and again when
17 I reflect on it, it was the first time I had ever been
18 to an Oversight meeting, so if Dr. Wright had given me
19 something to do, if that was to happen again, I would
20 have probably left the meeting and immediately actioned
21 it. Obviously I was conscious that this wasn't common
22 knowledge, it was being kept confidential within the
23 group so it wasn't that I could use everybody to action
24 that. To do that, you would have to interrogate using
25 free text search on the Datix system, which wasn't
26 something I was particularly competent at. I had a
27 working understanding of Datix but not the in depth you
28 would have needed to do a free text search.

14:52

14:52

14:52

1 Initially when I looked at that, I thought right,
2 I would have either asked Trudy to do it for me, Trudy
3 Reid the Governance Lead, or a gentleman called David
4 Cardwell who was a real expert in the Governance team.
5 I have since seen an email which makes me think that it 14:53
6 wasn't David that I asked; if I asked anybody, it was
7 Trudy Reid.

8
9 The fact that I have no documentation, or if we found
10 something, there would have been a spreadsheet of the 14:53
11 list, and there's nothing in my emails that I sent
12 Richard back. I can't say for definite but I imagine
13 what happened was that I phoned Richard and said
14 there's nothing there. I got a report back to say they
15 couldn't find anything, whether it was Trudy. It's 14:53
16 probably worth asking Trudy does she recall that.
17 I would have been nervous about doing the search myself
18 because that wasn't a search I would have routinely
19 done.

20 289 Q. We'll go to the email you have just referred to in a 14:53
21 moment.

22 A. Mm hmm.

23 290 Q. It's clear on our searches of material produced for the
24 Trust and by yourself for us that quite apart from
25 there being no record in the minute of action being 14:54
26 taken on this matter, there's no other material such as
27 a report or a note --

28 A. No.

29 291 Q. -- that you are aware of to suggest that any steps were

1 taken. Your evidence is if I was told to do something,
2 I generally do it, I just can't produce for you proof
3 of what I done?

4 A. Yes, and that makes me think nothing was found, which
5 wouldn't have surprised me, to be honest, because at 14:54
6 that stage we were using a much older version of Datix
7 and it wasn't straightforward to search.

8 292 Q. Yes. The email to which you refer, I think, is found
9 at TRU-01366. This the Inquiry will be aware of. The
10 patient referred to within the email is Patient 16. 14:55
11 The Inquiry has heard from his daughter as part of our
12 patient hearings.

13
14 Let me just scroll down a little bit to get this in the
15 right order. So, 22nd December, Trudy Reid, Governance 14:55
16 Lead in Acute, has written to you as regards Patient
17 16, querying whether this should be -- it was a
18 complaint from the daughter concerning a stenting issue
19 and a failure of communication. "David has asked is
20 this a potential SAI." 14:56

21
22 David Cardwell is who?

23 A. That's correct. David, at that time his role was the
24 most senior of the admin team who supported Acute
25 Governance. He would have dealt with complaints coming 14:56
26 in; he would have looked at them and assigned them to
27 the correct team to do a response, to investigate and
28 respond. David obviously was experienced enough to see
29 that coming in and realised that it was significant.

1 But as you see higher, he wasn't aware of the work we
2 were doing in terms of looking at Mr. O'Brien's triage
3 and so on, and the cases. So, that's what makes me
4 think, if you scroll up, you will see in fact --

5 293 Q. Scroll up. We can see you writing back to --

14:57

6 A. That was the day after I had been asked to complete
7 that action. When I saw that email, I thought, right,
8 I didn't ask David to run that report because he didn't
9 have any knowledge of what was going on. If anybody,
10 it would have been Trudy that I asked to run the
11 report.

14:57

12 294 Q. One of the issues that the Inquiry is grappling with is
13 the question of whether the Trust could have done
14 better in terms of setting the parameters and the terms
15 of reference for the MHPS investigation. That question
16 arises because self-evidently in 2020, four years after
17 the MHPS investigation, other issues of concern
18 pertaining to Mr. O'Brien's practice emerged. The
19 question is could those issues have been identified
20 earlier and examined as part of an examination. So,
21 the action that was directed to you to gather whatever
22 information there might be out there in relation to
23 incident reports, complaints and what have you is
24 relevant in that context.

14:57

25 A. Yes.

14:58

26 295 Q. You believe that you didn't approach David Cardwell,
27 but isn't he the very person, when you think about it
28 now with his knowledge of incident reports and what
29 flows from them, he's the very person perhaps should

1 have been approach?

2 A. He would have been but Trudy actually had been working
3 with the governance system. She was our Governance
4 Lead. She had an excellent knowledge, because at that
5 stage she was starting to work to develop dashboards 14:58
6 with David, so the two of them had been -- ward-based
7 dashboards for governance risk which the Datix system
8 could do. So, Trudy had a very good knowledge of
9 the -- I mean, David would have been better but given
10 that it was to be kept in a confidential group of 14:59
11 people who were aware of what was happening or what had
12 been discovered, Trudy was in the loop. That's why
13 I think if I ask someone to run a report, it would have
14 been Trudy.

15 14:59
16 To be honest, the Datix system, there was no space at
17 that point or it wasn't routinely that the doctor's
18 name was recorded on it. To search, the only
19 opportunity to search was a free text search, and you
20 would have had to search under all the ways that maybe 14:59
21 Mr. O'Brien could have been named in a document to try
22 and find. So, the Datix we were using at the time, it
23 wasn't the web-based one, I don't think, at that point.
24 So it was unwieldy in terms of trying to find data.

25 296 Q. Although the Inquiry is aware of complaints, at least 14:59
26 one incident report leading to a SAI which predated all
27 of this, nothing of that nature was brought to the
28 attention of the Oversight Committee because it simply
29 wasn't found?

1 A. I assumed that is what happened, that it wasn't found.
2 I am sure there were reports in there. I suppose the
3 failsafe to that would be when a Datix goes in, then
4 the team, the surgical team get emailed. Whether there
5 was a memory amongst them involved that there had been 15:00
6 others, if that had come out, then we could have more
7 proactively tried to search the system. Obviously
8 I wasn't asked to pursue it any further. As you say,
9 it wasn't mentioned then at all in the next set
10 of minutes of the meeting I attended in January. 15:00

11 297 Q. Plainly, Patient 16's case, the complaint from his
12 daughter, made its way into the SAI system after
13 screening?

14 A. Mm hmm.

15 298 Q. Was that not material which should have been considered 15:00
16 to see if there were any other concerns in relation to
17 Mr. O'Brien's practice that merited investigation?

18 A. I think in that action, part of it was to check the
19 complaints. All the complaints were kept obviously on
20 a massive database as well. But again, it would have 15:01
21 been a free word text to try and identify --

22 299 Q. This one is on your desk?

23 A. Sorry, this one? This is on the next day. Apologies.

24 300 Q. My question is --

25 A. Yes, I see what you mean. 15:01

26 301 Q. I'm not saying necessarily anything would have flown
27 from it, but is this not the kind of up-to-date
28 material that should have been considered by the
29 Oversight Committee to see is there anything in that,

1 are there any behaviours arising out of that complaint
2 that merit a deeper look?

3 A. Well, my understanding is that was an SAI.

4 302 Q. Yes.

5 A. And obviously that next day, that was Ronan's team it 15:01
6 was in the system. It would then have been screened
7 and went on to become an SAI. I had been asked at the
8 thing to look for historic ones, previously. That was
9 the next day and that then went into the actual SAI
10 process to look for the -- to be investigated and find 15:02
11 out what had happened. But certainly when I tried to
12 find historic ones, in the past, well, I am assuming
13 I found nothing because there is no spreadsheet of
14 cases.

15 303 Q. Yes. 15:02

16 A. Unfortunately, which it's a shame I didn't email rather
17 than...

18 304 Q. Word of mouth?

19 A. I regret not having some sort of email to show that
20 that action was complete. 15:02

21 305 Q. Very well. Your attendance on 10th January at the
22 Oversight Committee was your last involvement in the
23 case?

24 A. Yes.

25 306 Q. Could I then ask you just some general issues arising 15:02
26 out of the SAI activity that was taking place and which
27 you had some involvement with. If we could go to
28 WIT-88155. You will recall that Mr. Glackin was the
29 lead clinician on Patient 10's --

1 A. Patient 10.

2 307 Q. -- SAI. You had been given a direction by Dr. Wright
3 to ask Mr. Glackin to share the report with Mr. O'Brien
4 to invite his comments on the factual accuracy and what
5 have you. Would you just scroll down. That's your 15:03
6 email to him. As I have said, Dr. Wright has asked you
7 to share the report. His answer up the page, please,
8 is that:

9

10 "Draft 8 of the report was completed this evening, 15:04
11 10th January. I will be not sending the report to
12 Mr. O'Brien. I am his colleague and not his manager."

13

14 If we go to 257719 in this sequence. TRU-257719. You
15 explain, in response to Mr. Glackin, you totally 15:04
16 understand

17

18 "But the normal process would be that the Panel Chair
19 shares the report with the key people involved, and we
20 are very careful to stay within the Trust SAI guidance, 15:05
21 but I think if either Esther or I send a final report
22 to him and ask for his comments, it would still be
23 okay."

24

25 You've set out what you understood to be the process; 15:05
26 Mr. Glackin protests, saying I'm his colleague, not his
27 manager. He was also, in this context, Chair of the
28 SAI Review. What did you make of his response? Did
29 you sense that he was simply uncomfortable because

1 Mr. O'Brien was a close colleague and presumably
2 possibly a mentor? Was there a discomfort around this?

3 A. I got the impression he felt very conflicted. In your
4 role as Chair of the SAI, that is one of your tasks.
5 You know, when you get to the final working draft, that 15:06
6 a courtesy to the staff who have been named in it, you
7 share it with them to ensure when you have spoken to
8 them or captured their -- it's like an accuracy check,
9 they don't get to change the outcome. It is only fair
10 to make sure they get the opportunity to comment on the 15:06
11 accuracy of their involvement and if they have been
12 quoted or whatever. So, it is a normal step in the
13 process and it is the Chair's responsibility to do it.

14
15 Obviously in this one, Mr. Glackin, I understood, was 15:06
16 very conflicted, as you say, being a colleague and
17 I understand now that he saw Mr. O'Brien almost like a
18 mentor, as you said. When I had been asked to do that
19 and it came back, obviously I went back to Esther and
20 Richard and it was taken. The MHPS Panel, 15:06
21 I understood, took on that. How they shared it,
22 I wasn't involved in sharing it after that.

23 308 Q. Is this a problem you frequently encounter, where
24 somebody from the same department or the same service
25 is the Clinical Lead on the review, and you are placed 15:07
26 in this position?

27 A. It was the first time I had a Chair not do it or refuse
28 to do it. There's been Chairs not do it maybe because
29 they didn't realise they should do it. In terms of

1 refusing to do it, I think it showed the level of
2 uncomfortableness that Mr. Glackin found himself in.

3 309 Q. You have described here in this email your view that
4 this was not a normal SAI. It was perfectly normal in
5 the sense that there had been a missed triage, if I can 15:07
6 put it in those terms, an IR1 is raised by Mr. Haynes
7 and a process is in the conventional form. Why wasn't
8 it normal?

9 A. I suppose what I was trying to allude to there was it
10 had triggered the MHPS process. Normally SAIs tend to 15:08
11 be a mixture of things that have happened, almost like
12 you see those Swiss cheese models, for instance. It's
13 a mixture of how things all went wrong that allowed an
14 incident to happen, whereas this one was very different
15 because the root cause was a sole person. Well, that's 15:08
16 not fair, actually. There was an issue with the
17 radiology report, but actually the key thing was in
18 terms of the lack of triage. It was unusual in that it
19 involved a person rather than a set of actions and
20 systems that had gone wrong. 15:08

21 310 Q. You have explained in your witness statement again that
22 following the lookback at triage, five further cases
23 were identified for review, and Dr. Julian Johnson led
24 on that. He was external to the Trust; is that right?

25 A. That's correct. I think Dr. Johnson had been an 15:09
26 anaesthetist in the Belfast Trust. He certainly was
27 from the Belfast Trust; recently retired.

28 311 Q. Your role in that was simply to support Trudy Reid with
29 correspondence and administrative steps?

1 A. Yeah. I was still meeting Trudy on Tuesday morning and
2 she was then supporting Dr. Johnson in getting the five
3 SAIs completed.

4 312 Q. You say something about the governance response to what 15:09
5 was being revealed by these SAIs in terms of previously
6 the system having an awareness of things not being
7 triaged but it not ringing any alarm bells. I just
8 want to tease this out with you. WIT-87668. At 40.2
9 you say that the learning that you are aware of is that
10 such important parts of the patient care system that 15:10
11 rely on individual actions should be made visible so
12 that activity can be monitored regularly so that
13 problems can be identified and addressed quickly.
14

15 So, you presumably agree that it's not enough that the 15:10
16 booking centre was in some sense aware that triage
17 wasn't coming back, it needed to be more visible than
18 that?

19 A. Yes. It's a bit like when I took over the Governance
20 team in 2014, the fact that we didn't have reporting 15:11
21 allowed the unopened incidents to be invisible. To me
22 it is the same thing. If you had regular reporting on
23 something like this where you knew you maybe had a risk
24 that you were managing, a regular report to show how
25 far behind the triage was getting, something that was 15:11
26 much more visible than relying on, as you say, more
27 junior staff who maybe didn't always feel able to
28 escalate or understand the importance of the risk.

29 313 Q. You explain there that a report was developed by which

1 triage activity against GP letters was documented for
2 each speciality. would that reveal outliers or, if you
3 like, inactivity where activity is suspected?

4 A. Yes. Obviously the Assistant Directors could quickly,
5 at a glance, make sure their team were up to speed. 15:12

6 314 Q. If we go to WIT-87669. Just down on to the next page,
7 I think. At 41.3, you're explaining that -- just up a
8 little bit please, sorry. You're saying that you think
9 that as regards the triage issues that emerged in 2017,
10 there was a failure by the Medical Directors and the 15:12
11 Director of Acute Services to engage fully with and
12 address the problems identified at the time. You say
13 in your opinion:
14

15 "Both of these roles had a leadership responsibility to 15:13
16 make sure that a robust process and monitoring system
17 were in place and to seek ongoing assurances. "
18

19 what exactly did you mean by that? Obviously the
20 issues around triage went back much further than 2017; 15:13
21 others were responsible for the system that was
22 implemented which allowed in some sense the
23 requirements of triage to be bypassed. why would you
24 say there was shortcomings on the part of Medical
25 Directors, plural, and the Director of Acute Services? 15:13

26 A. I think obviously I wasn't aware that there had been
27 issues before I came into it in 2016/2017. I think
28 once that became obvious, you know, having any
29 witnesses, with hindsight, now that I have read some of

1 the other stuff that has been shared with me, there
2 always need to be someone take charge and make a plan.
3 I think there was an intention to do that, but with the
4 turnover of staff and the inexperience of some staff.
5 I think Dr. Wright was very experienced from my
6 understanding; I haven't worked with him. I believe he
7 managed big cases in Belfast before he came to us as
8 Medical Director. Sadly, Richard had quite serious
9 ill-health issues at the time so he didn't get to
10 finish. Esther then was inexperienced. Then Dr. Khan
11 came along as our Medical Director for a period of
12 time. Again, my sort of impression was a level of
13 inexperienced. He was very experienced with quality
14 improvement but not necessarily maybe with clinical
15 governance. The Children's Directorate he had come a
16 from was much smaller and he wouldn't have the big
17 cases potentially that Acute would have dealt with
18 sometimes.

15:14

15:14

15:14

19 315 Q. Yes.

20 A. I think it was almost like, again the Swiss cheese
21 model, the coming together of weaknesses which meant
22 there wasn't a driving force that kept the process
23 going.

15:15

24 316 Q. Are you talking about the MHPS process. This appears
25 to be --

15:15

26 A. I suppose I mix up the MHPS, because to me that was how
27 this was being sorted, if you know what I mean. It was
28 never really discussed at governance or anything. The
29 Governance team weren't involved after that. In my

1 head, certainly in my understanding, the issue,
2 including the triage and everything that was going on,
3 moved to MHPS. It was never at our table for the Acute
4 Governance discussions or team, apart from Trudy
5 supporting subsequently the resulting SAIs that came 15:15
6 out of the lookback exercise.

7 317 Q. Yes. In fairness to the three people you have named,
8 if we take 41.1 and your reference to the 2007 triage
9 concerns. It's that description I'm picking up on.
10 Dr. Khan, for example, he was the case manager for the 15:16
11 MHPS investigation, which became a lengthy
12 investigation. Although he became Medical Director
13 because of Dr. Wright's illness in 2018, I am curious
14 in terms of what could he have been doing about triage
15 at that point? 15:16

16 A. I may have worded that wrongly. I suppose I call it
17 the triage issue, that was the moment where the triage
18 brought the issues with Mr. O'Brien to a -- so I'm
19 probably not meaning -- I don't mean the triage there,
20 it was the actual his practice. The management of 15:16
21 Mr. O'Brien as a risk in terms of his practice is what
22 I am alluding to there. It isn't particularly the
23 process of triage. Sorry, because that was the only
24 bit that I was involved in and in my head it was a
25 triage issue. 15:17

26 318 Q. Yes.

27 A. But I probably worded -- now that I read that from your
28 understanding, it was more how they were going to
29 address the issues identified with Mr. O'Brien's

1 practice.

2 319 Q. Yes.

3 A. Sorry about that.

4 320 Q. Just a small number of other SAI issues before we
5 conclude with your reflections. AOB-01619. Just at 15:17
6 the bottom of the page, please. You're writing on
7 7th June 2017 to Ronan Carroll. This is in relation to
8 the sharing of SAI reports. You say:
9

10 "Once the final report is signed off, it should then be 15:18
11 shared with the staff involved in the incident."
12

13 "Previously this was the relevant Associate Medical
14 Director's role but the team" - is that the governance
15 team? 15:18

16 A. Yes.

17 321 Q. - "was getting feedback that this step wasn't happening
18 consistently. So recently, following approval by the
19 Associate Medical Director, they started sending the
20 report to the list of key staff agreed with the Panel 15:18
21 Chair."
22

23 I'm just picking up here on what you appear to be
24 saying, which was a practice had grown up whereby those
25 who really should receive the final SAI report, those 15:18
26 who need to see it to understand the implications
27 perhaps of the error or whatever it is, they weren't
28 getting to see the report --

29 A. Yes.

1 322 Q. -- for a period of time?

2 A. What was happening at that point, obviously the process
3 was the Chair, as I mentioned, when they were getting
4 to their final draft, shared it with the staff named in
5 the report for an accuracy check. Then the next step, 15:19
6 once that was completed, was the draft went to the
7 Friday morning Acute Clinical Governance meeting once a
8 month. That's where the AMD responsible for that
9 division in Acute presented the report to the other
10 AMDs, and the ADs. It was a good meeting, it was a 15:19
11 good opportunity. It was a bit like a Dragon's Den
12 situation where the others challenged it and made sure
13 that it was a good report, that was easily understood,
14 were there any flaws in it and things that needed to be
15 addressed. Then at that point, that was the final step 15:19
16 and it was finished and it was ready to go to the
17 family. Obviously as well as going to the family and
18 the Board, the staff involved should receive a copy so
19 that either reflect on it, or it could have been part
20 of their appraisal. Out of courtesy, they should have 15:20
21 received a version of the final redacted report.

22
23 The Governance team had sussed that that wasn't --
24 obviously the AMD's busyness, the having to start and
25 share that, because when it came to Acute Clinical 15:20
26 Governance, it was already redacted so that they then
27 would have had to go back to the key for the staff
28 involved. So, it was actually easier for the
29 Governance team to do it for them. Obviously we had to

1 get permission for them to allow that to happen. Ronan
2 is just checking, obviously, in that email the
3 background to that.

4 323 Q. I understand. A final IR1 point brings us back to
5 David Cardwell, who you mentioned earlier. This is a 15:21
6 case that we have mentioned at various times through
7 our hearings and it concerns Patient 102. I preface my
8 questioning by acknowledging that you have no direct
9 knowledge or interest in this case, but I ask you these
10 questions from the perspective of you having an 15:21
11 understanding from a governance angle of the IR process
12 and what should generally happen.

13
14 If we go to the incident report that was raised in
15 respect of Patient 102, it is to be found at WIT-54874. 15:21
16 You can see, just scrolling down, that the incident
17 date is given as 20th November '14, and the description
18 is that the patient was discussed at a urology MDM on
19 20th November 2014. The recorded outcome was a
20 restaging MRI scan has shown an organ-confined prostate 15:22
21 cancer for direct referral for Dr. H. for radical
22 therapy and for outpatient review by Mr. O'Brien.

23
24 "Was reviewed by Mr. O'Brien in Outpatients on
25 28th November 2014. No correspondence created from 15:22
26 this appointment. A referral letter was received from
27 the general practitioner on 16th October 2015" -
28 that's a year later - "stating that Patient 102 had not
29 received any appointments from Oncology."

1
2 That is the incident report that enters the system.

3
4 The Inquiry has been told by the Trust that there's no
5 record of a screening decision for this case and it has 15:23
6 concluded that the case was never screened. What we
7 can see, if we scroll down to 54879 in this document,
8 four pages down, and just at the top of 79, it's
9 described as a feedback message from David Cardwell.
10 The feedback is: 15:23

11
12 "Hi Martina, Helen Forde has asked me to send this to
13 you with the following message: I think it should go
14 to Martina Corrigan as it says there was no
15 correspondence for the appointment, so it wasn't that 15:24
16 the secretary didn't type it, I think it was that it
17 wasn't dictated, so that would need to go to Head of
18 Service for Urology to discuss with the consultant.

19
20 Regards David Cardwell". 15:24

21
22 When a case enters the system via an incident report,
23 what should generally happen to it?

24 A. Well, what happens is the member of staff who puts the
25 report in - I think when I looked at this, it was the 15:25
26 Mr. Haynes himself. On the Datix system there is a
27 number of boxes you tick to say where did the incident
28 happened; did it happen in surgery and so on. When you
29 tick those boxes about location, the system

1 automatically generates an email alert to say the gist
2 of the incident, the text that he'll have put in about
3 what happened; e-mails the designated people that
4 surgery, for example, have chosen to be the recipients
5 of the Datix message. When Mr. Haynes put this in, I 15:25
6 think you can see - if you scroll down - you can see
7 who got those. Sorry, maybe scroll up.

8 324 Q. One of the earlier pages?

9 A. Yes, one of the earlier pages lists the email
10 recipients for that Datix. It's usually there 15:25
11 somewhere. They are not the easiest to use when they
12 are printed like this.

13
14 On the Datix - yes, here we go - on the Datix system,
15 each team decides who is to receive Datixes for their 15:26
16 section. That's in Datix, you put the email addresses
17 and stuff in. Automatically if surgery gets ticked,
18 you can see Heather Trouton, who would have been the
19 Assistant Director of Surgery, she would have got an
20 alert from Datix, and her team. Likewise then, if 15:26
21 Urology was ticked, then Mr. Young got a copy as well
22 because he was the Clinical Lead for Urology. That's
23 the way Datix works.

24
25 Sometimes what happens is -- obviously when I read that 15:26
26 one, I could see -- when you see the changes at the
27 bottom of it, it came in and obviously it was
28 immediately looked at and they assumed it was a
29 dictation issue, i.e. someone forgot to dictate it or

1 type it. So, it was moved from surgery to functional
2 services, which then generated another set of emails to
3 the likes of, I think, Helen Forde and the people --
4 Katherine Robinson, because they manage the typists,
5 the audiotypists and so on. At that stage they're 15:27
6 obviously think it was the missed and never got typed.
7 Obviously when Helen and the rest of the time
8 investigated, you can see -- and I am picking this all
9 up just reading the Datix, just for understanding it.
10 They obviously thought no, it was never presented for 15:27
11 dictation in the first place. So, it was like a
12 failure to act on the MDM action. So, they put it back
13 and the Governance team then swapped it back to the
14 surgical team to act. Obviously that's where Martina
15 and David, who was administrating, and also Vivienne 15:27
16 who is an administrator in Governance as well, moved
17 that IR1 back into the surgical list of incidents to be
18 addressed. Then that puts it back into the Assistant
19 Director Head of Service to look at that IR1 and say,
20 right, this is serious and put it on the list, and 15:28
21 classify it as a potential SAI for screening.

22
23 So, David would not be a decision-maker. He's not
24 clinical, he's an administration person.

25 325 Q. I read it out to you a short time ago. Where it's put 15:28
26 back from David to the Head of Service, Martina
27 Corrigan, to speak to Mr. O'Brien about an absence of
28 dictation, are you saying that's not a decision in the
29 sense of the matter; it was intended that the matter

1 should go further?

2 A. Yes. I would understand reading that that was back for
3 them to look at to see why -- to list it for screening
4 or to assign it a severity of incident that would then
5 lead it to be screened.

15:28

6 326 Q. Clearly there were two issues. One issue was whether
7 the City Hospital had received a direct referral, and
8 there is information there that suggests that the
9 referral had been made --

10 A. Mm hmm.

15:29

11 327 Q. -- but hadn't been received in Belfast. Then there is
12 this issue that you focussed on in your answer, which
13 was the absence of dictation?

14 A. Dictation.

15 328 Q. Why are both those issues not capable of being
16 considered as part of this incident report at the same
17 time? Why does the one about dictation get bounced
18 back, if your analysis is right, back to --

15:29

19 A. My understanding, I would interpret that David or the
20 admin team didn't appreciate. They would have seen the
21 dictation and not really understood the consequences of
22 the failure to act on the MDM referral or the MDM
23 decision. I think it was probably just in their
24 understanding, the lack of dictation would be an issue.
25 I think they probably just missed the nuance of the
26 implication of the MDM decision not being acted.

15:29

27 329 Q. Yes.

28 A. I don't think there was any -- it was just their
29 understanding. Obviously there is clinical people

1 involved who would have - when reading that report -
2 would have understood the risk that that presented.

3 330 Q. But your understanding of this is that issue of a
4 failure of dictation, if that is what it was, should
5 have been screened and somebody should have made a
6 decision whether it warranted an SAI? 15:30

7 A. Certainly, yeah, because the adverse outcome of a year
8 not seen by oncology for a patient, yes. It's quite a
9 good one, that SAI, to illustrate why free text
10 searches were so difficult on Datix at that time. 15:30

11 I notice, it just caught my eye at the beginning, how
12 Mr. O'Brien was named in it and it is certainly not any
13 way that I ever seen him named in anything. So,
14 someone who was searching free text in that would never
15 think to put Mr. O apostrophe B in. You can see it 15:31
16 caught my eye when I saw that. I hadn't seen him
17 called that. It's always AOB.

18 331 Q. If I was to portray this or describe it as an example
19 of underreporting or a failure to follow through on
20 what should have been an SAI review, whether that's 15:31
21 right or wrong do you have a sense of the extent to
22 which the Trust had a problem with underreporting or a
23 failure to grapple correctly with the applicable test
24 for opening the door into the SAI arena?

25 A. I wouldn't have been aware of a big issue, I have to 15:31
26 say, between everybody. People were good when they saw
27 something in a complaint, or bringing it to the fore in
28 terms of having it screened. Because obviously there's
29 people in this email who would have understood the

1 context of that, clinical people. So I'm not --
2 I don't understand why that one didn't come up for
3 screening.
4

5 The problem is there's so many Datix because they are 15:32
6 for all sorts of things and there's lots of them. So,
7 it's very difficult for the Governance team and the
8 coordinator to see every Datix, it's just not doable,
9 they would spend their time doing it. You rely on the
10 specialist teams going yes, that's a concern, and 15:32
11 bringing it forward in terms of needing further work.

12 332 Q. Yes. Just finally, Dr. Boyce, you set out some
13 reflections or learnings within your statements. If
14 I could just come to some of those, please. If we go
15 to WIT-87667. Let's go to the top. Scroll up 15:33
16 slightly. You say in your opinion there was a
17 combination of factors that have contributed to what
18 went wrong within Urology Services.
19

20 Could you define for us, first of all, what do you 15:33
21 think was wrong within Urology Services? Is your
22 diagnosis specific to Mr. O'Brien; is it broader than
23 that? Do you consider the systems of management and
24 governance as things that went wrong?

25 A. I mean, I answer that in relation to Mr. O'Brien, 15:34
26 because the question to me was what went wrong within
27 Urology.

28 333 Q. Yes.

29 A. Certainly that is what I was aware of was in terms

1 of -- I was answering in terms of the management of the
2 issues that came to the fore in terms of his practice.

3 334 Q. Yes. Before we get to that, do you recognise that
4 there were significant shortcomings in the management
5 and governance of the systems within Urology that 15:34
6 weren't right, weren't properly focussed and weren't
7 well supported?

8 A. I mean, I obviously was never in Urology, working
9 closely within Urology so I couldn't comment on the
10 specifics of Urology. Obviously we spoke this morning 15:34
11 about my concerns about the lack of general governance,
12 support and resource available to all the teams within
13 Acute Services. That probably was my underlying
14 concern for everybody. It meant then when there was an
15 issue with a certain practice, it obviously was more of 15:35
16 an issue in a particular speciality.

17 335 Q. Yes. Looking at what you have said here, you have
18 explained that, in your view:

19
20 "Mr. O'Brien was responsible for ensuring his own 15:35
21 practice was of the highest standards. If something in
22 the organisation was stopping him from doing this, in
23 my opinion he should have escalated it through the
24 correct panels whilst continuing to do his best to
25 ensure patient safety until it was resolved. He was a 15:35
26 senior member of the profession and, like all senior
27 registered staff including myself, he was responsible
28 for ensuring that his practice was evidence-based and
29 in line with current best practice."

1
2 In terms of that reflection, is that directed towards
3 triage in particular or is it broader than that?

4 A. I think it's broader than that in terms of
5 administration and all the things that I have since 15:36
6 read in terms of Bicalutamide prescribing. Obviously
7 I'm a senior member of staff and a registered
8 professional, but I don't expect someone to be watching
9 me all the time. That's why I'm in that position,
10 because I have to -- I am being trusted to deliver my 15:36
11 practice at that level. If I have a problem with
12 resource or whatever, I don't stop doing the thing,
13 I keep doing it to the best of my ability or putting my
14 hand up and saying I need help here. But I don't...

15 15:36
16 I think, for example, in my practice ending up helping
17 with governance was not something I ever anticipated
18 having to do, but it had to be done so you get on with
19 it. I got the impression from some of the stuff I read
20 it was maybe because Mr. O'Brien felt he wasn't being 15:37
21 properly resourced, he just didn't do it. When
22 people's safety is at risk, you can't as a professional
23 do that.

24 336 Q. To take triage in particular, maybe other issues as
25 well, Mr. O'Brien has made the case that his difficulty 15:37
26 with triage, his difficulty with the administrative
27 aspect of his practice was well known, and he protested
28 to the Trust.
29

1 what's the basis for your impression or belief that
 2 Mr. O'Brien didn't escalate issues through the correct
 3 channels?

4 A. The fact that there were so many, for example,
 5 un-triaged or undictated, and the fact they weren't 15:37
 6 being done, and that he wasn't coming forward. At the
 7 end of his week, he could have emailed to say I didn't
 8 get these done. To me that would have been the
 9 proactive thing to do. If he had literally run out of
 10 time, he should have immediately flagged an issue so 15:38
 11 that if there was any other way of dealing with it,
 12 immediately it could have been dealt with rather than
 13 letting it build up and build up unknown to his line
 14 manager, for example, Mr. Young.

15 337 Q. Yes. Of course, the other side of the coin is that -- 15:38

16 A. Yes.

17 338 Q. -- the Trust either should have had systems to detect
 18 these shortcomings, or it otherwise knew about them and
 19 let them go. Maybe to some extent the reason for
 20 letting them go is reflected in some of your other 15:38
 21 observations. These are your perceptions, of course,
 22 or your opinions .

23
 24 "Mr. O'Brien was a senior member of the medical staff.
 25 He trained younger members and this led to a reluctance 15:39
 26 to critically review his practice and challenge him
 27 when abnormal practice was identified. And perhaps
 28 linked to that, his seniority, well-respected by other
 29 experienced consultants and these people may have

1 discouraged others from challenging him."

2
3 Is this again borne out of your reading the evidence,
4 or was this something that has been the subject of
5 discussion and reflection in your company before you 15:39
6 left the service?

7 A. It's probably a bit of both. Obviously, reading the
8 evidence in terms of -- and obviously my experience of
9 asking Mr. Glackin to share the SAI report and his
10 reluctance. To me, it showed his conflicted situation 15:40
11 that Mr. Glackin found himself in in terms of having to
12 address that with Mr. O'Brien.

13
14 But also, I mean, it was -- I can't even tell you how
15 I know this but it was common knowledge that 15:40
16 Mr. O'Brien was well-connected within the Trust.
17 I don't know how I knew but I did know he had relatives
18 who were barristers. You know, it was well-known
19 amongst senior staff that he was connected. When
20 I look back and think why didn't -- was there a more 15:40
21 robust, I think there was a level of nervousness in
22 terms of addressing as aggressively as we maybe would
23 have with others.

24 339 Q. At (d) you reflect an excessive workload on management
25 and leaders? 15:40

26 A. Very much so.

27 340 Q. The inquiry has heard some evidence about I suppose the
28 difficulties faced by medical managers, those in the
29 AMD, CD Clinical Lead cadre; busy clinical practices

1 but also a heavy job description that goes with these
2 managerial roles?

3 A. Very much so.

4 341 Q. Any particular reflections to offer on how that might
5 be done better?

15:41

6 A. Again, I suppose it came back to when I put the
7 proposal in about giving protected time for,
8 particularly, clinical staff to focus on governance.
9 I would still have that opinion, either that or maybe
10 outsource some of it to - what I am doing at the
11 moment - recently retired people who are still
12 experienced enough that they can bring their recent
13 knowledge to Chair SAIs and take that pressure off
14 Trusts.

15:41

15
16 But I think the Assistant Directors were slaughtered at
17 the time, and they still are in terms of their
18 workload. So things like this were a tiny -- I know
19 they weren't -- in terms of significance, they weren't
20 tiny but in terms of their massive workload. Also, the
21 Assistant Directors as well would have been carrying a
22 one-in-six on-call rota on top of their day job. In
23 terms of the operational management of the hospital and
24 the out-of-hours and weekend period, there wasn't such
25 a thing as compensatory rest for that level of staff.
26 It was massive. Even though, yes, for those poor
27 patients, it's a huge impact, in terms of the daily
28 workload, trying to find time to focus and keep on top
29 of meetings and things, it was just huge for the

15:41

15:42

15:42

1 Director and the Assistant Directors as well.

2 342 Q. Is there a sense or do you have an experience of is it
3 left to those in operational management to give
4 informal nudges to clinicians to get things done, and
5 get things done better if there were shortcomings, but 15:43
6 really it's up to the medical management, the AMD, to
7 take more decisive action if the operational managers
8 are not able to achieve a successful outcome, and at
9 least exhibited through interactions between some
10 medical managers and Mr. O'Brien, there has been a 15:43
11 slowness about taking robust action so that things are
12 allowed to drift. Is that part of a culture that you
13 recognise more generally?

14 A. I wouldn't call it a culture as such but it is probably
15 a symptom of how job plans and things are arranged in 15:44
16 terms of obviously the medical staff, their focus is
17 their patient-facing activity. Obviously the
18 operational staff see the issues starting, and they see
19 -- the admin team and the governance would have known
20 that maybe a panel wasn't meeting, so they would have 15:44
21 nudged. Actually if then there was some reason that
22 there was a decision not to meet, they couldn't make
23 the consultant meet or run that panel, because they
24 weren't in any sort of line management control. So it
25 had to go back to the medical management line if the 15:44
26 nudges weren't working.

27 343 Q. Yes.

28 A. So, it was out with their scope of control to make it
29 happen.

1 344 Q. I know that you mention obviously the turnover of
2 medical director lead is an issue as well. I think I'm
3 going to leave my questions there. There are other
4 reflections that you have offered the Inquiry around,
5 for example, whether the image PS investigation might 15:45
6 have benefitted from input from the Acute Governance
7 team, and there are reflections such as that which the
8 Panel can read and take a view on.

9
10 Thank you for your evidence. 15:45

11 THE WITNESS: Thank you.

12 CHAIR: Thank you very much, Dr. Boyce. I think I am
13 going to hand you over first of all to Mr. Hanbury, who
14 has some questions.

15 15:45
16 THE WITNESS WAS QUESTIONED BY THE INQUIRY PANEL AS
17 FOLLOWS:

18
19 MR. HANBURY: Thank you very much, Dr. Boyce. That's
20 very interesting. I just have one or two clinical, 15:45
21 mainly pharmacological questions, so you can relax a
22 bit hopefully.

23 A. I don't know.

24 345 Q. Outpatient prescribing, first of all. If I was a
25 urologist and I want to start someone on hormones for 15:45
26 prostate cancer, how will I be sure with the advice
27 note procedure that you have described that that
28 hormone treatment would start relatively quickly?

29 A. You probably wouldn't, but I would say 99 times out of

1 100, it happened. It's very rare for a GP to
 2 challenge. Obviously that would have gone -- from the
 3 Outpatients, the patient would have taken the advice
 4 note with them, gone to the surgery and left it in.
 5 There would have been a subsequent follow-up letter 15:46
 6 which was much more detailed coming as a result of the
 7 Outpatient appointment, maybe with more rationale and
 8 plan for the patient. But to make that happen quickly,
 9 the patient would have taken it to their GP surgery,
 10 who would have then booked potentially a nurse 15:46
 11 appointment and also handed the patient a prescription.
 12 We call them HS21 prescriptions. So they would have
 13 gone to community pharmacy, come back with their
 14 Zoladex, or whatever they were going to get, and then
 15 the practice nurse would have administered it for them. 15:47

16 346 Q. For example, Bicalutamide in the standard way to
 17 prevent the flare, that might have been given for a few
 18 days, for example?

19 A. Yes.

20 347 Q. And then the Zoladex or the LHRH, I think the first one 15:47
 21 is administered in the community or hospital, that is
 22 correct, is it?

23 A. By and large. Unless the patient was an inpatient at
 24 the time when it was maybe recognised that cancer been
 25 diagnosed. From my experience, it would have all been 15:47
 26 done as an outpatient or in the GP surgery, the actual
 27 first (inaudible).

28 348 Q. So that was really handed over to the general
 29 practitioner first of all? Okay. Thank you. There

1 was a potential for delay, so if the urologist really
2 wanted, if they had someone with lots of symptoms, you
3 wanted to start Friday lunchtime, could I do that?

4 A. You could. You could have written in an outpatient
5 hospital prescription and brought it to the pharmacy. 15:47
6 It was very rare. It was more routine. Obviously
7 their outpatient clinic mightn't have been set up the
8 administer the Zoladex, for example, there and then.

9
10 I don't think I have ever seen a prescription come from 15:48
11 Outpatients for an LHRH to be administered there and
12 then.

13 349 Q. Thank you. You make a good point that it's the
14 responsibility of the senior clinicians to know their
15 standards and guidance. Off-label and non-standard 15:48
16 prescription, I mean there are certain drugs. What's
17 your opinion on off-label prescribing and the
18 additional responsibilities that puts on the clinician?

19 A. Obviously in our Trust, off-label prescribing happened
20 and obviously it has to in certain. Particularly in 15:48
21 paediatrics it has to. The responsibility lies with
22 the clinician who decides to do it. Obviously those
23 prescriptions would have been screened in the pharmacy.
24 Usually the rationale is given as to why they are doing
25 it. Obviously, if it's a consultant that is 15:49
26 understood. Quite often it's seen as being -- the
27 pharmacist will know their consultants and know that's
28 what they are doing and there is an evidence base
29 behind it.

1
2 If something came to the dispensary or they spotted
3 something on the ward and it looked odd and it was
4 maybe a more junior member of staff, it would have been
5 challenged, you know, if it came to the pharmacy and 15:49
6 was being screened by the pharmacist for rationale.

7 350 Q. Thank you. You are not aware of the community
8 pharmacist ever coming back to the Urology Department
9 with a challenge?

10 A. I am certainly not aware of that. I think the 15:49
11 challenge with community pharmacy is there's no
12 requirement for patients to keep using the same
13 community pharmacy. It is a weakness because the
14 community pharmacy could be another safety net for
15 things like that where things go wrong. But patients 15:49
16 can choose their own community pharmacy. They might go
17 to a different one every time.

18
19 When I was thinking about the Bicalutamide, that's why
20 I thought the GP might have been the only safety net 15:50
21 because they are the constant in terms of seeing
22 repeated prescriptions for the 50mg Bicalutamide from
23 the same patient.

24 351 Q. Thank you. Moving on. The urology cancer nurse
25 specialists, some of them were nurse prescribed; is 15:50
26 that correct? Is that your understanding?

27 A. I don't actually know them that well. There were --
28 yes, you are right, there were a number of the cancer
29 nurse specialists who were prescribers, when I think

1 back.

2 352 Q. Mr. O'Brien is saying they shared some of the prostate
3 cancer follow-up with him?

4 A. Okay.

5 353 Q. If someone was on a non-standard dose, were you ever 15:50
6 escalated concerns from the Urology cancer nurses about
7 anything?

8 A. No.

9 354 Q. would there have been a mechanism --

10 A. Oh, yes. 15:51

11 355 Q. -- had they been worried? Not necessarily first to
12 you.

13 A. No but there would have been a mechanism, obviously.
14 I mean, we ran a medicines information unit in pharmacy
15 where staff, if they had a concern about an unusual 15:51
16 medicine or you wanted evidence base and things like
17 that, it was available to them. There was also the
18 clinical pharmacist based around the hospital who they
19 would have had access, and surgery. Also, we had a
20 non-medical prescribing committee, and we would have 15:51
21 had governance events and things where they would have
22 got together to discuss just general points, not
23 specifics but, you know, opportunities to discuss
24 issues and so on. Certainly I'm not aware of it ever
25 being raised. 15:51

26 356 Q. Okay. Thank you. Moving on to sort of ward pharmacy.
27 Obviously you have told us about the gentamicin
28 situation. Just about specifically did either the ward
29 pharmacist or yourself have sort of personal chats to

1 Mr. O'Brien or Mr. Young, both of whom were doing this,
2 just to say why are you doing it, have they not
3 responded to other treatment, was there rationale?
4 what was the dialogue?

5 A. My understanding was that the clinical pharmacist based 15:52
6 on the ward had had those discussions without success
7 in terms of being given an evidence-based reason that
8 that treatment was being used. So that's the point
9 they escalated it to me to deal with it further.

10 357 Q. Okay. Were you surprised, with your research 15:52
11 background seeing the paper that was published and
12 justification, for possibly there was no control group
13 or --

14 A. No.

15 358 Q. -- or a group with oral antibiotics, for example, 15:52
16 compared to the new proposal? Did that go through a
17 research committee --

18 A. Not that I was aware of.

19 359 Q. -- or as a trial?

20 A. There was a research committee certainly and we had a 15:52
21 clinical trials pharmacist, but I wasn't aware of that
22 being -- I probably wasn't even aware it was happening
23 to know to look at it, if you know what I mean.

24 I assume the Research Governance team in the Trust had
25 a record of it back then. I certainly wasn't aware of 15:53
26 it. I don't think -- I can't for definite but I am
27 pretty certain that pharmacy weren't involved in making
28 a trial medication or identifying it. It was quite a
29 short period of time in terms of trying to identify

1 resistance from what I read as well. You'd have to
2 track those patients for a significant period of time.
3 You'd have needed them to have had urosepsis and then
4 challenged them with the gentamicin later to prove that
5 they hadn't. You couldn't say just because nothing had 15:53
6 happened to them; you needed to prove that they had an
7 incident where they needed gentamicin to prove that
8 they weren't resistant to it in the future. I thought
9 it was like a preliminary heads-up this is something
10 we're trying, rather than an actual full paper. 15:53

11 360 Q. That's my point really. You mentioned gentamicin but
12 obviously there are lots of other antibiotics that can
13 be given intravenously. Was that the only antibiotic
14 used in that group of patients?

15 A. Yes. From what I understood, yes. It was just 15:54
16 literally gentamicin and nothing else.

17 361 Q. And nothing else. Again, you weren't convinced that
18 the levels were doing well, and the titration and all
19 the other normal clinical?

20 A. No, my understanding was it was a set low dose. So, it 15:54
21 wasn't that there was --

22 362 Q. Can you remember what the dose was?

23 A. Sorry, I can't. I just know it was subtherapeutic to
24 the point that it didn't even require therapeutic drug
25 monitoring. It was given very every day once a day at 15:54
26 a very low dose. I mean, you can probably pull a chart
27 and they must still be about, some of them, if we
28 needed to find it.

29 MR. HANBURY: Thank you very much. I have no other

1 questions.

2 DR. SWART: I have just got some general governance
3 type questions to move on.

4
5 You got into this helping governance out roll 15:55
6 particularly because of your background, I think, in
7 the patient safety research, and governance alongside
8 that. What was the biggest thing you learned from that
9 research? Then, if you had been able to do something
10 really that would have made a big difference in the 15:55
11 Trust, what would that have been based on that, because
12 it's bound to have changed your outlook considerably.

13 A. Hmm, that's a good question. Probably for me, the
14 thing I learned the most was the value of near miss
15 reporting or no harm incident. I think for me that was 15:55
16 one of the biggest things because the number of times
17 when you look at something serious, for example if you
18 take the case of the -- the thing that really got me
19 interesting was the case of Wayne Jowett, if you know
20 it? 15:55

21 363 Q. Yes, I know it well.

22 A. So, that piqued my interest way back in 2000 in terms
23 of how that could have happened. When you read into
24 his case, he was the tenth child or adult to die as a
25 result of that incident. The fact when you start to 15:56
26 look into that and you get into the background to that,
27 the number of times there have been near misses with
28 heterocycle chemotherapy like that, where luckily
29 someone had spotted it. To me, that was a big driver

1 for me in all the work I did, to try and encourage
2 staff to tell you when it nearly happened. For the
3 staff, particularly telling you about something that
4 nearly happened is a lot less scary than having to come
5 forward and say someone has been harmed, so it was 15:56
6 win-win. It was win for the staff; they felt more
7 comfortable telling you about it. Then for the Trust,
8 the organisation, you had that opportunity to fix it.

9 364 Q. What would you done with that, if you had been able to?
10 Do you see that as something that could have made a 15:56
11 difference to the culture at the Southern Health Care
12 Trust, and what were the barriers for doing something
13 like that?

14 A. I think the barriers were resource. As I say, the vast
15 majority of incident reports we got, harm had occurred, 15:57
16 or a level of harm. You would have needed a big
17 resource in terms of training, encouragement and
18 facilitation of staff. I think it would have rolled as
19 it did, we found in pharmacy. Once staff understood
20 what you were trying to do with near miss reporting, it 15:57
21 wasn't scary, they could see the benefits, it then took
22 on its own role, because certainly you'll have seen in
23 some of my papers, we started a newsletter --

24 365 Q. Yes, I have seen that.

25 A. -- in the medicine safety. They used to be called 15:57
26 cheese news, which was very -- we had a wee cheese
27 thing on it but we changed that. Staff looked forward
28 to getting that. Again, we were trying to be
29 proactive, what nearly happened, and share with them,

1 make it interesting news articles, fun, to try and
2 pique their interest and get them to look forward to
3 reading it every week. I would like to try to get
4 into --

5 366 Q. When you look at the Acute Governance meetings, for 15:57
6 example, that you went to and seeing some of the papers
7 on that, what I don't really have a sense for is what
8 were those meetings like, did they really work? It is
9 a big area you are covering. It's all very well doing
10 that in pharmacy, but the Acute Governance meetings, 15:58
11 did they work; did you have the right people there; did
12 you have the right amount of time; were they data
13 driven in the way that makes it a bit easier; what's
14 your feel for them?

15 A. They could be very difficult because there was so much 15:58
16 to cover. We covered everything from -- like, the
17 Medical Director's team would have come and presented -
18 and it could be quite lengthy - A&C pod involvement.
19 Those had to be covered to make sure they were on
20 track. 15:58

21 367 Q. So that's information coming down, is it?

22 A. Yes, sort of thing. Making sure right through to were
23 staff doing their mandatory training. You will see the
24 agendas, they were massive.

25 368 Q. That's what I am trying to get a feel for. How did you 15:58
26 get through that and still have a meaningful
27 discussion?

28 A. It was difficult sometimes to have a meaningful
29 discussion. It could be quite challenging. Sometimes

1 we would have focussed on a particular issue. For
 2 example, we were trying to get our VT E prophylaxis
 3 sorted so we would have used a lot of the meeting for
 4 one thing, but there were so many things.

5 369 Q. Did you have the data for the right things? For 15:59
 6 example, really you didn't talk about, as far as I can
 7 see, at those meetings about any of the issues that we
 8 have focussed on in this Inquiry. So, how would you
 9 know that you hadn't got another issue like this
 10 lurking? 15:59

11 A. That's what I mentioned, that we weren't themeing our
 12 incidents and things to try and identify trends, apart
 13 from, to be fair, Trudy Reid managed to get an insulin
 14 theme going, which was useful in terms of that because
 15 we were definitely seeing that. Even the coordinator 15:59
 16 having dedicated time to actually sit and plan and come
 17 up with proactive events and --

18 370 Q. Did you get any outcome data in terms of complication
 19 rates for surgery, or particular outcomes via
 20 department of key things that might come out of a 16:00
 21 national audit, for example, at that meeting?

22 A. Not in the level of detail, no.

23 371 Q. Because there is a lot of data around on a national
 24 basis that can be used for improvement but if you don't
 25 look at the numbers, you won't know what's happening? 16:00

26 A. I think there were offshoots of that meeting. Each
 27 division obviously had their own governance meeting --

28 372 Q. I realise that?

29 A. -- for their ability, like we did in pharmacy. we had

1 pharmacy-specific governance discussion of our
 2 incidents. Each of the divisions were doing a simple
 3 thing supported by a member of the Governance team,
 4 trying to break the big thing --

5 373 Q. Was it a standard agenda provided from Acute Governance 16:00
 6 down to the divisions so that you knew they were
 7 covering the right things?

8 A. No, no. They would have led their own governance.
 9 Though having said that, the governance coordinators
 10 were in attendance at those meetings. 16:01

11 374 Q. The other thing that's been interesting is that we have
 12 heard from Shane Devlin and Maria O'Kane, and others
 13 actually, about the need for investment in governance,
 14 and some work also around supporting the structure for
 15 medical management, the structure for governance. 16:01
 16 Audit particularly has come out several times as a big
 17 area for improvement. They have described things like
 18 a weekly governance meeting for the whole Trust and a
 19 change approximate in the attitude to governance. How
 20 much of that have you seen? How much of that is coming 16:01
 21 through in a way that feels different?

22 A. Yes. That had started before, and I was aware of that
 23 because my governance pharmacist would have attended
 24 the weekly meeting. From my understanding of it, it
 25 was sort of a very heads-up high level what's happening 16:01
 26 in your area so that the Medical Director was aware if
 27 there had been a big incident, what was happening. It
 28 was starting.

29 375 Q. I can't understand how you could do that, the whole

1 Trust every week and make it sensible?

2 A. I don't know how effective. From what I understood,
3 they were working their way into it. There was also an
4 initiative, I think when Dr. O'Kane was the Medical
5 Director before the Chief, where she had started, not 16:02
6 like a grand round type thing but trying to -- because
7 we were getting a feeling that a lot of staff were
8 looking maybe -- if we had shared an SAI report, they
9 would look at it and think oh, that couldn't happen
10 here, not realising it had happened. She had started a 16:02
11 Lessons Learned Committee. It was in its infancy, I
12 have to say, and then the pandemic --

13 376 Q. I couldn't see that a lot of people went to it?

14 A. No. Then the pandemic came along and obviously it
15 stopped. It was the start where each directorate was 16:02
16 to present a catastrophic or major SAI that had
17 happened to try and -- and also, I think the aim of
18 that was to try and again, we were very much siloed in
19 governance until she came along in terms of how we did
20 SAIs, with Associate Medical Directors challenging each 16:03
21 other. It was almost a bit like M & M, that's the way
22 we did it, to try and make sure the report was as good
23 as it could be. I don't know that other directors
24 weren't doing that, so theirs were different.

25 377 Q. I think we have heard that there is an attempt to make 16:03
26 it more consistent and to learn the best. Quite a lot
27 of people, when we have asked about how you actually
28 make the action plans to make serious incidents a real
29 thing, they have said basically it's a struggle?

1 A. Mm hmm.

2 378 Q. And given the agendas of the governance meetings, you
3 can see that would be the case. There are a few
4 attempts to share it. What is your view on how those
5 actions could be implemented more quickly, especially 16:03
6 when you have got the serious incident investigation
7 going on two years and an MHPS going on a long time as
8 well, how do you think people could pick out those
9 learning points and get on with it and rather wait to
10 the end of the report, have you seen any of that 16:04
11 happening?

12 A. Certainly before I retired, no, I haven't seen that,
13 but I know there was discussions about it. It's how
14 you get the team on the ground to own that, isn't it,
15 they need to own it. But there is a risk that area 16:04
16 where that happened own it, but you have to share the
17 learning across the organisation, not even just in
18 Acute but obviously you have in-patients and mental
19 health and older people. Again I think that's why that
20 lessons learned committee, part of the plan for it was 16:04
21 to try and make, share those big cases across the whole
22 division. But it is a challenge, in the work I'm doing
23 at the minute it's challenging for...

24 379 Q. It's a challenge for everybody?

25 A. Yes. 16:04

26 380 Q. So your view is that that challenge is recognised now?

27 A. No, I think it is.

28 381 Q. People are thinking about ways to do it?

29 A. Yes. I think, too, in reflection, when I got involved

1 in governance we inherited recommendations you just
 2 couldn't have done. So I think the recommendations
 3 themselves must, we need to be better at smart
 4 recommendations.

5 382 Q. I think that's always the case, I agree with you. 16:05

6 A. And there needs to be process for challenging
 7 recommendations, if they really aren't going to be
 8 achieved what's the point of setting yourself up to
 9 fail and they are not going to help the patient in the
 10 long run if you can't actually deliver them. 16:05

11 383 Q. No. How do you think that can be achieved, do you
 12 think there is room for learning across Northern
 13 Ireland to try and help trusts with this because it's
 14 not confined to any one trust this problem?

15 A. No. I mean, certainly all the SAIs in Northern Ireland 16:05
 16 go back to the board SPPT. I think they would have had
 17 - I'm not going to be able to name - they had someone
 18 who would have looked at SAIs coming in from trusts,
 19 I can't remember, was it a Responsible Officer, they
 20 had a name for the role and they would have challenged 16:05
 21 the trusts back. Now the problem is sometimes the
 22 challenge back, the person doing the challenging didn't
 23 maybe understand the -- but it could have been good.

24 If they went back and said, well, really, how are you
 25 going to make sure every nurse in the Trust knows how 16:06
 26 to manage a central line when they only see one once
 27 a year. That's just one that sticks in my mind that we
 28 had a massive problem because we inherited it. You
 29 just couldn't do that, you couldn't keep every nurse in

1 the Trust up to speed with central line management
2 every day. So a smarter recommendation would have been
3 picking a ward where patients with central lines would
4 have been, which is what we did in the end to try and
5 manage it. If that had been challenged when that went 16:06
6 up a few years previously, really could you do that.

7 384 Q. It's very difficult, wasn't it?

8 A. Yes.

9 DR. SWART: Thank you. I won't torment you any more.

10 385 Q. CHAIR: Just a couple of questions. You talked about 16:07
11 the loss of the Acute Governance Lead role and I just
12 wondered if you have any recollection whether anyone
13 made the case for retention of that role, fought for
14 it. You were saying that if a role needs backfilled
15 because someone leaves, then you have to have sign-off 16:07
16 from finance. But finance aren't going to sign off on
17 that, surely, unless they understand the value of the
18 role, you talked about making the case for it. So
19 I just wondered have you any recollection as to whether
20 anyone did at that time? 16:07

21 A. I don't, to be honest. I do remember the severity of
22 the financial challenge at the time, because obviously
23 in pharmacy I was under the same pressure. Every time
24 someone left you had to -- I think there was an actual
25 form you had to complete at the time to try and explain 16:07
26 why you couldn't do without that post. So unless
27 potentially there was a -- I don't know whether there
28 was a form completed, it was completed by the line
29 manager of the person.

- 1 386 Q. I suppose if that line manager didn't fully appreciate
2 the value then they are not likely maybe to make the
3 case?
- 4 A. And to be honest it was so bad, all the focus had to be
5 on patient facing posts, people who had the face to 16:08
6 face contact, because that was the only way we could
7 get through it safely, you know, day to day, not
8 thinking of the longer term picture.
- 9 387 Q. I mean, I think everybody would recognise the need for
10 more doctors, more nurses and more treatment of 16:08
11 patients and to try to reduce the waiting lists, all of
12 those patient facing issues are bound to take focus.
13 But I think one of the learnings from this and I wonder
14 if you would agree with it, is that it's two sides of
15 the one coin, you can't have good patient services 16:08
16 unless you have got good governance and vice versa,
17 would that be fair?
- 18 A. I agree. I think, from my experience, when you asked
19 to bid for a new service, and I would have put in from
20 pharmacy what I would have needed. So obviously if a 16:09
21 new service was opening, not only you have the patient
22 facing but, for me, obviously, that service had to be
23 provided for. So I had to purchase for them, I had to
24 retain the store, it had to work. So I would have
25 always built in an element of the bookroom staff, not 16:09
26 just the clinical staff. But quite often when the case
27 came back from the board all those staff have been
28 stripped out of it and you got funded for -- so it
29 wasn't even, the Trust was trying, it wasn't that the

1 Trust wasn't trying to get the staff, it was the fact
 2 that things were so tight. From above, in terms of
 3 commissioning, they were going, well, you can't have
 4 those staff, you can have this, you can still open the
 5 service, but you can't have the totality of what we
 6 understood we needed to run it. 16:09

7 388 Q. Do you think then that there is a lack of understanding
 8 on the part of the Commissioner as to what is required
 9 in providing a patient-facing service?

10 A. Well whether it was understanding or they were also 16:10
 11 under the same pressure to make savings, I don't know.
 12 I imagine they understand the importance of governance.
 13 And the other, I mean, for example, admin quite often
 14 was always stripped out and yet you can see how
 15 important administration is in a big organisation. 16:10

16 389 Q. Just talking about the administration, how do you feel
 17 Encompass is likely to improve the system?

18 A. I'm hopeful. I mean big IT systems are always
 19 problematic, I think that's the challenge. But a lot
 20 of work is going into it and I know there's been 16:10
 21 investment in staff. So there is, from my point of
 22 view, there's a pharmacist in each trust because the
 23 prescribing will sit on top of the pharmacy stock
 24 control system, it's the way those things work. So
 25 obviously we have a big input in terms of maintaining 16:10
 26 that side, because then obviously the drugs that are
 27 stored or what the prescribers see when they come to
 28 prescribe. And also, in terms of building the system,
 29 putting in the different pathways to make sure they are

1 nice and compliant. There is a huge amount of work
2 going on at the moment. Electronic prescribing has its
3 own risks as well because, when it's paper based,
4 certainly as pharmacists you have a sixth sense, you
5 look at a prescription, you think that looks strange 16:11
6 and you will challenge. But from what I have read,
7 when you go electronic the prescriptions look right,
8 because it won't let you do an odd thing. You can't
9 have, if something is 50mg you can't prescribe 80, you
10 have to prescribe 50. But from what I have read about 16:11
11 it the risk is that you could potentially, you have to
12 be very careful you don't end up with more serious
13 problems because you lose that odd look, they look
14 right. But it is based on how you build the system in
15 the background. But it should help junior medical 16:11
16 staff definitely in terms of you build in your
17 failsafes, your doses, your warnings, your
18 interactions, so you don't rely on them remembering
19 them. So it should be good.

20 CHAIR: well, thank you very much, Dr. Boyce, your 16:12
21 evidence has been very helpful.

22 THE WITNESS: Thank you.

23 CHAIR: I am sure you will be glad to know that you can
24 leave us and we'll see -- I think Ms. McMahon is taking
25 tomorrow's witness? 16:12

26 MR. WOLFE KC: She is, indeed.
27
28
29

CHAIR: Thank you. Ten o'clock tomorrow everyone.

THE INQUIRY ADJOURNED TO 10:00 A.M. ON THURSDAY, 25TH
MAY 2023

16:12